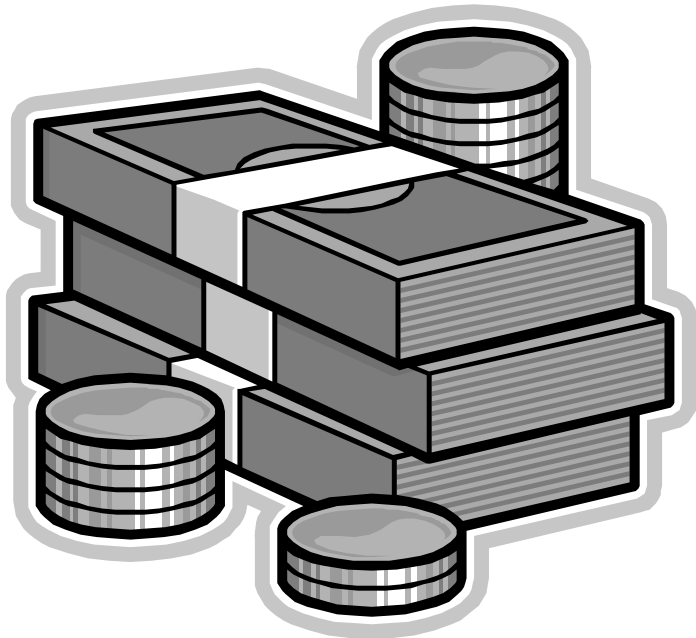


2011-13

Budget Supporting Schedules



- **Performance Measures**
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State of North Dakota

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PERFORMANCE NARRATIVE**00101** Office of the Governor**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The Hoeven and Dalrymple administration continues to build North Dakota's future together with the citizens of the state, funding priorities, maintaining healthy reserves, and providing broad-based property tax relief. The administration's focus is on six pillars: education, economic development, agriculture, energy, tourism and quality of life.

Several key strategies are being pursued, including marketing North Dakota aggressively as a great place to live, work, play and conduct business. The economy is being diversified through the development of good public policy, forward-looking programs, and effective initiatives to create more jobs and higher-paying jobs. To meet these objectives, the administration has worked with the North Dakota Department of Commerce to identify five targeted industries that leverage North Dakota's natural advantages. These include value-added agriculture, advanced manufacturing, technology-based businesses, tourism and energy development across all sectors.

Program Performance Measures**Program:** Governor's Programs and Admin**Reporting level:** 00-101-100-00-00-00-00000000

The Hoeven and Dalrymple administration continues to build North Dakota's future together with the citizens of the state, funding priorities, maintaining healthy reserves, and providing broad-based property tax relief. The administration's focus is on six pillars: education, economic development, agriculture, energy, tourism and quality of life.

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PERFORMANCE NARRATIVE**00108** Secretary of State**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Although it does not have a formal written program, the agency's management team and unit leads have access to various processes for tracking productivity, effectiveness, efficiency, quality of customer service, and compliance with laws. It is anticipated the statistical tracking of information will be improved with the agency's migration to a new database and document processing platform, for which funding was approved in the 2009/2011 biennium and for which continued funding is being requested in the agency's 2011/2013 budget request.

Program Performance Measures**Program:** Secretary of State Operations**Reporting level:** 00-108-100-00-00-00-00000000

Currently, the agency does not utilize a formal performance measuring management system. However, all of the agency's processes and programs are constantly monitored as to effectiveness, efficiency, customer service, compliance with law, etc. See Agency overview for additional comments.

Program: Public Printing Leg Law Books**Reporting level:** 00-108-200-00-00-00-00000000

Not applicable.

Program: Federal Election Reform**Reporting level:** 00-108-300-00-00-00-00000000

The agency does not have a formal performance measuring management system. Nevertheless, all of the agency's processes and programs are constantly monitored as to effectiveness, efficiency, customer service, compliance with law, etc. In addition, HAVA prescribes the participation of citizens to develop and maintain a state plan for HAVA implementation and oversight.

SPECIAL FUND REPORT

00108 Secretary of State

Version: 2011R0300108

HAVA Election Ref. Matching Fund 283

	2009 - 2011	2011 - 2013
Beginning Balance	451,478	576,478
Revenue and Net Transfers:		
Revenue	275,000	275,000
Total Revenue and Net Transfers	275,000	275,000
Estimated Expenditures By Line:		
Election Reform	150,000	150,000
Total Estimated Expenditures	150,000	150,000
Ending Balance	576,478	701,478

SPECIAL FUND REPORT

00108 Secretary of State

Version: 2011R0300108

SOS General Services Fund 263

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Misc. License/Fees	570,871	580,175
Loans	<u>0</u>	<u>3,400,698</u>
Total Revenue and Net Transfers	570,871	3,980,873
Estimated Expenditures By Line:		
Salaries and Wages	102,371	111,672
Operating Expenses	468,500	468,500
ND Business Computer Proj	3,400,698	0
Unexpended Appropriations	<u>(3,400,698)</u>	<u>0</u>
Total Estimated Expenditures	<u>570,871</u>	<u>580,172</u>
Ending Balance	<u><u>0</u></u>	<u><u>3,400,701</u></u>

Continuing Appropriation

108 Secretary of State

Version: 2011-R03-00108

Project: 1 Athletic Commission Fund

Date: 01/04/2011

Time: 13:54:51

Version 2011R0300108 Number 1

Description Athletic Commission Fund

Statutory Authority N.D.C.C. Â§ 53-01-09

Special Fund number and name 302 Athletic Commission Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	2,790	2,412	854	2,854
Revenue/transfers	13,260	25,642	33,900	34,000
Total available	16,050	28,054	34,754	36,854
Expenditures	13,638	27,200	31,900	32,000
Ending Balance	2,412	854	2,854	4,854

North Dakota Century Code § 53-01-02. states, "The secretary of state shall act as state athletic commissioner and administer this chapter. The secretary of state may appoint an athletic advisory board to assist and advise the secretary of state in matters relating to the regulation of boxing, kickboxing, and sparring. The secretary of state also may appoint a mixed fighting style advisory board whose members may include one or more members of the athletic advisory board. The secretary of state shall define the duties of each board. Board members are not entitled to compensation, except for reimbursement for actual and necessary expenses at the same rate as allowed state employees incurred in performing their official duties."

N.D.C.C. § 53-01-09. states, "All fees collected by the secretary of state pursuant to this chapter must be deposited in a special fund maintained in the state treasury. All money deposited in the fund is appropriated as a continuing appropriation to the secretary of state for administering this chapter and for the compensation and expenses of members of the athletic advisory board and the mixed fighting style advisory board."

PERFORMANCE NARRATIVE**00110** Office of Management and Budget**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

- Goal 1: Fulfill OMB's Statutory Requirements
- Goal 2: Inform and Engage Stakeholders
- Goal 3: Provide Training to State Employees
- Goal 4: Inform and Engage OMB Workforce
- Goal 5: Improve, Upgrade, and Leverage Technological Capabilities

Program Performance Measures

Program: Administration	Reporting level: 03-110-100-00-00-00-00000000
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Performance measures are reported at the agency level.

Program: Facility Management	Reporting level: 03-110-200-00-00-00-00000000
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Performance measures are reported at the agency level

Program: Fiscal Management	Reporting level: 03-110-300-00-00-00-00000000
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Performance measures are reported at the agency level.

Program: Human Resource Mgmt Service	Reporting level: 03-110-400-00-00-00-00000000
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Performance measures are reported at the Agency level.

Program: Risk Management	Reporting level: 03-110-500-00-00-00-00000000
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Performance measures are reported at the Agency level.

PERFORMANCE NARRATIVE

00110 Office of Management and Budget

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: Central Services	Reporting level: 03-110-700-00-00-00-00-00000000
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Performance measures are reported at the agency level.

SPECIAL FUND REPORT

00110 Office of Management and Budget

Version: 2011R0300110

Capital Grounds Planning Fund 251

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Transfers In	<u>25,000</u>	<u>25,000</u>
Total Revenue and Net Transfers	25,000	25,000
Estimated Expenditures By Line:		
Operating Expenses	<u>25,000</u>	<u>25,000</u>
Total Estimated Expenditures	<u>25,000</u>	<u>25,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00110 Office of Management and Budget

Version: 2011R0300110

Central Dup Serv Fund 790

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
General Government	5,014,384	4,987,249
Total Revenue and Net Transfers	5,014,384	4,987,249
Estimated Expenditures By Line:		
Salaries and Wages	2,110,739	1,915,501
Operating Expenses	2,903,645	2,856,671
Capital Assets	0	180,000
Total Estimated Expenditures	5,014,384	4,952,172
Ending Balance	0	35,077

SPECIAL FUND REPORT

00110 Office of Management and Budget

Version: 2011R0300110

OMB Unemp/Payroll CI Fund 461

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Miscellaneous General Revenue	<u>1,500,000</u>	<u>1,500,000</u>
Total Revenue and Net Transfers	1,500,000	1,500,000
Estimated Expenditures By Line:		
Salaries and Wages	<u>1,500,000</u>	<u>1,500,000</u>
Total Estimated Expenditures	<u>1,500,000</u>	<u>1,500,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00110 Office of Management and Budget

Version: 2011R0300110

Risk Management Workers' Comp Fund

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
General Government	<u>267,503</u>	<u>284,846</u>
Total Revenue and Net Transfers	267,503	284,846
Estimated Expenditures By Line:		
Salaries and Wages	172,703	180,046
Operating Expenses	<u>94,800</u>	<u>104,800</u>
Total Estimated Expenditures	<u>267,503</u>	<u>284,846</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00110 Office of Management and Budget

Version: 2011R0300110

State Risk Management Fund 288

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
General Government	<u>1,064,967</u>	<u>1,152,443</u>
Total Revenue and Net Transfers	1,064,967	1,152,443
Estimated Expenditures By Line:		
Salaries and Wages	616,420	688,896
Operating Expenses	<u>448,547</u>	<u>463,547</u>
Total Estimated Expenditures	<u>1,064,967</u>	<u>1,152,443</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

Continuing Appropriation
110 Office of Management and Budget
Version: 2011-R03-00110
Project: 1 Indigent Legal Services

Date: 01/04/2011
Time: 13:55:13

Version 2011R0300110 **Number** 1
Description Indigent Legal Services
Statutory Authority NDCC 54-06-20
Special Fund number and name 237 Indigent legal Services Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	400,000	400,000	600,000	600,000
Total available	400,000	400,000	600,000	600,000
Expenditures	400,000	400,000	600,000	600,000
Ending Balance	0	0	0	0

The moneys deposited into the indigent civil legal services fund are distributed quarterly to legal services programs in the state which provide civil legal assistance to indigent individuals. The distributions vary somewhat each quarter depending on collections by the District Courts. Fifteen dollars of the filing fee for a civil case is paid by the district courts to the State Treasurer for deposit into the indigent civil legal services fund. It is this fund from which OMB makes the distributions. Any fees collected which exceed six hundred thousand dollars in a biennium must be deposited into the General Fund in accordance with NDCC 27-05.2-03. Because of the ceiling on the amount of fees deposited into this fund, the distributions out of this fund are also limited to \$600,000 per biennium.

Continuing Appropriation
110 Office of Management and Budget
Version: 2011-R03-00110
Project: 2 Capitol Building Fund

Date: 01/04/2011
Time: 13:55:13

Version 2011R0300110 **Number** 2
Description Capitol Building Fund
Statutory Authority NDCC 48-10
Special Fund number and name 902 Capitol Building Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,687	0	0	0
Revenue/transfers	33,664	0	100,000	100,000
Total available	35,351	0	100,000	100,000
Expenditures	35,351	0	100,000	100,000
Ending Balance	0	0	0	0

Facility Management is responsible for conducting Capitol Grounds Planning Commission meetings on a regular basis. This fund is used to pay for Commission approved projects. The spending limit,

without Legislative approval, by the Commission during a biennium is \$100,000.

Continuing Appropriation
110 Office of Management and Budget
Version: 2011-R03-00110
Project: 3 Preliminary Planning Fund

Date: 01/04/2011
Time: 13:55:13

Version 2011R0300110 **Number** 3
Description Preliminary Planning Fund
Statutory Authority NDCC 54-27-22
Special Fund number and name 460 Preliminary Planning Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	84,841	102,070	102,070	141,753
Revenue/transfers	32,229	0	101,206	61,523
Total available	117,070	102,070	203,276	203,276
Expenditures	15,000	0	61,523	125,000
Ending Balance	102,070	102,070	141,753	78,276

The Office of Management and Budget is responsible for making funds available to all state agencies, institutions, and departments from a preliminary planning revolving fund for studies, planning, architectural programming, schematic designs, and cost estimates relating to proposed new capital improvements and major remodeling of existing facilities. State agencies, institutions, and departments interested in obtaining planning moneys shall submit a written request detailing the scope and purpose of such project to the director of the office of management and budget. Funds may be advanced only in the event that an authorization has first been received from the budget section. Such funds advanced must be repaid to the preliminary planning revolving fund, as moneys become available through legislative appropriation or other sources for the commencement of the project. The actual number of projects submitted varies from biennium to biennium. The amount of approved projects cannot exceed the available funds in the account.

Continuing Appropriation
110 Office of Management and Budget
Version: 2011-R03-00110
Project: 4 Postage Revolving Fund

Date: 01/04/2011
Time: 13:55:13

Version 2011R0300110 **Number** 4
Description Postage Revolving Fund
Statutory Authority NDCC 48-06
Special Fund number and name 701 Postage Revolving Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	59,317	66,387	68,391	18,391
Revenue/transfers	1,154,473	1,194,580	1,100,000	1,140,000
Total available	1,213,790	1,260,967	1,168,391	1,158,391
Expenditures	1,147,403	1,192,576	1,150,000	1,155,000
Ending Balance	66,387	68,391	18,391	3,391

The Office of Management and Budget is responsible for processing the mail for all state agencies, Legislative Council and Supreme Court. This fund is used to purchase postage for the two postage machines located in the Central Mailroom. All agencies using the Central Mailroom are charged for the cost of their mail and the receipts are deposited in this fund to pay for the postage. The fluctuating price of mail prevents Facility Management from estimating a specific amount to request for each biennium

Continuing Appropriation

Date: 01/04/2011

110 Office of Management and Budget

Time: 13:55:13

Version: 2011-R03-00110

Project: 5 Human Resource Management Training Fund

Version 2011R0300110 Number 5

Description Human Resource Management Training Fund

Statutory Authority NDCC 54-44-11

Special Fund number and name 730 Human Resource Management Training Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	15,964	16,552	15,311	5,311
Revenue/transfers	96,085	155,922	240,000	250,000
Total available	112,049	172,474	255,311	255,311
Expenditures	95,497	157,163	250,000	250,000
Ending Balance	16,552	15,311	5,311	5,311

CONTINUING APPROPRIATION JUSTIFICATION

HRMS consults with agencies to meet their specific needs. These needs can result in 1) abbreviated/introductory training programs to fit an agency's current need; 2) a one-time program designed for an agency's unique request; or 3) formal discussion with an agency resulting in other recommendations, where training is found not to be the primary issue (i.e. performance/management issues).

The Training Fund should have a continuing appropriation because of the following:

- It allows agency flexibility in providing training to employees when it is needed as well as allowing flexibility in scheduling staff for training because the resources are immediately available.
- It provides availability of funds to develop training programs based on long-term or immediate need at a very low cost.
- It provides a stability of training resources that can be relied upon without biennium budget constraints
- It provides increased attractability to vendors due to assurance of available training dollars

NDCC 54-44-11 states: "Any surplus in this fund in excess of twenty-five thousand dollars on June thirtieth of each year must be transferred to the state general fund."

Continuing Appropriation

Date: 01/04/2011

110 Office of Management and Budget

Time: 13:55:13

Version: 2011-R03-00110

Project: 6 Risk Fund to timely settle claims and lawsuits

Version 2011R0300110 Number 6

Description Risk Fund to timely settle claims and lawsuits

Statutory Authority NDCC 32-12.2

Special Fund number and name 288 Risk Management Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	3,735,066	3,619,197	4,150,592	2,758,826
Revenue/transfers	3,341,874	2,994,412	2,444,966	2,356,416
Total available	7,076,940	6,613,609	6,595,558	5,115,242
Expenditures	3,457,743	2,463,017	3,836,732	3,411,300
Ending Balance	3,619,197	4,150,592	2,758,826	1,703,942

The Risk Management Fund must be in a position to timely pay claims when settled or when an award is granted by a court. As a result of performing the State's operations, there will be incurred but unreported losses that cannot be identified during the budget process.

Continuing Appropriation

Date: 01/04/2011

110 Office of Management and Budget

Time: 13:55:13

Version: 2011-R03-00110

Project: 7 Workers Compensation for State Employees

Version 2011R0300110 Number 7

Description Workers Compensation for State Employees

Statutory Authority NDCC 65-04-03.1

Special Fund number and name 275 Risk Management Workers Compensation Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	2,916,415	4,024,941	4,415,444	4,152,172
Revenue/transfers	5,217,458	5,601,238	7,894,200	10,535,720
Total available	8,133,873	9,626,179	12,309,644	14,687,892
Expenditures	4,108,932	5,210,735	8,157,472	8,402,196
Ending Balance	4,024,941	4,415,444	4,152,172	6,285,696

The Risk Management Workers Compensation Fund must be in a position to timely pay claims when settled or when an award is granted by a court. As a result of performing the State's operations, there will be incurred but unreported losses that cannot be identified during the budget process. Workforce Safety and Insurance, using the combined payroll, premium, and loss history of selected agencies, determines future experience rates, dividends, assessments, and premiums for the Fund.

Continuing Appropriation

Date: 01/04/2011

110 Office of Management and Budget

Time: 13:55:13

Version: 2011-R03-00110

Project: 8 Central Services Supply Revolving Fund

Version 2011R0300110 Number 8

Description Central Services Supply Revolving Fund

Statutory Authority NDCC 54-44-04

Special Fund number and name 790 Central Services Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	19,457	19,568	125	125
Revenue/transfers	1,060,674	1,078,566	1,812,210	1,196,400
Total available	1,080,131	1,098,134	1,812,335	1,196,525
Expenditures	1,060,563	1,098,009	1,812,210	1,196,400
Ending Balance	19,568	125	125	125

OMB Central Services Division-Central Supply is responsible for providing for the procurement and distribution of office supplies to state agencies. The value of office supply distributions varies from year to year so an appropriated amount is not set. Agencies request their office supplies as needed, are billed for them, and the receipts deposited to the fund for future purchases.

PERFORMANCE NARRATIVE

00112 Information Technology

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Measure 1 - Acceptable level of total net assets - target is to not exceed 2 times (2.0) average monthly expenditures.

- 2010 - 2.4
- 2009 - 1.7
- 2008 - 1.4
- 2007 - 1.7
- 2006 - 1.4
- 2005 - 2.0

Measure 2 - Percentage of competitive rates as compared to other government and private entities - target 100%

- 2010 - 100%
- 2009 - 100%
- 2008 - 100%
- 2007 - 100%
- 2006 - 100%

Measure 3 - Total number of customer service requests and incidents completed - target is to monitor the trends

- 2010 - 34,247 service requests and 60,835 incidents
- 2009 - 33,342 service requests and 55,421 incidents
- 2008 - 32,105 service requests and 53,738 incidents
- 2007 - 28,564 service requests and 48,792 incidents
- 2006 - 29,446 service requests and 41,423 incidents

Measure 4 - Customer satisfaction indexes

- Value Target=92%) 2010=87.0%, 2009=83.9%, 2008=86.9%, 2007=87.3%, 2006=91.7%
- Timeliness (Target=97%) 2010=91.6%, 2009=92.2%, 2008=86.9%, 2007=87.4%, 2006=92.5%
- Quality(Target=97%) 2010=95.7%, 2009=95.3%, 2008=93.0%, 2007=95.5%, 2006=93.7%
- Knowledge (Target=98%) 2010=95.8%, 2009=96.8%, 2008=97.0%, 2007=94.8%, 2006=93.1percent
- Courtesy(Target=100percent) 2010=98.9percent, 2009=100percent, 2008=99.0percent, 2007=97.1percent, 2006=96.5percent

Measure 5 - Employee satisfaction index - target is 2.0

- 2010 - 2.21
- 2009 - 2.14
- 2008 - 2.13
- 2006 - 2.13
- 2005=1.96

PERFORMANCE NARRATIVE**00112** Information Technology**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

ITD publishes an annual report that discusses our performance measures in more detail. The report can be found at www.nd.gov/itd/pubs.

Program Performance Measures**Program:** ITD General Fund Expenditures**Reporting level:** 03-112-001-00-00-00-00-00000000

There are no specific performance measures developed for this program as it simply used to separate general funds from ITD's internal service funds. See the agency performance measures for applicable performance measures.

Program: ITD Federal Fund Expenditures**Reporting level:** 03-112-002-00-00-00-00-00000000

There are no specific performance measures developed for this program as it simply used to separate federal funds from ITD's internal service funds. See the agency performance measures for applicable performance measures.

Program: Center for Distance Education**Reporting level:** 03-112-206-00-00-00-00-00000000

Provide a broad curriculum of middle level, and high school distance education courses free of time and place constraints. The Center for Distance Education (ND CDE) offers 138 print courses and 193 online courses to supplement the curricula of the state's schools, meet the individual needs of students, and offer students an opportunity to earn a high school diploma. ND CDE also sells course materials and site licenses to online courses to public, private, charter, and home schools. During 2009-2010, ND CDE teachers and staff updated, and customized to customers expectations,54 semester courses. The focus was quality as measured by student learning.

Constant assessment provided by a dynamic online course delivery system to provide enhanced online courses that are assured of meeting 21st Century Skills.

Provide an alternative opportunity for students to earn a high school diploma. During the 2007-2009 biennium, 114 students received "State of North Dakota High School" diplomas.

Provide courses via the statewide video network to the students and schools of the state. ND CDE has offered Spanish, Survey of Art, Prealgebra, Physics, Personal Finance, Algebra, Geometry, Advanced Algebra, Pre Calculus, Creative Art and Design, and Beginning Painting via the statewide video network. Currently, we will offer videoconferencing courses to a North Dakota school if that school has seven or more students willing to participate.

Provide semester-based online courses to schools to compensate for teacher shortages and/or scheduling conflicts.

Program: ITD Special Fund Expenditures**Reporting level:** 03-112-780-00-00-00-00-00000000

PERFORMANCE NARRATIVE

00112 Information Technology

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

See the agency performance measures for applicable performance measures.

SPECIAL FUND REPORT

00112 Information Technology

Version: 2011R0300112

ITD Service Fund 780

	2009 - 2011	2011 - 2013
Beginning Balance	7,080,622	4,491,373
Revenue and Net Transfers:		
General Government	<u>118,671,000</u>	<u>126,236,467</u>
Total Revenue and Net Transfers	118,671,000	126,236,467
Estimated Expenditures By Line:		
Salaries and Wages	43,056,909	45,145,452
Operating Expenses	54,970,691	51,905,105
Capital Assets	11,970,746	14,535,666
Educational Technology Council	25,000	75,000
Edutech	2,648,903	4,882,351
Wide Area Network	408,000	408,000
Health Info Technology Office	8,000,000	13,596,266
Criminal Justice Information Sharing	<u>180,000</u>	<u>180,000</u>
Total Estimated Expenditures	<u>121,260,249</u>	<u>130,727,840</u>
Ending Balance	<u><u>4,491,373</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00112 Information Technology

Version: 2011R0300112

Independent Study Operating Fd 274

	2009 - 2011	2011 - 2013
Beginning Balance	621,342	385,061
Revenue and Net Transfers:		
Education	<u>5,200,000</u>	<u>5,150,000</u>
Total Revenue and Net Transfers	5,200,000	5,150,000
Estimated Expenditures By Line:		
Deferred Maintenance	60,000	0
Center for Distance Education	<u>5,376,281</u>	<u>4,250,811</u>
Total Estimated Expenditures	<u>5,436,281</u>	<u>4,250,811</u>
Ending Balance	<u><u>385,061</u></u>	<u><u>1,284,250</u></u>

PERFORMANCE NARRATIVE

00117 Office of the State Auditor

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08**Agency Performance Measures**

Conduct audits. Detailed performance measures are reported at the program level.

Program Performance Measures**Program:** Administrative Services**Reporting level:** 00-117-100-00-00-00-00000000

Administration accounts for activities of the State Auditor and the Office Manager.

Program: Division of Local Government Audits**Reporting level:** 00-117-210-00-00-00-00000000

This division conducts audits of counties, cities, school districts and other political subdivisions.

Program: Division of State Audits**Reporting level:** 00-117-220-00-00-00-00000000

1. Number of noteworthy findings by type, e.g., internal control; compliance with legislative intent; operational improvements; performance audit findings and information system audit findings.
2. Complete the Statewide and University System audits and Single Audit on a timely basis.
3. Obtain a favorable Quality Control Review report.
4. Number of high priority information systems audits completed.
5. Reduce the rate of turnover of employees.

Program: Mineral Royalty Auditing**Reporting level:** 00-117-230-00-00-00-00000000

This division conducts audits of federal royalty payments from oil, gas, and coal leases located within the State of North Dakota.

SPECIAL FUND REPORT

00117 Office of the State Auditor

Version: 2011R0300117

State Auditors Operating Fund 246

	2009 - 2011	2011 - 2013
Beginning Balance	134,078	161,554
Revenue and Net Transfers:		
General Government	<u>1,155,600</u>	<u>1,460,000</u>
Total Revenue and Net Transfers	1,155,600	1,460,000
Estimated Expenditures By Line:		
Salaries and Wages	1,136,924	1,314,834
Operating Expenses	201,200	194,105
Unexpended Appropriations	<u>(210,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>1,128,124</u>	<u>1,508,939</u>
Ending Balance	<u><u>161,554</u></u>	<u><u>112,615</u></u>

PERFORMANCE NARRATIVE**00120** Office of the State Treasurer**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

- The State Treasurer's Office provides a daily investment management service to over 25 state agencies and trust funds, which amounts to over 90 individual investment accounts.
- The State Treasurer's Office is responsible for the distribution of over 1.5 million accounting, human service and payroll checks biennially.
- Daily, our agency manages over \$1 billion of state general and special funds.
- The State Treasurer performs direct deposit-electronic funds transfer for tax distributions of over \$525 million to approximately 500 political subdivisions, which not only makes the money available to subdivisions in a timely manner, but also enhances government efficiency at both the state and local level.

Program Performance Measures**Program:** Administration**Reporting level:** 00-120-100-00-00-00-00-00000000

The State Treasurer's Office does not currently use a formal system for tracking overall program performance measures.

PERFORMANCE NARRATIVE

00125 Office of the Attorney General

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

This agency does not currently use performance measures.

Program Performance Measures

Program: Finance and Administration	Reporting level: 00-125-100-00-00-00-00000000
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Performance measures and results reporting are not currently utilized by this office.

Services provided by the division include:

Payment of bills and payroll;

Budget preparation, implementation, and management;

Receptionist services; filing; information processing;

Records management;

Billing for legal and Fire Marshal services; and

Purchasing and printing services for all divisions of the Office of Attorney General.

Program: Information Technology	Reporting level: 00-125-105-00-00-00-00000000
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This office does not currently utilize performance measures and reporting results.

Services provided by the division include:

Support and troubleshoot connectivity issues for all office locations and several federal and state agencies/applications through the state's backbone network along with ITD.

Support computer applications which enable the various business functions of the office to conduct business.

Backup and recovery of computer files, and disaster/recovery and contingency plans maintenance.

Strategic planning for division and overall office IT requirements.

Internet services.

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Evaluation and implementation services based on current technology trends.

Evaluation and provides tools to facilitate division self-sufficiency.

Planning and replacement of hardware in an effective and efficient manner.

Planning and replacing aging software to enable the office to fulfill its business goals effectively.

Project management of all IT projects utilizing current market standard methodology.

Software using internal programming staff and contractors to meet the ongoing and future needs of the office when purchasing software is too expensive or it doesn't meet office needs.

Help desk function for logging and evaluation of computer problems and requests for services.

Evaluation and planning ongoing security needs as industry changes and to meet market demands.

Developing and maintaining policies and procedures for office IT related areas.

Critical applications and network after normal work hours support.

Participation in division discussions about business processes and how technology can help accomplish office goals.

Effectively maintaining data to easily provide information to the public as needed.

Supports external customers who have access to the office network and applications.

Program: Attorney General Administration	Reporting level: 00-125-110-00-00-00-00-00000000
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Performance measures and results reporting are not currently used by this office.

Services provided by the division include:

Administrative assistance to other divisions in the agency.

Support to the Attorney General and coordination of special projects.

General information regarding North Dakota laws to the general public.

Representation on various boards and commissions.

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Personnel administration services and public information services to other agency divisions.

Program: Legal Services	Reporting level: 00-125-150-00-00-00-00000000
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Performance measures and results reporting are not currently utilized by this office.

Services provided by the divisions include:

Legal advice and representation to state officials, agencies, boards, commissions, and councils.

Legal defense to state employees as required by state law.

State's attorneys and city attorneys with advice and consultation on legal matters.

Included under this heading are four divisions – Civil Litigation, Criminal and Regulatory, Natural Resources and Indian Affairs and State and Local Government. The Criminal and Regulatory Division is responsible for the activities of the Licensing Section.

The Licensing Section provides the following services:

Processes applications;

Collects license fees, miscellaneous types of revenue, and taxes; and

Issues licenses for wholesale and retail alcoholic beverage establishments, wholesale and retail tobacco dealers, organizations which conduct games of chance, distributors and manufacturers of gaming equipment, tobacco vendors, polygraph operators, fair boards, transient merchants, coin operated amusement device machines and operators, and wholesale fireworks dealers.

Program: BCI-General Fund	Reporting level: 00-125-200-01-00-00-00000000
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This office does not currently utilize performance measures.

Services provided by the division include:

Assistance to local law enforcement agencies in investigating cyber crime, homicide, sexual assault, child abuse, arson, terrorism, and polygraph and photography services.

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Coordinate intelligence services provided by the Statewide Law Enforcement Intelligence Center and assist local law enforcement target investigations and resources effectively. Gather, analyze, and disseminate information to law enforcement.

Undercover personnel, confidential funds, special surveillance equipment, intelligence information, and training to local law enforcement agencies.

Dedicated investigations in the area of controlled substance trafficking through participation in eight narcotics task forces, including supervision for seven of the eight.

Maintenance of a case management system to document investigations and provide copies to appropriate persons.

Forensic examinations of computers involved in criminal activity for state, local, and federal law enforcement agencies.

Maintenance of a statewide criminal history record information system, and providing this information to the federal criminal history database.

Criminal history record checks to criminal justice agencies and courts, numerous non-criminal justice requesters, and to the general public.

Concealed weapon permits and a system for tracking that information.

Maintain an Automated Fingerprint Identification System (AFIS) for identification and criminal investigation purposes.

Coordinate the statewide 24/7 sobriety program.

Maintain an Automated Fingerprint Identification System (AFIS) for identification and criminal investigation purposes.

Maintain Central Warrant Information System (CWIS), Uniform Crime Reporting (UCR), and a Protection and Restraining Order System.

Statistical reports to criminal justice agencies, government agencies, and interested persons.

Assistance to the Drug and Violent Crime Policy Board in setting guidelines for awarding grants, and assistance to local agencies in preparing grant applications.

Monitor local drug grant programs, audit expenditures of funds, and maintain detailed records of all grant activities.

Provide training to law enforcement and corrections, maintain officer training records, and issue peace officer licenses.

Offer training to the general public, businesses, and other agencies on a variety of law enforcement issues including drug awareness issues and other criminal activity.

Maintenance of a statewide sex offender and offenders against children registration system which requires these offenders to register and verifies their addresses every six months for most offenders and every 90 days for lifetime registrants. Maintain a registered offenders website for lifetime registrants and high-risk offenders.

Program: BCI-Federal Fund**Reporting level:** 00-125-200-02-00-00-00-00000000

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

See BCI GF narrative for this information.

Program: Crime Lab**Reporting level:** 00-125-300-00-00-00-00000000

Performance measures and results reporting are not currently utilized by this office.

Services provided by the division include:

Examination of physical and toxicological evidence provided to local, state, and federal law enforcement agencies, the military, prosecutors, and defense attorneys.

Investigation and response to clandestine laboratories.

Maintenance of a DNA database of felony arrestees, convicted, and registered offenders.

Follow-up on referrals to local, state, and federal agencies involving death investigations.

Equipment selection, inspection, repair, and training of law enforcement agents in breath/alcohol analysis.

Provide expert testimony in courts

Examination of physical and toxicological evidence provided to local, state, and federal law enforcement agencies, the military, prosecutors, and defense attorneys.

Investigation and response to clandestine laboratories.

Maintenance of a DNA database of felony arrestees, convicted, and registered offenders.

Follow-up on referrals to local, state, and federal agencies involving death investigations.

Equipment selection, inspection, repair, and training of law enforcement agents in breath/alcohol analysis.

Provide expert testimony in courts.

Program: Consumer Protection**Reporting level:** 00-125-400-00-00-00-00000000

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Performance measures and results reporting are not currently utilized by this office.

Services provided by the division include:

Investigating consumer complaints and violations of antitrust laws, consumer fraud laws, non-profit corporation laws, transient merchant laws, do-not-call laws, etc., and take legal action to obtain injunctive relief, civil penalties, restitution and other equitable relief.

Investigation participation and prosecution of criminal violations.

Conducting statewide consumer fraud and education presentations to the elderly, students, civic and professional groups, etc., and providing consumer warnings.

Coordinating consumer protection investigations, enforcement and education with other federal, state and local law enforcement and regulatory agencies, including participation in multi-state investigations and legal actions.

Conducting consumer fraud training for law enforcement.

Researching state and federal consumer fraud law and issues and assisting state and federal legislators in the development and implementation of legislation concerning consumer and antitrust issues.

Program: Gaming	Reporting level: 00-125-500-00-00-00-00000000
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This office does not currently utilize performance measures.

Services provided by the division include:

Administration, regulation, and provision of enforcement for the charitable gaming industry.

Assistance to local law enforcement officials, gaming organizations, distributors, manufacturers, and the general public regarding gaming law and rules, recordkeeping, and preparation of tax returns.

Assist the state Gaming Commission in drafting proposed gaming laws and rules and conducting public hearings.

Conducting outreach training sessions for organization board members, gaming employees and volunteers.

Develops standard recordkeeping systems and model systems of internal control for gaming organizations.

Publishes a quarterly newsletter.

Conducts compliance and financial office and field audits of licensees.

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Reviews and processes distributor records and gaming tax returns.

Pursues the collection of delinquent taxes, interest, penalties, and monetary fines.

Conducts and coordinates investigations of illegal gaming activity and thefts.

Performs criminal history record checks on potential gaming employees.

Coordinates laboratory testing of bingo and pull-tab dispensing devices, bingo card marking devices, and bar code reading devices.

Inspects plants manufacturing pull tabs and paper bingo cards.

Conducts legislative research and provides testimony at legislative hearings.

Issues administrative complaints, assesses monetary fines, and imposes appropriate sanctions.

Inspects Indian casinos to ensure compliance with Tribal-State Indian gaming compacts.

Program: Fire Marshal	Reporting level: 00-125-600-00-00-00-00000000
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Performance measures and results reporting are not currently utilized by this office.

Services provided by the division include:

Fire inspections in educational facilities, state buildings, child-care facilities, flammable material storage sites, and assembly occupancies.

On-scene fire investigations.

Technical support in arson cases.

Technical support for incidents involving hazardous material releases.

Compiling fire incident and property loss information to determine statewide fire problem.

Public fire education programs.

Interpreting the state fire code.

Training local officials in fire investigations, hazardous material incident response, and fire prevention.

PERFORMANCE NARRATIVE**00125** Office of the Attorney General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Providing National Fire Incident Reporting System training and support to fire departments.

Processing, testing, enforcement, and oversight activities regarding the Ignition Propensity for Cigarettes.

Program: Lottery	Reporting level: 00-125-800-00-00-00-00-00000000
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Performance measures and results reporting are not currently used by this office.

Services provided by the division include:

Processing retailer license applications; collecting application, license, credit check, and record check fees; and selecting eligible retailers.

Forecasting sales of lottery tickets and state general fund revenue.

Training retailers to promote games and training employees of retailers on sales strategies and how to redeem winning lottery tickets.

Paying certain tier prizes directly to players.

Issuing news releases, quarterly retailer newsletters, and quarterly and annual financial reports.

Proposing new lottery rules and laws and enforcing compliance.

Working with the Lottery Advisory Commission, on-line gaming system vendor, advertising agency, and security firm.

Working with 25 state agencies on a debt setoff program involving prizes.

Applying security policies and procedures to protect assets.

Complying with the Multi-State Lottery Association's computer gaming system and computer internal control system requirements, game security standards, system standards for new lottery implementations, and game rules.

SPECIAL FUND REPORT

00125 Office of the Attorney General

Version: 2011R0300125

Attorney General Fund 322

	2009 - 2011	2011 - 2013
Beginning Balance	3,562,107	2,535,307
Revenue and Net Transfers:		
Business	1,224,279	1,391,116
Program Income	367,617	303,369
General Government	<u>2,779,207</u>	<u>3,384,472</u>
Total Revenue and Net Transfers	4,371,103	5,078,957
Estimated Expenditures By Line:		
Salaries and Wages	3,200,912	3,198,835
Operating Expenses	1,871,726	1,927,876
Technology Project Carryover	<u>325,265</u>	<u>0</u>
Total Estimated Expenditures	<u>5,397,903</u>	<u>5,126,711</u>
Ending Balance	<u><u>2,535,307</u></u>	<u><u>2,487,553</u></u>

SPECIAL FUND REPORT

00125 Office of the Attorney General

Version: 2011R0300125

Attorney General Refund Fund 250 F

	2009 - 2011	2011 - 2013
Beginning Balance	4,329,429	3,381,262
Revenue and Net Transfers:		
Fines-Forfeitures-Escheat	1,102,830	200,000
General Government	<u>247,061</u>	<u>247,061</u>
Total Revenue and Net Transfers	1,349,891	447,061
Estimated Expenditures By Line:		
Salaries and Wages	397,867	445,590
Operating Expenses	1,530,191	2,882,002
Capital Assets	370,000	178,000
Federal Stimulus Funds - 2009	<u>0</u>	<u>106,804</u>
Total Estimated Expenditures	<u>2,298,058</u>	<u>3,612,396</u>
Ending Balance	<u><u>3,381,262</u></u>	<u><u>215,927</u></u>

SPECIAL FUND REPORT

00125 Office of the Attorney General

Version: 2011R0300125

Fire Prevention & Public Safety Fun

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Fines-Forfeitures-Escheat	<u>25,000</u>	<u>100,000</u>
Total Revenue and Net Transfers	25,000	100,000
Estimated Expenditures By Line:		
Operating Expenses	<u>25,000</u>	<u>100,000</u>
Total Estimated Expenditures	<u>25,000</u>	<u>100,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00125 Office of the Attorney General

Version: 2011R0300125

Gaming And Excise Tax Alloc 446

	2009 - 2011	2011 - 2013
Beginning Balance	8,587	8,587
Revenue and Net Transfers:		
Taxes	510,000	510,000
Total Revenue and Net Transfers	510,000	510,000
Estimated Expenditures By Line:		
Grants	510,000	510,000
Total Estimated Expenditures	510,000	510,000
Ending Balance	8,587	8,587

SPECIAL FUND REPORT
00125 Office of the Attorney General
Version: 2011R0300125

Lottery Fund 292

	2009 - 2011	2011 - 2013
Beginning Balance	228,958	228,958
Revenue and Net Transfers:		
Business	<u>3,584,388</u>	<u>3,622,607</u>
Total Revenue and Net Transfers	3,584,388	3,622,607
Estimated Expenditures By Line:		
North Dakota Lottery	<u>3,584,388</u>	<u>3,700,242</u>
Total Estimated Expenditures	<u>3,584,388</u>	<u>3,700,242</u>
Ending Balance	<u><u>228,958</u></u>	<u><u>151,323</u></u>

SPECIAL FUND REPORT

00125 Office of the Attorney General

Version: 2011R0300125

Reduced Cigarette Ignition Propensi

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Business	<u>200,000</u>	<u>300,000</u>
Total Revenue and Net Transfers	200,000	300,000
Estimated Expenditures By Line:		
Operating Expenses	<u>200,000</u>	<u>300,000</u>
Total Estimated Expenditures	<u>200,000</u>	<u>300,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

Continuing Appropriation

125 Office of the Attorney General

Version: 2011-R03-00125

Project: 1 Assets Forfeiture Fund

Date: 01/04/2011

Time: 13:55:56

Version 2011R0300125 Number 1

Description Assets Forfeiture Fund

Statutory Authority NDCC Sec. 54-12-14

Special Fund number and name 204 Assets Forfeiture Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	119,330	177,182	211,641	61,641
Revenue/transfers	249,074	186,571	200,000	200,000
Total available	368,404	363,753	411,641	261,641
Expenditures	191,222	152,112	350,000	250,000
Ending Balance	177,182	211,641	61,641	11,641

Because it is impossible to predict the receipt and expenditure of asset forfeiture funds within a defined time period, it is essential that these funds remain a continuing appropriation. The use of asset forfeiture as a continuing appropriation is critical to our office's ability to provide an adequate law enforcement response to situations as they arise. The level of revenue is always uncertain, as it depends entirely on what cases the office becomes involved with. In addition, expenses are very uncertain because that also depends entirely on whatever major cases the office becomes involved in. A major case can often result in unanticipated equipment needs, collection of evidence costs, and a host of other unknown costs. As a result, the use of asset forfeiture as a continuing appropriation helps the office pay for these unanticipated costs and provide necessary law enforcement services across the state. The continuing appropriation is reflected in North Dakota Century Code Section 54-12-14.

Continuing Appropriation

125 Office of the Attorney General

Version: 2011-R03-00125

Project: 2 AG Refund Fund

Date: 01/04/2011

Time: 13:55:56

Version 2011R0300125 Number 2

Description AG Refund Fund

Statutory Authority NDCC 54-12-18

Special Fund number and name 250 AG Refund Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,384,877	1,475,696	4,434,312	3,934,342
Revenue/transfers	656,205	4,193,007	233,885	347,061
Total available	2,041,082	5,668,703	4,668,197	4,281,403
Expenditures	565,386	1,234,391	733,855	800,000
Ending Balance	1,475,696	4,434,312	3,934,342	3,481,403

The Consumer Protection & Antitrust Division requires a continuing appropriation of the moneys or funds deposited pursuant to North Dakota Century Code § 54-12-18. A portion of these funds are used to pay costs, expenses, and salaries incurred in the operation of the Consumer Protection & Antitrust Division. These funds are currently funding salaries and operational expenses for Do Not Call legislation enforcement. These funds also provide additional investigation and litigation resources for the division in pursuing injunctive or other relief in public interest cases involving either complex matters, or circumstances in which defendants lack resources to reimburse the state or pay investigation, litigation or public notice costs, etc. These funds also supplement the division's ability to provide critical consumer fraud education, training, or programs in areas such as numerous and costly scams targeted at the elderly, identity theft, etc. These funds provide critical resources, on an as needed basis, to carry out the division's mission of protecting the public and obtaining restitution, etc. for victims, and otherwise would have to be replaced by the general fund.

This fund is also used by the Gaming Division for background investigation charges and Indian Gaming expenses and reimbursements; and by Information Technology, AG Administration, Bureau of Criminal Investigation, Crime Lab and Gaming for select operating costs.

Continuing Appropriation

125 Office of the Attorney General

Version: 2011-R03-00125

Project: 3 Lottery Fund

Date: 01/04/2011

Time: 13:55:56

Version 2011R0300125 Number 3

Description Lottery Fund

Statutory Authority 53-12.1-09

Special Fund number and name 292 Lottery Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	371,443	570,564	664,677	664,677
Revenue/transfers	32,121,042	30,216,580	30,812,859	30,836,620
Total available	32,492,485	30,787,144	31,477,536	31,501,297
Expenditures	31,921,921	30,122,467	30,812,859	30,836,620
Ending Balance	570,564	664,677	664,677	664,677

The Lottery Operating Fund is established by North Dakota Century Code (North Dakota Century Code) § 53-12.1-09. The Office of Attorney General administers the fund. This fund is established within the state treasury to account for all revenues from the sale of lottery tickets, interest income, and application, license, credit check, and record check fees collected. Except for monies in this fund appropriated for administrative and operating expenses of the North Dakota Lottery, all other money in the fund is continuously appropriated for payments of prizes, online gaming system vendor fees, game group dues, retailer commissions, and transfers to the compulsive gambling prevention and treatment fund, multi-jurisdictional drug task force grant fund, and Multi-State Lottery Association for the Lottery's share of games' prize reserve pools, with the balance of net proceeds, less holdback of any reserve funds for continuing operations transferred to the state general fund. State general fund monies are not used or obligated to pay expenses or prizes of the Lottery. The Lottery is self-sustaining.

On November 5, 2002, North Dakota citizens approved a constitutional amendment that enables the state to participate in multi-state lottery games. The 2003 Legislative Assembly passed a law, N.D.C.C. Chapter 53-12.1 (Lottery), which created the North Dakota Lottery as a division within the Office of Attorney General. This division manages, controls, and promotes the state-owned business enterprise.

It is critical the North Dakota Lottery maintain the continuing appropriation of the Lottery Operating Fund to provide for payments of prizes, online gaming system vendor fees, game group dues, and retailer commissions. All of these payments are variable expenses and are based directly and incrementally on the volume of sales of lottery tickets which is impossible to accurately predict.

Continuing Appropriation

125 Office of the Attorney General

Date: 01/04/2011

Time: 13:55:56

Version: 2011-R03-00125

Project: 4 Special Operations Team Reimbursement Fund

Version 2011R0300125 Number 4

Description Special Operations Team Reimbursement Fund

Statutory Authority 54-12-23

Special Fund number and name 311 Special Operations Team Reimbursement Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	29,938	34,238	65,358	45,358
Revenue/transfers	18,500	41,430	20,000	20,000
Total available	48,438	75,668	85,358	65,358
Expenditures	14,200	10,310	40,000	50,000
Ending Balance	34,238	65,358	45,358	15,358

Because of the expense involved in establishing and maintaining a team, the vast majority of local law enforcement agencies do not have the personnel, operating, or equipment resources necessary to train and equip a local tactical team. As a result, certified teams that do exist in the more populated communities are called upon to respond to emergency situations across the state that require this expertise.

A special fund was created during the 2003 legislative session to provide support in crisis situations to local law enforcement outside of their jurisdiction for support. These teams must travel quite a distance to provide this support, resulting in large personnel, operating, and equipment costs. Limited resources make it difficult, if not impossible, for small local agencies to reimburse the tactical teams. Local law enforcement agencies contribute money to this special fund which is used to reimburse tactical teams for their expenses when they provide support outside of their jurisdiction. These funds are also currently used to leverage federal dollars made available for the same purpose.

It is critical that this fund remain a continuing appropriation for several reasons. First, it is impossible to plan ahead for these situations. Law enforcement cannot possibly predict when the next hostage or crisis situation will arise. Second, the appropriation is 100% funded by local law enforcement and is there to help ensure that the costs of a crisis response will be covered. As such, it should remain available to law enforcement as a continuing appropriation to ensure tactical teams can afford to provide services outside of their jurisdiction. The continuing appropriation is contained in North Dakota Century Code Section 54-12-23.

Continuing Appropriation

125 Office of the Attorney General

Version: 2011-R03-00125

Project: 5 AG Sobriety Program Fund

Date: 01/04/2011

Time: 13:55:56

Version 2011R0300125 Number 5

Description AG Sobriety Program Fund

Statutory Authority 2007 S.L. Sec.11

Special Fund number and name 310 AG Sobriety Program Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	6,785	1,785
Revenue/transfers	0	38,667	30,000	40,000
Total available	0	38,667	36,785	41,785
Expenditures	0	31,882	35,000	40,000
Ending Balance	0	6,785	1,785	1,785

The AG Sobriety Program Fund was approved by the 2007 Legislative Assembly to establish a pilot sobriety program for 1 or more judicial districts for the 2007-09 biennium. This program involves coordination among state, county and municipal agencies for implementing alternatives to incarceration, including sobriety testing twice per day, seven days a week or continuous monitoring for offenders charged with, or convicted of, driving under the influence of alcohol or controlled substances, or other offenses involving alcohol or controlled substances.

The fund can include revenues from federal grants, state agencies, private grants, gifts or donations, and user fees. These monies are appropriated as a continuing appropriation to the Office of Attorney General for expenses necessary for the administration and operation of the sobriety program, including training, travel costs, equipment and supplies for the implementation and maintenance of the sobriety program.

Because the amount of offender participation in the sobriety program dictates the costs of the program, it is impossible to ascertain with any certainty the costs of the program, which is the reason the continuing appropriation is needed.

Continuing Appropriation

125 Office of the Attorney General

Date: 01/04/2011

Time: 13:55:56

Version: 2011-R03-00125

Project: 6 Multi-jurisdictional Drug Task Force Fund

Version 2011R0300125 Number 6

Description Multi-jurisdictional Drug Task Force Fund

Statutory Authority 54-12-26

Special Fund number and name 367 Multi-jurisdictional Drug Task Force Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	384,096	95,000
Revenue/transfers	0	845,000	845,000	845,000
Total available	0	845,000	1,229,096	940,000
Expenditures	0	460,904	1,134,096	845,000
Ending Balance	0	384,096	95,000	95,000

This special fund was created during the 2007 legislature to provide support for the narcotics enforcement efforts of the state. In the past, federal funds have been the primary source of support for the statewide task force efforts, however, those federal funds remain at low levels. Local law enforcement agencies contribute manpower and resources to the task force efforts, however, limited finances make it impossible for small local agencies to fund narcotics task forces completely.

The lottery task force funds are used to leverage the limited federal dollars made available for the same purpose, and are currently awarded on an annual basis to regional task forces for operational expenses. The funds are managed by the business services/grants management section of the Bureau of Criminal Investigation.

PERFORMANCE NARRATIVE**00127** Office of State Tax Commissioner**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The Office of State Tax commissioner improved its productivity by nearly 70 percent since 1995 because of actions resulting from business process measurement and process improvement. The Tax Department's cost to collect \$1,000 in revenue for fiscal year 2009 was \$4.34 compared to \$9.64 during 1995. This equates to a numeric reduction of \$5.30 when comparing dollar amounts. Adjusting for inflation, the 1995 cost would be \$13.63 today, for a drop of \$9.29 in real terms.

Program Performance Measures**Program:** Commissioners Division**Reporting level:** 00-127-101-00-00-00-00000000

See Agency Performance Measures identified in the Agency Overview.

Program: Legal Division**Reporting level:** 00-127-201-00-00-00-00000000

See Agency Performance Measures identified in the Agency Overview.

Program: Fiscal Management**Reporting level:** 00-127-301-00-00-00-00000000

See Agency Performance Measures identified in the Agency Overview.

Program: Tax Administration**Reporting level:** 00-127-401-00-00-00-00000000

See Agency Performance Measures identified in the Agency Overview.

Program: Property Tax**Reporting level:** 00-127-601-00-00-00-00000000

PERFORMANCE NARRATIVE**00127** Office of State Tax Commissioner**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

See Agency Performance Measures identified in the Agency Overview.

Program: Operations	Reporting level: 00-127-701-00-00-00-00-00000000
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See Agency Performance Measures identified in the Agency Overview.

Program: Property Tax Relief	Reporting level: 00-127-740-00-00-00-00-00000000
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The Property Tax Relief Program ended June 30, 2009.

Program: Integrated Tax System	Reporting level: 00-127-750-00-00-00-00-00000000
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See Performance Measures in the Operations Division.

Program: Homestead Tax Credit	Reporting level: 00-127-801-00-00-00-00-00000000
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The results of the Homestead Tax Credit program are measured by the number of qualifying applicants and dollars expended. The number of homeowner and renter applicants increased for 2009 payable in FY 2010, due largely to the increase in the statutory income limit for eligibility and the increased homestead taxable value eligible for exemption. The asset test for homeowners continued to limit eligibility. Dollars expended are limited by statutory homestead maximum taxable value reductions for various income levels and a maximum renter's refund of \$400 for 2009 payable in FY 2010.

PERFORMANCE NARRATIVE

00127 Office of State Tax Commissioner

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: Disabled Veteran Credit

Reporting level: 00-127-802-00-00-00-00-00000000

The results of the Disabled Veterans Property Tax Credit program are measured by the number of qualifying applicants and dollars expended. Tax year 2009 is the first year for which the credit is in effect. Dollars expended are limited by the statutory maximum reduction of \$120,000 taxable value of fixtures, buildings, and improvements of the disabled veteran's homestead. Cost is also limited by the fact that the percentage of credit is restricted to the disabled veteran's disability compensation rating for service-connected disabilities as certified by the department of veterans affairs for the purpose of applying for a property tax exemption.

SPECIAL FUND REPORT

00127 Office of State Tax Commissioner

Version: 2011R0300127

Integrated Tax System Fund 287

	2009 - 2011	2011 - 2013
Beginning Balance	210,574	0
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Operating Expenses	210,574	0
Total Estimated Expenditures	210,574	0
Ending Balance	0	0

PERFORMANCE NARRATIVE**00140** Office of Administrative Hearings**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Performance measures have been implemented for all agencies for which OAH conducts hearings. Essentially, the performance measures are case processing guidelines, measuring the length of time to "process" various components of administrative proceedings. Although performance measures for conducting administrative hearings are a helpful management tool, because of the inherent variability in hearings, they should be considered as guidelines and not strict performance measures. See 2007-2009 Biennial Report for OAH ALJ performance.

Program Performance Measures**Program:** Office of Administrative Hearings Services**Reporting level:** 00-140-100-00-00-00-00000000

Effective July 1, 2007, performance measures were implemented for all agencies for which OAH conducts hearings. For Workforce Safety and Insurance cases only, performance measures, based on earlier July 1, 2006, trial basis performance measures, were put into place on August 1, 2008. Essentially, both sets of performance measures are case processing guidelines, measuring the length of time to "process" various components of administrative proceedings. Although performance measures for conducting administrative hearings are a helpful management tool, because of the inherent variability in hearings, they should be considered as guidelines and not strict performance measures. For recent OAH performance see Office of Administrative Hearings 2007-2009 Biennial Report.

SPECIAL FUND REPORT

00140 Office of Administrative Hearings

Version: 2011R0300140

Administrative Hearings Fund 266

	2009 - 2011	2011 - 2013
Beginning Balance	147,177	147,177
Revenue and Net Transfers:		
Misc. Service	<u>1,498,712</u>	<u>1,775,367</u>
Total Revenue and Net Transfers	1,498,712	1,775,367
Estimated Expenditures By Line:		
Salaries and Wages	906,595	978,182
Operating Expenses	<u>592,117</u>	<u>849,017</u>
Total Estimated Expenditures	<u>1,498,712</u>	<u>1,827,199</u>
Ending Balance	<u><u>147,177</u></u>	<u><u>95,345</u></u>

PERFORMANCE NARRATIVE

00150 Legislative Assembly

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Performance measures have not been established.

Program Performance Measures

Program: ND Legislative Assembly

Reporting level: 00-150-100-00-00-00-00000000

Performance measures have not been established.

PERFORMANCE NARRATIVE

00160 Legislative Council

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The Legislative Council has not developed formalized performance measure data.

Program Performance Measures**Program:** Fiscal Services**Reporting level:** 00-160-100-00-00-00-00000000

The Legislative Council has not developed formalized performance measure data.

Program: Legal Services**Reporting level:** 00-160-108-00-00-00-00000000

The Legislative Council has not developed formalized performance measure data.

Program: General and Administrative Services**Reporting level:** 00-160-109-00-00-00-00000000

The Legislative Council has not developed formalized performance measure data.

Continuing Appropriation

160 Legislative Council

Version: 2011-R03-00160

Project: 259 Legislative information services

Date: 01/04/2011

Time: 13:56:35

Version 2011R0300160 Number 259

Description Legislative information services

Statutory Authority 54-35-19

Special Fund number and name 259 Legislative services fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	186,959	237,018	217,367	241,367
Revenue/transfers	50,059	44,251	44,000	44,000
Total available	237,018	281,269	261,367	285,367
Expenditures	0	63,902	20,000	40,000
Ending Balance	237,018	217,367	241,367	245,367

PERFORMANCE NARRATIVE**00180** Judicial Branch**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The judiciary is constantly monitoring its performance regarding delivering judicial services. Some of these performance measures include:

- Civil, criminal and juvenile docket currency standards.
- Jury yield and juror utilization statistics.
- Staffing standards.
- Fully Automated Child Support Enforcement System (FACSES) action alerts.
- Case data entry time standards.

Program Performance Measures**Program:** Supreme Court**Reporting level:** 00-180-181-00-00-00-00000000

The Supreme Court Docket System (SCDS) is used to provide management information for Supreme Court cases.

Program: District Court**Reporting level:** 00-180-182-00-00-00-00000000

A Unified Court Information System (Odyssey), Juvenile Case Management System (JCMS) and a Jury Management System are all information systems which provide information for managing district court operations.

The judiciary is constantly monitoring its performance regarding delivering judicial services. Some of these performance measures include:

- Civil, criminal and juvenile docket currency standards
- Jury yield and juror utilization statistics
- Staffing standards
- Fully Automated Child Support Enforcement System (FACSES) child support software action alerts
- Case data entry time standards

Program: Judicial Cond Comm and Disc. Board**Reporting level:** 00-180-183-00-00-00-00000000

PERFORMANCE NARRATIVE**00180** Judicial Branch**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

The Disciplinary System does not use any formal performance measures.

SPECIAL FUND REPORT

00180 Judicial Branch

Version: 2011R0300180

Judicial Conduct Comm. Fund 328

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Lawyer Discipline	<u>314,346</u>	<u>325,500</u>
Total Revenue and Net Transfers	314,346	325,500
Estimated Expenditures By Line:		
Judicial Conduct Comm & Disciplinary Brd	<u>314,346</u>	<u>325,499</u>
Total Estimated Expenditures	<u>314,346</u>	<u>325,499</u>
Ending Balance	<u><u>0</u></u>	<u><u>1</u></u>

PERFORMANCE NARRATIVE**00188** Commission on Legal Counsel for Indigents**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

- The agency has developed and adopted minimum performance standards for both attorneys who handle criminal adult indigent cases and for attorneys who handle juvenile cases.
- The Commission conducts performance evaluations of the Executive Director, and the Executive Director evaluates the staff in accordance with adopted Commission standards.
- Attorneys working for the agency in any capacity must follow the North Dakota Rules of Professional Conduct.
- The Commission is subject to OMB requirements and beinnial audits performed by the State Auditor's Office.

Program Performance Measures**Program:** Counsel for Indigents Operations**Reporting level:** 00-188-100-00-00-00-00000000

The Commission oversees the administrative office and evaluates the Executive Director, who, in turn, evaluates staff of the administrative office and staff of the public defender offices (after the Supervising Attorney performs his/her evaluation). Attorneys for the agency must meet standards provided in the Rules of Professional Conduct and State Bar Association of North Dakota.

Attorneys contracted with our agency must meet the Minimum Performance Standards as adopted by our agency, as well as standards provided in the Rules of Professional Conduct and State Bar Association of North Dakota. Attorneys contracted with our agency must provide to us updated proof of malpractive insurance as well as any disciplinary complaints. the also must stay current in their case reporting duties.

SPECIAL FUND REPORT

00188 Commission on Legal Counsel for Indigents

Version: 2011R0300188

Indigent Defense Admin. Fund

	2009 - 2011	2011 - 2013
Beginning Balance	2,049,205	1,886,677
Revenue and Net Transfers:		
Fines-Forfeitures-Esheat	<u>1,787,689</u>	<u>1,850,000</u>
Total Revenue and Net Transfers	1,787,689	1,850,000
Estimated Expenditures By Line:		
Legal Counsel for Indigents	<u>1,950,217</u>	<u>1,970,852</u>
Total Estimated Expenditures	<u>1,950,217</u>	<u>1,970,852</u>
Ending Balance	<u><u>1,886,677</u></u>	<u><u>1,765,825</u></u>

PERFORMANCE NARRATIVE

00190 Retirement and Investment Office

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

No performance measures have been created.

Program Performance Measures

Program: Teachers Fund for Retirement

Reporting level: 00-190-100-00-00-00-00000000

No performance measures have been created.

Program: Investment Program

Reporting level: 00-190-200-00-00-00-00000000

No performance measures have been created.

SPECIAL FUND REPORT

00190 Retirement and Investment Office

Version: 2011R0300190

Retirement and Investment Fund 207

	2009 - 2011	2011 - 2013
Beginning Balance	136,214	136,214
Revenue and Net Transfers:		
Investment-Principal	1,239,318	1,575,636
Tfr Contributions	<u>2,466,332</u>	<u>2,521,104</u>
Total Revenue and Net Transfers	3,705,650	4,096,740
Estimated Expenditures By Line:		
Salaries and Wages	2,674,080	3,203,114
Operating Expenses	949,570	947,840
Contingency	<u>82,000</u>	<u>82,000</u>
Total Estimated Expenditures	<u>3,705,650</u>	<u>4,232,954</u>
Ending Balance	<u><u>136,214</u></u>	<u><u>0</u></u>

Continuing Appropriation

Date: 01/04/2011

190 Retirement and Investment Office

Time: 13:57:17

Version: 2011-R03-00190

Project: 1 Retirement and Investment Office

Version 2011R0300190 Number 1

Description Retirement and Investment Office

Statutory Authority 21-10-6.2; 15-39.1-05

Special Fund number and name 207 Retirement and Investment Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	4,424,426,553	5,653,845,145	4,218,164,572	4,882,364,593
Revenue/transfers	1,483,419,020	-1,155,838,719	951,342,591	425,822,400
Total available	5,907,845,573	4,498,006,426	5,169,507,163	5,308,186,993
Expenditures	254,000,428	279,841,854	287,142,570	292,000,000
Ending Balance	5,653,845,145	4,218,164,572	4,882,364,593	5,016,186,993

We request that all of our continuing appropriations be allowed to continue as they are all critical to the ongoing growth of both the ND Teachers Fund for Retirement and the State Investment Board. Our appropriated expenditures are less than 3% of our total expenditures each biennium. We pay out approximately \$250 million in teachers' retirement benefits and refunds of account values each biennium. Approximately \$60 million is paid out for investment related expenses for professional investment managers, consultants and custodian banks. Our total budget request for appropriated expenditures for 20011-13 is \$3.75 million. All expenses are paid out of investment earnings and collections from employers and teachers in contributions on teacher salaries.

The amounts in our Continuing Appropriations schedule include all additions and expenditures to our funds (including appropriated) but since over 97% of all expenditures paid out are under continuing appropriation, we simply listed all expenditures as reported on our audited financial statements.

The estimates for 2011-13 include estimates for how much we may collect in contributions and how much we may pay out in benefits and investment expenses as these will occur whether the investment markets are up or down. But because it is impossible to predict how the investment markets will behave two and three years from now, we simply use an assumption of zero investment earnings for that time frame.

PERFORMANCE NARRATIVE**00192** Public Employees Retirement System**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

NDPERS is audited annually by an independent audit firm. The agency has always received a clean, unqualified financial audit opinion.

NDPERS has been awarded the Certificate of Achievement for Excellence in Financial Reporting by the Government Finance Officers Association (GFOA) for our comprehensive annual financial report (CAFR). The Certificate of Achievement is the highest form of recognition in the area of governmental accounting and financial reporting. The agency has prepared a CAFR and received this award for the last fourteen consecutive years.

Program Performance Measures

Program: PERS	Reporting level: 00-192-100-00-00-00-00000000
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The agency also monitors customer service provided by Blue Cross Blue Shield. Twice a year, BCBS provides performance statistics on their call center and average time to process claims. Performance is monitored to insure there are no unacceptable variances in the level of service provided.

Defined Benefit Retirement Plans. One of the goals of the agency is to provide benefit plans that are competitive and affordable. The agency measures this by comparing the plans administered by NDPERS to other public retirement systems, using a Public Fund Survey prepared by a national organization. This comparison shows that our retirement plans provide a competitive level of benefits at less than average costs however due to the recent downturn in the financial markets the actuarial projections show that the PERS retirement plans face a funding shortfall over the long term that will require increased contributions, earnings or benefit reductions to reverse.

Health Insurance Plan. One of the goals of the agency is to provide benefit plans that are competitive and affordable. The agency measures this by comparing the health plan administered by NDPERS to other health plans, using various national surveys. This comparison shows that our health plan provides a competitive level of benefits at less than average costs.

SPECIAL FUND REPORT

00192 Public Employees Retirement System

Version: 2011R0300192

Public Employee Retirement Sys 483

	2009 - 2011	2011 - 2013
Beginning Balance	523,728	655,514
Revenue and Net Transfers:		
Revenue	<u>11,000,000</u>	<u>6,500,000</u>
Total Revenue and Net Transfers	11,000,000	6,500,000
Estimated Expenditures By Line:		
Salaries and Wages	4,236,489	4,563,507
Operating Expenses	1,646,999	1,963,383
Technology Project Carryover	4,734,726	0
Contingency	<u>250,000</u>	<u>250,000</u>
Total Estimated Expenditures	<u>10,868,214</u>	<u>6,776,890</u>
Ending Balance	<u><u>655,514</u></u>	<u><u>378,624</u></u>

Continuing Appropriation

Date: 01/04/2011

192 Public Employees Retirement System

Time: 13:57:30

Version: 2011-R03-00192

Project: 1 NDPERS continuing appropriations

Version 2011R0300192 Number 1

Description NDPERS continuing appropriations

Statutory Authority Various

Special Fund number and name 192 ND Public Employees Retirement System

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,628,041,382	2,126,337,463	1,492,716,692	1,653,764,329
Revenue/transfers	666,075,501	-417,439,069	386,335,976	393,746,132
Total available	2,294,116,883	1,708,898,394	1,879,052,668	2,047,510,461
Expenditures	167,779,420	216,181,702	225,288,339	238,091,366
Ending Balance	2,126,337,463	1,492,716,692	1,653,764,329	1,809,419,095

NDPERS has statutory authority for continuing appropriations for benefit payments, investments and actuarial/technical consulting for each of its program areas. The North Dakota Century Code sections are 54-52-04, 54-52-13, 54-52-13.1, 54-52-17, 54-52.1-03, 54-52-04, 54-52.1-04.3, 54-52.1-06.1, 54-52.3-03, 54-52.3-06, 54-52.6-06, 52-09-07, 39-03.1-05.

>

> The reasons for the continuing appropriations are to make timely benefit and premium payments pursuant to NDCC statutes; to pay for the necessary actuarial and technical work required to administer the programs, including annual actuarial evaluations; to pay for actuarial and technical work of the legislative Employee Benefits committee; and to invest funds.

>

> The continuing appropriation schedule includes the activity for all the programs that are administered by NDPERS. The expenditures line includes legislative appropriations as well as continuing appropriations. The portion of expenditures that represent continuing appropriations for 2005-07 is \$163,733,770; for fiscal year 2007-09 is \$206,152,106; for 2009-11 is \$214,407,125; and for 2011-13 is \$231,559,695.

PERFORMANCE NARRATIVE

00201 Dept of Public Instruction

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08**Agency Performance Measures**

The North Dakota Department of Public Instruction's 2006-2013 strategic plan for public education outlines strategic initiatives that focus on student achievement, community involvement, operational excellence and support. The following four goals have been established to provide us with a roadmap to success:

- Align all elements of public education to help students achieve academic success.
- Promote health and wellness initiatives that provide a safe and nurturing environment that encourages community involvement and embraces diversity.
- Ensure a comprehensive system of accountability for results-based decision making.
- Build capacity to ensure a uniform educational system that meets or exceeds established standards.

DPI's strategic plan development is ongoing. Our staff continues to develop timelines, desired outcomes, and performance indicators and to address barriers as they occur.

Program Performance Measures**Program:** Admin and School District Support Services**Reporting level:** 00-201-100-00-00-00-00000000

1. Serves on the State Board of Public School Education, the State Board for Career and Technical Education, the Teacher's Fund for Retirement, the State Board of University and School Lands, Board of Higher Education Nominating Committee, the High School Activities Board, and serves as a board member to various other associations and organizations.
2. Evaluates agency programs, services, policies and procedures, proposes legislation, communicates educational concerns to the legislature, the media, and to the general public.
3. Provides liaison and support services to federal and state agencies, national and state education organizations, and other North Dakota organizations.
4. Attends and participates in state legislative interim committee meetings and hearings on legislative bills related to elementary and secondary education, State Library issues, and governance of the ND School for the Deaf and ND Vision Services/School for the Blind.
5. Represents state education in annual youth programs including the ND Governor's School in Mathematics, Business Entrepreneurship, Science and Technology, Hugh O'Brian Youth Leadership Seminar, state summer Business Challenge program, Boys and Girls State Citizenship programs, and Marketplace for Kids regional programs.
6. Participates in three national Chief State School Officers meetings.
7. Provides executive leadership to the department, including the development of a statewide educational vision for education in North Dakota and implementation of a strategic plan for school improvement.
8. Administers the World War II Veterans diploma program.
9. Implements and administers the provisions of ND Century Code related to public school finance.

Program: Education Improvement**Reporting level:** 00-201-200-00-00-00-00000000

1. Develop and align all standards and student assessments, early childhood through high school graduation.
2. Link children at risk of failure to meet the state's challenging content and achievement standards with support services designed to address specific needs.
3. Advocate for the resources necessary to implement a system that focuses on student achievement and well being.

PERFORMANCE NARRATIVE**00201** Dept of Public Instruction**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

4. Enhance before and after school programs to provide students with opportunities to improve their academic performance, integrate service projects with classroom learning, and offer educational development opportunities to eligible students and their families.
5. Ensure success for students with disabilities.

Program: Administrative Services**Reporting level:** 00-201-300-00-00-00-00-00000000

1. Manages human and financial resources necessary to support agency functions.
2. Ensures a valid and reliable system for data collection, verification, analysis, and reporting.
3. Provides administrative support for internal operations.
4. Administers the department's Risk Management and Workforce Safety and Insurance functions.

Program: Education and Community Support**Reporting level:** 00-201-400-00-00-00-00-00000000

1. Provide Adult Education and Literacy programs for individuals over age 16 who lack basic skills to graduate.
2. Provide nutritious foods and commodities to children and low-income households and nutrition education to caregivers and food service personnel.
3. Provide prevention information and resources that increase safety, health and well-being of all students and faculty.
4. Provide liaison services to Regional Education Service Agencies.
5. Provide effective instructional practices and access to current research through the NDMILE model for school improvement planning.
6. Implement a comprehensive K-12 school counselor program with an emphasis on career planning.
7. Design professional development systems, curriculum, and instructional supports to assist all North Dakota teachers improve classroom instruction.
8. Foster relationships designed to enhance opportunities for Native American students.

SPECIAL FUND REPORT
00201 Dept of Public Instruction
Version: 2011R0300201

Public Instruction Fund 201F

	2009 - 2011	2011 - 2013
Beginning Balance	21,804	68,849
Revenue and Net Transfers:		
Revenue	1,100,000	1,100,000
Tsfr Fm Common Schools	86,300,000	101,638,000
Total Revenue and Net Transfers	87,400,000	102,738,000
Estimated Expenditures By Line:		
Operating Expenses	1,052,955	1,052,955
Grants-State School Aid	86,300,000	101,638,000
Total Estimated Expenditures	87,352,955	102,690,955
Ending Balance	68,849	115,894

Continuing Appropriation

Date: 01/04/2011

201 Dept of Public Instruction

Time: 13:58:20

Version: 2011-R03-00201

Project: 1 Revolving Printing Fund Materials

Version 2011R0300201 Number 1

Description Revolving Printing Fund Materials

Statutory Authority NDCC 15.1-03-03

Special Fund number and name 702 DPI Printing Revolving Fund 201F

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	14,025	7,221	3,987	2,987
Revenue/transfers	18,640	18,231	18,000	14,000
Total available	32,665	25,452	21,987	16,987
Expenditures	25,444	21,465	19,000	15,000
Ending Balance	7,221	3,987	2,987	1,987

This fund is used to allow school districts to purchase at cost instructional materials developed and printed by the Department.

Expenditures made under a continuing appropriation allow funds to be carried forward each year. This provides more consistency from year to year and reduces the probability of adjusting expenditures or reducing awards mid year given that the revenue stream is unknown.

Continuing Appropriation

201 Dept of Public Instruction

Version: 2011-R03-00201

Project: 2 Displaced Homemaker Fund

Date: 01/04/2011

Time: 13:58:20

Version 2011R0300201 Number 2

Description Displaced Homemaker Fund

Statutory Authority NDCC 14-06.1

Special Fund number and name 235 Displaced Homemaker Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	50,200	92,523	143,077	147,137
Revenue/transfers	195,568	178,816	170,000	170,000
Total available	245,768	271,339	313,077	317,137
Expenditures	153,245	128,262	165,940	160,000
Ending Balance	92,523	143,077	147,137	157,137

This fund is used to provide counseling, guidance, job readiness training and services for displaced homemakers to allow this ever-growing group of citizens the independence and economic security crucial for a productive life which shall improve the health and welfare of this population. 95% of these dollars are granted out through the Adult Learning Centers while only 5% of these funds are used for administrative costs including a required advisory committee.

Expenditures, made under a continuing appropriation, from program revenues, are limited only by the available revenues from which the appropriation is made. This ensures the program can be carried on without letup.

In addition, a continuing appropriation allows funds to be carried forward each year. This provides more consistency from year to year and reduces the probability of adjusting expenditures or reducing awards mid year given that the revenue stream is unknown.

PERFORMANCE NARRATIVE

00215 ND University System

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** System Governance**Reporting level:** 00-215-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program: Student Grant Programs**Reporting level:** 00-215-110-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program: System Grant Programs**Reporting level:** 00-215-120-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful

PERFORMANCE NARRATIVE**00215** ND University System**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program: System Projects**Reporting level:** 00-215-130-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00215 ND University System

Version: 2011R0300215

Higher Ed Special Rev Fund 215F

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	2,884,751	1,421,994
Total Revenue and Net Transfers	2,884,751	1,421,994
Estimated Expenditures By Line:		
Capital Assets	717,250	417,250
System Information Technology Services	1,029,831	539,437
Student Exchange Program	1,030,326	465,307
Biennium Carryover	107,344	0
Total Estimated Expenditures	2,884,751	1,421,994
Ending Balance	0	0

PERFORMANCE NARRATIVE

00226 State Land Department

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Performance within the Land Department is measured by its continued ability to manage the assets of 13 permanent trust funds under its control, to preserve their purchasing power and to maintain stable distributions to trust beneficiaries.

Program Performance Measures

Program: Land Department	Reporting level: 00-226-100-00-00-00-00000000
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Performance within the Land Department is measured by its continued ability to manage the assets of 13 permanent trust funds under its control, to preserve their purchasing power and to maintain stable distributions to trust beneficiaries.

SPECIAL FUND REPORT

00226 State Land Department

Version: 2011R0300226

Energy Development Impact Fund

	2009 - 2011	2011 - 2013
Beginning Balance	5,035,144	3,145,485
Revenue and Net Transfers:		
Revenue	<u>8,000,000</u>	<u>100,000,000</u>
Total Revenue and Net Transfers	8,000,000	100,000,000
Estimated Expenditures By Line:		
Operating Expenses	111,900	111,900
Other Grants	<u>9,777,759</u>	<u>99,888,100</u>
Total Estimated Expenditures	<u>9,889,659</u>	<u>100,000,000</u>
Ending Balance	<u><u>3,145,485</u></u>	<u><u>3,145,485</u></u>

SPECIAL FUND REPORT

00226 State Land Department

Version: 2011R0300226

Land Department Maint. Fund

	2009 - 2011	2011 - 2013
Beginning Balance	0	1,200
Revenue and Net Transfers:		
Revenue	<u>3,904,102</u>	<u>5,600,000</u>
Total Revenue and Net Transfers	3,904,102	5,600,000
Estimated Expenditures By Line:		
Salaries and Wages	3,214,850	4,145,824
Operating Expenses	628,052	1,319,196
Capital Assets	10,000	10,000
Contingencies	<u>50,000</u>	<u>100,000</u>
Total Estimated Expenditures	<u>3,902,902</u>	<u>5,575,020</u>
Ending Balance	<u><u>1,200</u></u>	<u><u>26,180</u></u>

PERFORMANCE NARRATIVE**00227** Bismarck State College**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Bismarck State College**Reporting level:** 00-227-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00227 Bismarck State College

Version: 2011R0300227

Bismarck State College Fund 227C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>409,500</u>	<u>7,500,000</u>
Total Revenue and Net Transfers	409,500	7,500,000
Estimated Expenditures By Line:		
Capital Assets	409,500	0
Capital Projects Non-State Funded	<u>0</u>	<u>7,500,000</u>
Total Estimated Expenditures	<u>409,500</u>	<u>7,500,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00228** Lake Region State College**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Lake Region State College**Reporting level:** 00-228-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

PERFORMANCE NARRATIVE

00229 Williston State College

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures

Program: Williston State College	Reporting level: 00-229-100-00-00-00-00000000
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The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00229 Williston State College

Version: 2011R0300229

UND- Williston Center Fund 229C

	2009 - 2011	2011 - 2013
Beginning Balance	69,349	0
Revenue and Net Transfers:		
Revenue	<u>10,375,000</u>	<u>500,000</u>
Total Revenue and Net Transfers	10,375,000	500,000
Estimated Expenditures By Line:		
Capital Assets	0	500,000
Capital Assets-Carryover	69,349	0
Capital Projects Non-State Funded	<u>10,375,000</u>	<u>0</u>
Total Estimated Expenditures	<u>10,444,349</u>	<u>500,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE

00230 University of North Dakota

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly.

This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures

Program: University of North Dakota

Reporting level: 00-230-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly.

This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT
00230 University of North Dakota
Version: 2011R0300230

UND Fund 230C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>154,592,773</u>	<u>21,700,000</u>
Total Revenue and Net Transfers	154,592,773	21,700,000
Estimated Expenditures By Line:		
Capital Assets	5,400,000	0
Capital Projects - Non-State	44,475,661	21,700,000
Capital Projects Carryover - Non-State	<u>104,717,112</u>	<u>0</u>
Total Estimated Expenditures	<u>154,592,773</u>	<u>21,700,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00232** UND Medical Center**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly.

This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** UND-Medical Center**Reporting level:** 00-232-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00232 UND Medical Center

Version: 2011R0300232

Medical Center Fund 232C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Ending Balance	<u>0</u>	<u>0</u>

PERFORMANCE NARRATIVE

00235 North Dakota State University

Date: 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly organized according to the five cornerstones of the Roundtable Report. Those annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** North Dakota State University**Reporting level:** 00-235-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly organized according to the five cornerstones of the Roundtable Report. Those annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly . This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00235 North Dakota State University

Version: 2011R0300235

NDSU Fund 235C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>58,100,000</u>	<u>36,100,000</u>
Total Revenue and Net Transfers	58,100,000	36,100,000
Estimated Expenditures By Line:		
Capital Projects - Non-State	<u>58,100,000</u>	<u>36,100,000</u>
Total Estimated Expenditures	<u>58,100,000</u>	<u>36,100,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00238** ND State College of Science**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** ND State College of Science**Reporting level:** 00-238-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the six cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 9th annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT
00238 ND State College of Science
Version: 2011R0300238
ND Science School Fund-N 238C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>7,136,000</u>	<u>10,500,000</u>
Total Revenue and Net Transfers	7,136,000	10,500,000
Estimated Expenditures By Line:		
Capital Projects Non-State Funded	<u>7,136,000</u>	<u>10,500,000</u>
Total Estimated Expenditures	<u>7,136,000</u>	<u>10,500,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00239** Dickinson State University**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Dickinson State University**Reporting level:** 00-239-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT
00239 Dickinson State University
Version: 2011R0300239
Dickinson State Univ. Fund 239C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>350,000</u>	<u>0</u>
Total Revenue and Net Transfers	350,000	0
Estimated Expenditures By Line:		
Operating Expenses	<u>350,000</u>	<u>0</u>
Total Estimated Expenditures	<u>350,000</u>	<u>0</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00240** Mayville State University**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Round table Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Mayville State University**Reporting level:** 00-240-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2009 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00240 Mayville State University

Version: 2011R0300240

Mayville State Univ. Fund 240C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>4,481,032</u>	<u>0</u>
Total Revenue and Net Transfers	4,481,032	0
Estimated Expenditures By Line:		
Capital Projects Non-State Funded	<u>4,481,032</u>	<u>0</u>
Total Estimated Expenditures	<u>4,481,032</u>	<u>0</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00241** Minot State University**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report will be completed December 2010 and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

The North Dakota University System's Financial Review of MiSU for FY '09 shows that the university is in a sound financial position. The ratios indicate that the reserves are good, liquidity is strong, and debt remains at a manageable level.

Program Performance Measures**Program:** Minot State University**Reporting level:** 00-241-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report will be completed December 2010 and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT

00241 Minot State University

Version: 2011R0300241

Minot State Univ. Fund 241C

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>22,888,497</u>	<u>13,784,555</u>
Total Revenue and Net Transfers	22,888,497	13,784,555
Estimated Expenditures By Line:		
Capital Assets Carryover	638,497	0
Capital Projects non-state funded	<u>22,250,000</u>	<u>5,050,000</u>
Total Estimated Expenditures	<u>22,888,497</u>	<u>5,050,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>8,734,555</u></u>

PERFORMANCE NARRATIVE**00242** Valley City State University**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Valley City State University**Reporting level:** 00-242-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly. This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT
00242 Valley City State University
Version: 2011R0300242

Permanent Oil Tax Trust Fund

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>94,329</u>	<u>0</u>
Total Revenue and Net Transfers	94,329	0
Estimated Expenditures By Line:		
Capital Assets Carryover	<u>94,329</u>	<u>0</u>
Total Estimated Expenditures	<u>94,329</u>	<u>0</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT
00242 Valley City State University
Version: 2011R0300242
Valley City State Univ. Fund 242c

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>18,500,000</u>	<u>815,000</u>
Total Revenue and Net Transfers	18,500,000	815,000
Estimated Expenditures By Line:		
Capital Projects non-state funded	<u>18,500,000</u>	<u>815,000</u>
Total Estimated Expenditures	<u>18,500,000</u>	<u>815,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00243** Dakota College at Bottineau**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly.

This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

Program Performance Measures**Program:** Dakota College at Bottineau**Reporting level:** 43-243-100-00-00-00-00000000

The North Dakota University System publishes an annual accountability measures report each December, in response to the "flexibility with accountability" expectations of SB 2003 passed by the 2001 Legislative Assembly. Organized according to the five cornerstones of the Roundtable Report, these annual reports provide a useful framework for focusing the assets of the University System on the high-priority needs of the state. The 2010 annual accountability measures report is scheduled for completion in December 2010, and will be the most current information available to the 2011 Legislative Assembly.

This annual document serves as a primary tool for reporting on the agreed-upon North Dakota University System accountability measures and as a vehicle through which the system demonstrates its commitment to enhancing the economic and social vitality of North Dakota.

SPECIAL FUND REPORT
00243 Dakota College at Bottineau
Version: 2011R0300243

NDSU - Bottineau Fund 243c

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>2,000,000</u>	<u>56,250</u>
Total Revenue and Net Transfers	2,000,000	56,250
Estimated Expenditures By Line:		
Capital Projects non-state funded	<u>2,000,000</u>	<u>0</u>
Total Estimated Expenditures	<u>2,000,000</u>	<u>0</u>
Ending Balance	<u><u>0</u></u>	<u><u>56,250</u></u>

PERFORMANCE NARRATIVE

00244 North Dakota Forest Service

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The North Dakota Forest Service utilizes an accountability measures process to assess progress toward achieving long-range strategic goals, and ultimately the agency's mission. Accountability measures are linked to the long-range strategic planning process to document North Dakota Forest Service Program outcomes. The North Dakota Forest Service developed twelve (12) accountability measures using the State Forester's goals and statutory authority. The State Forester's goals are directly linked to the authority granted under North Dakota Century Code 4-19, 18-10 and 57-57 to meet the forestry needs of the state. Performance indicators, units of measure and program accomplishment data will be completed for each of the agency's 12 accountability measures beginning July 1, 2011, and ending June 30, 2013. A biennial accountability measures report will be prepared by the agency after June 30, 2013. The State Forester's goals and accountability measures are as follows:

1. To positively impact forest-based economic growth and sustainability of communities by promoting the wise use of natural resources.
2. To improve the protection of people, property and natural resources by enhancing interagency cooperation in wildfire management.
3. To support informed decision-making and foster individual responsibility in stewards of all ages by raising public awareness about natural resource values.
4. To meet the forest and conservation tree planting needs of private and public landowners by producing and marketing high quality nursery stock.
5. To meet current societal demands by ensuring the health, productivity and growth of private, community and state forests.

Accountability Measures:

1. Provide an environment for forest-based economic growth as measured by the percent of biomass and wood utilization businesses assisted.
2. Support forestry-based economic benefits as measured by the percent of financial assistance provided for forestry practices.
3. Enhance community wildfire protection planning at the local level as measured by the percent of counties that improve their level of planning.
4. Maintain or enhance rural fire department capacity as measured by the percent of fire protection districts that improve their capacity.
5. Develop wildland fire awareness and prevention programs at the local level as measured by the percent of counties that improve their level of fire awareness.
6. Provide education on the importance of North Dakota's forest resources as measured by the percent of K-12 teachers and students participating in educational opportunities.
7. Promote professionalism within the tree care industry as measured by the percentage of arborists that obtain training and certification.
8. Meet conifer (evergreen) conservation tree planting needs of North Dakota as measured by percent of customer tree orders supplied by Towner State Nursery.
9. Sustain North Dakota's natural resources through stewardship as measured by the percent of private forest acreage in active management and/or protection.
10. Develop sustainable community forestry programs as measured by the percent of communities and population served.
11. Ensure the health and sustainability of North Dakota's forests as measured by the percent of "at risk" forest resources surveyed for damaging agents.
12. Provide a quality outdoor state forest experience as measured by the percent of state forest land under multiple-use management.

Program Performance Measures**Program:** Operations**Reporting level:** 00-244-100-00-00-00-00000000

See performance measures under agency overview.

Program: Capital Assets**Reporting level:** 00-244-200-00-00-00-00000000

See performance measures under agency overview..

SPECIAL FUND REPORT
00244 North Dakota Forest Service
Version: 2011R0300244

Cent. Tree Prog. Trust Fund

	2009 - 2011	2011 - 2013
Beginning Balance	24,340	24,340
Revenue and Net Transfers:		
Revenue	<u>247,486</u>	<u>247,486</u>
Total Revenue and Net Transfers	247,486	247,486
Estimated Expenditures By Line:		
Operating Expenses	<u>247,486</u>	<u>247,486</u>
Total Estimated Expenditures	<u>247,486</u>	<u>247,486</u>
Ending Balance	<u><u>24,340</u></u>	<u><u>24,340</u></u>

SPECIAL FUND REPORT
00244 North Dakota Forest Service
Version: 2011R0300244

Forest Service Fund 244c

	2009 - 2011	2011 - 2013
Beginning Balance	370,397	309,829
Revenue and Net Transfers:		
Other Misc Rev	<u>750,000</u>	<u>750,000</u>
Total Revenue and Net Transfers	750,000	750,000
Estimated Expenditures By Line:		
Operating Expenses	<u>810,568</u>	<u>750,000</u>
Total Estimated Expenditures	<u>810,568</u>	<u>750,000</u>
Ending Balance	<u><u>309,829</u></u>	<u><u>309,829</u></u>

SPECIAL FUND REPORT

00244 North Dakota Forest Service

Version: 2011R0300244

School of Forestry-Seedling Reserve

	2009 - 2011	2011 - 2013
Beginning Balance	511,467	511,467
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Ending Balance	<u>511,467</u>	<u>511,467</u>

PERFORMANCE NARRATIVE

00250 State Library
Version 2011R0300504

Date: 01/06/2011
Time: 08:03:08

Agency Performance Measures

1. To annually award Library Vision 2010 (LV2010) and Library Services and Technology Act (LSTA) grant funds according to federal guidelines, North Dakota legislative intent, and guidelines set by the North Dakota Library Coordinating Council (NDLCC).
2. To sponsor biennial focus groups to gather information from librarians, trustees, and citizens of North Dakota to be used in statewide library planning efforts.
3. To measure impact on community activities and economic development of programs that are awarded grants through the use of annual customer satisfaction surveys and quarterly reports.
4. To inform citizens statewide of all types of library services by sponsoring booths at annual conferences, preparing timely press releases and public service announcements, and by publishing the Flickertale newsletter bimonthly.
5. To provide library and information services statewide by responding to requests and sending materials within two days.
6. To develop and expand the statewide online library catalog and add three to five new libraries each biennium.

Program Performance Measures

Program: Administration	Reporting level: 01-250-600-00-00-00-00000000
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To provide testimony to legislative committees. Presentations are made to both the House and Senate during legislative years, and legislators are informed of the agency goals and services.

To secure statewide library contracts at a reduced cost by creating partnerships with other libraries and organizations within North Dakota, and with other states. Statewide contracts are established for MINITEX and OCLC services that reduce the cost of these services. Contracts are established with online resource providers, which greatly reduces the cost of these online services to individual libraries.

To monitor grants quarterly to assure compliance. Grant recipients are required to provide quarterly reports, which are reviewed for activity, content, and compliance.

To conduct annual and biennial surveys to measure customer satisfaction regarding State Library services; surveys are conducted by telephone and in paper format.

To award, annually, grant scholarships to North Dakotans who pursue their Masters of Library Science degree and work in North Dakota.

Program: Services	Reporting level: 01-250-700-00-00-00-00000000
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To provide accurate answers to reference requests for information within two days of receipt and to staff reference services with at least two staff. Questions are answered in two days or less.

To process interlibrary loan requests within two working days of receipt.

To complete cataloging of new items within one week of receipt by creating accurate cataloging records according to national standards, and to catalog items for state agency libraries and small public and school libraries.

To retrieve, catalog, maintain, and provide access to all available paper and electronic documents published by North Dakota state agencies. Documents published by North Dakota state agencies are retrieved, cataloged, maintained, and available for access.

To provide library and information services in appropriate formats to citizens with disabilities. Citizens with disabilities are served through large print materials, reference assistance, books recorded on tape, a radio reading service (Dakota Radio Information Service), and other requested formats.

PERFORMANCE NARRATIVE

00250 State Library
Version 2011R0300504

Date: 01/06/2011
Time: 08:03:08

Program: Programs

Reporting level: 01-250-800-00-00-00-00-00000000

To offer annual continuing education workshops for library trustees and librarians. Workshops are offered each spring in two locations to librarians from all types of libraries and to public library board members, covering planning, library services, collection development, new technologies, and fund raising. Annual training is delivered to librarians, citizens, and public library board members in the use of Online Library Resources and the statewide library catalog. Training is provided in multiple locations in the use of Online Library Resources and the statewide catalog.

To administer the grant programs. Library grants are made available to all types of libraries through a competitive grant program in cooperation with the North Dakota Library Coordinating Council. Grants are awarded on a competitive basis to libraries to join the statewide library catalog; to provide library services in partnership with community organizations; and to develop innovative programs.

To partner with Prairie Public Radio (PPR) to provide library and information services to citizens with disabilities through the Dakota Radio Information Services (DRIS). A radio reading service is offered using Prairie Public Radio's communication delivery service.

SPECIAL FUND REPORT

00250 State Library

Version: 2011R0300250

Library Commission Fund - 390

	2009 - 2011	2011 - 2013
Beginning Balance	27,386	0
Revenue and Net Transfers:		
General Government	<u>64,466</u>	<u>91,852</u>
Total Revenue and Net Transfers	64,466	91,852
Estimated Expenditures By Line:		
Operating Expenses	<u>91,852</u>	<u>91,852</u>
Total Estimated Expenditures	<u>91,852</u>	<u>91,852</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00252** School for the Deaf**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

Program Performance Measures**Program:** Auxiliary Services**Reporting level:** 00-252-500-00-00-00-00-00000000

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

Program: Administration**Reporting level:** 00-252-600-00-00-00-00-00000000

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

Program: Resident Living**Reporting level:** 00-252-700-00-00-00-00-00000000

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

Program: Education Including Transportation**Reporting level:** 00-252-800-00-00-00-00-00000000

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

PERFORMANCE NARRATIVE

00252 School for the Deaf

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: Outreach Services

Reporting level: 00-252-900-00-00-00-00000000

Performance measures and results reporting are not currently used by the ND School for the Deaf (NDSD), but will be implemented in 2010 in compliance with the Future Services Plan.

SPECIAL FUND REPORT

00252 School for the Deaf

Version: 2011R0300252

School for the Deaf Fund - 353

	2009 - 2011	2011 - 2013
Beginning Balance	649,429	258,995
Revenue and Net Transfers:		
Leases, Rents, and Royalties	160,458	165,424
Tsfr Fm Gen Fund-Set Aside (49	0	835,000
Transfers In	356,000	454,000
General Government	39,324	78,644
Charges for Services/Sales	<u>118,800</u>	<u>186,788</u>
Total Revenue and Net Transfers	674,582	1,719,856
Estimated Expenditures By Line:		
Operating Expenses	876,876	884,876
Capital Assets	1,023,140	936,430
Unexpended Appropriations	<u>(835,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>1,065,016</u>	<u>1,821,306</u>
Ending Balance	<u><u>258,995</u></u>	<u><u>157,545</u></u>

PERFORMANCE NARRATIVE**00253** ND Vision Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Agency adheres to current mission. Refer to program performance measures for strategic plan objectives.

Program Performance Measures**Program:** Outreach Education**Reporting level:** 00-253-100-00-00-00-00000000

NDVS/SB has implemented a Strategic Plan with the following four primary objectives:

- Design, refine, and implement technology and job /work skills systems,
- Design and implement methodologies for enhancing public understanding, acceptance, and awareness,
- Define and, as necessary and feasible, expand programs, services, staffing, and opportunities,
- Evaluate programs and services (efficiency, accountability, and follow-up)

Staff of NDVS/SB has worked with students, adult clients, family members and related organizations as it relates to assistive technology enabling persons who are blind or visually impaired to be more independent educationally and/or vocationally. Public awareness has been enhanced through the website, brochures, presentations, and the media. Programming is continually being refined to best meet the needs of all persons who are blind or visually impaired residing in the state of North Dakota. A evaluation survey form has been established. A process has been developed to evaluate the outcome of services to include overall quality of services, timeliness of service, knowledge base, courtesy and respect, usefulness, cooperation, and overall satisfaction.

The Strategic Plan will be reviewed and updated on an ongoing basis.

Source: NDVS/SB Strategic Plan

SPECIAL FUND REPORT

00253 ND Vision Services

Version: 2011R0300253

School for the Blind Fund - 354

	2009 - 2011	2011 - 2013
Beginning Balance	283,435	151,269
Revenue and Net Transfers:		
Admissions	40,000	40,000
Tsfr Fm Common Schools	234,000	247,144
Lease-Rental Of Rooms-Bld	376,592	376,592
Lease-Rental Of Land	5,800	5,800
Contributions And Private Gran	30,000	30,000
Charges for Services/Sales	1,000	1,000
Braille Services	6,000	6,000
Total Revenue and Net Transfers	693,392	706,536
Estimated Expenditures By Line:		
Salaries and Wages	384,213	400,402
Operating Expenses	402,642	402,642
Capital Assets	0	32,047
Deferred Maintenance	32,047	0
Unexpended Appropriations	6,656	0
Total Estimated Expenditures	825,558	835,091
Ending Balance	<u>151,269</u>	<u>22,714</u>

SPECIAL FUND REPORT

00253 ND Vision Services

Version: 2011R0300253

Vision Aids & Appliances Fund -271

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Ending Balance	<u>0</u>	<u>0</u>

Continuing Appropriation

253 ND Vision Services

Version: 2011-R03-00253

Project: 1 The Store Fund

Date: 01/04/2011

Time: 14:00:50

Version 2011R0300253 Number 1

Description The Store Fund

Statutory Authority 25-06-10

Special Fund number and name 271 Visual Aid & Appliance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	4,298	2,165	1,255	1,255
Revenue/transfers	12,438	11,967	12,000	12,000
Total available	16,736	14,132	13,255	13,255
Expenditures	14,571	12,877	12,000	12,000
Ending Balance	2,165	1,255	1,255	1,255

NDVS/SB established the store to provide North Dakota consumers who are blind and visually impaired with easier access to adaptive aids they may require for independent living. These vision specific items include writing supplies, magnifiers, protective eye wear, clocks, calendars, kitchen devices, sewing aids, and games.

This is a revolving fund. The items sold at the store typically are not available in a local retail store. When a student or adult receives training at the school, they may want to purchase an item that was recommended during training. If they would purchase the item through a catalog, it could take weeks and they may need assistance making the purchase. Maintaining the store for the less privileged is an important service that NDVS/SB provides to the residents of the state.

PERFORMANCE NARRATIVE**00270** Career and Technical Education**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The Department of Career & Technical Education has developed performance measures that are required by the Carl D Perkins Act through the US Department of Education. We must perform within 90% of the base, which we did in all areas. These measures are for the last year reported, 2008-2009 school year.

- Academic Attainment in Language Arts; the base=62%, actual results=58.82%
- Academic Attainment in Math; the base=49%, actual results=51.25%
- Technical Skills Attainment; the base=78%, actual results=82.82%
- School Completion; the base=88%, actual results=91.18%
- Graduation Rate; the base=82.37%, actual results=95.37%
- Placement; the base=68%, actual results=78.12%
- Nontraditional Participation; the base=20%, actual results=20.32%
- Nontraditional Completion; the base=13%, actual results=15.30%

Program Performance Measures**Program:** Administration**Reporting level:** 00-270-301-00-00-00-00-00000000

See the departments narrative for performance measures.

Program: Technical Assistance**Reporting level:** 00-270-302-00-00-00-00-00000000

See the departments narrative for performance measures.

SPECIAL FUND REPORT

00270 Career and Technical Education

Version: 2011R0300270

Vocational Education Fund - 393

	2009 - 2011	2011 - 2013
Beginning Balance	21,210	38,236
Revenue and Net Transfers:		
Trade School Permits	60,000	82,000
Contributions And Private Gran	<u>62,000</u>	<u>86,000</u>
Total Revenue and Net Transfers	122,000	168,000
Estimated Expenditures By Line:		
Operating Expenses	42,250	42,250
Grants	112,724	112,724
Adult Farm Management	50,000	50,000
Unexpended Appropriations	<u>(100,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>104,974</u>	<u>204,974</u>
Ending Balance	<u><u>38,236</u></u>	<u><u>1,262</u></u>

PERFORMANCE NARRATIVE

00301 ND Department of Health

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Agency performance measures are included in each program narrative. They were developed through our strategic planning process. Targets were typically established based on historical data and U.S. averages. Key measures are those addressing tobacco use, obesity, clean air and drinking water, immunization, emergency preparedness, and access to quality health care.

Program Performance Measures

Program: Administrative Support	Reporting level: 00-301-100-00-00-00-00000000
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- Maintain employee turnover rate at less than North Dakota state agency average (2006: ND – 10.6%, Department of Health – 8.9%; 2008: ND – 9.1%, Department of Health – 7.4%; 2009: ND – 8.2%, Department of Health – 5%)
- Improve employee satisfaction rate (No data or benchmark yet)
- Hold administrative overhead to less than 5% (03-05 biennium 4.03%; 05-07 biennium 3.18%; 07-09 biennium 2.58%)

Program: Medical Services	Reporting level: 00-301-210-00-00-00-00000000
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- By 2012, decrease the rate of vaccine-preventable diseases (step pneumo, pertussis, chickenpox, mumps, meningococcal disease, hepatitis A, hepatitis B, diphtheria, tetanus, rubella, Hib) to fewer than 25 per 100,000 population. (2009 baseline – 29.7)
- By 2012, decrease the pneumonia and influenza death rate to fewer than 60 per 100,000 population. (2006-07 – 78; 2009-10 – 62)
- By 2012, increase the percentage of children up-to-date on vaccines (4:3:1:3:3:1:4 schedule) at 2 years of age to 90%. (2008 –69.7% without Hib data, 65.5% with Hib data; 2009 – 72.7% without Hib data, 52.4% with Hib data)
- By 2010, decrease the percentage of adults age 65 and older who reported not being vaccinated for influenza during the previous year to 25%. (2006 – 28%; 2009 – 30%)
- By 2010, decrease the incidence of reported gonorrhea cases at fewer than 19 per 100,000 population. (2007 – 17.8; 2009 – 23.3)
- By 2010, decrease the rate of infections caused by key foodborne pathogens (Salmonella, Shigella, E. coli, and Campylobacter) to fewer than 25 per 100,000 population (2007 – 33.8; 2009 – 33.9)

Program: Health Resources	Reporting level: 00-301-300-00-00-00-00000000
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- By January 1, 2012, decrease the incidence of low risk pressure ulcers in Skilled Nursing Facilities to 2.0%. (2005 – 2.81%; 2009 – 2.1%)
- By January 1, 2012, maintain the incidence of the use of physical restraints in Skilled Nursing Facilities at 1.5% + 0.1%. (2005 – 2.51%; 2009 – 1.4%)
- By January 1, 2012, maintain the percentage of skilled nursing facility residents with moderate to severe pain at 4.0%. (2005 – 6.17%; 2009 – 3.1%)
- By January 1, 2012, reduce the number of retail and food service facilities with critical violations by 10%. (2009 baseline – 1,516)
- By January 1, 2012, increase the percentage of state and local food inspectors (EHP'S) who are standardized on the federal food code by 10%. (2009 baseline – 17.9%)
- By January 1, 2012, reduce the number of foodborne outbreaks in licensed and inspected facilities by 10%. (2009 baseline – 6)

Program: Community Health	Reporting level: 00-301-400-00-00-00-00000000
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PERFORMANCE NARRATIVE

00301 ND Department of Health

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Goal:

Improve the health status of the people of North Dakota

- By 2015, increase the percentage of ND children ages 10 through 17 with a body mass index (BMI) in the normal weight range to 80% (2007 – 69.2: National Children's Study)
- By 2015, decrease the percentage of ND adults who are overweight or obese to 60% (2009 -- – 66.2: BRFSS)
- By 2015, decrease the incidence of low birth weight to 6.0 (2005 – 6.4, 2009 – 6.4: NVSS)
- By 2015, decrease the percentage of ND youth grades nine through 12 who are overweight or obese to 20% (2009 – 24.5: YRBS)
- By 2015 reduce the rate of diagnosed diabetes among adults to 3 per 100,000 (2009 – 7: BRFSS)
- By 2015, increase the percentage of adults with diabetes who received at least two HgA1c tests during the year to 72% (2009 – 69%: BRFSS)
- By 2015, decrease the coronary heart disease death rate among people birth to age 64 to 25 (2005– 27, 2006 -- 27: NVSS)
- By 2015, decrease the cerebrovascular disease death rate to 35 (2005– 48, 2006 -- 44: NVSS)
- By 2015, decrease the preventable cancer death rate to 90 (2005– 94: NVSS)
- By 2015, decrease the asthma death rate to 0.7 (2004 – 1.2, 2009 – 0.8: NVSS)
- By 2015, decrease the kidney disease death rate to 8.0 (2005 – 7.1, 2009 – 10.7: NVSS)
- By 2015, decrease the suicide death rate among 10- to 24-year-olds to 7 (2005– 19.79, 2008 – 10.6: NVSS and WISQARS)
- By 2015, decrease the number of people age 18 and older reporting rape or sexual assault within the past 5 years (Unable to state baseline or set state)
- By 2015, decrease the number of people 13 to 17 years old reporting rape or sexual assault within the past five years (Unable to state baseline or set state)
- By 2015, decrease the number of people age 18 and older who report having been physically assaulted by current or former intimate partner within the last five years (Unable to state baseline or set state target as statewide data collection was begun in 2008 BRFSS)
- By 2015, decrease the death rate among children ages 1 through 24 caused by unintentional injuries to 16 (2008 21: NVSS)
- By 2015, decrease the death rate due to motor vehicle crashes among children from birth to age 14 to 4 (2005 – 9.2, 2008 – 4.6: NVSS)
- By 2015, decrease the percentage of ND adults who are current smokers to 17% (2005– 20%, 2008 – 18.1%: BRFSS)
- By 2015, decrease the percentage of North Dakota youth in grades nine through 12 who are current smokers to 21%. (2005– 22%, 2009 – 22.4%: YRBS)
- By 2015, increase the percentage of North Dakota smokers who have made a quit attempt within the past year to 57% (2005– 49%, 2006 – 52.2%: BRFSS)
- By 2015, decrease the alcoholic liver disease and cirrhosis death rate to 8.5 (2005 : 11.9, 2009 – 9.1: NVSS)
- By 2015, decrease the percentage of youth who reported current marijuana use to 14% (2005 – 16%, 2009 – 16.9%: YRBS)
- By 2015, decrease the percentage of youth who reported current binge drinking to 30% (2005 -- 34%, 2009 – 30.7%: YRBS)
- By 2015, decrease the percentage of adults who reported current binge drinking to 19% (2005 – 19%, 2009 – 21.4%: BRFSS)

Goal:

Improve access to and delivery of quality health care

- By 2015, maintain the prevalence of obesity among American Indians at 33 (2001– 33, 2009 – 28.4: BRFSS)
- By 2015, maintain the rate of suicide among American Indians at or below 30 (2005– 55, 2009 -- 22: NVSS)
- By 2015, decrease the percentage of American Indians age 18 and older who report current smoking to 45% (2004– 48.4%, 2008 – 48.5%: BRFSS)
- By 2015, increase the dentist to population ratio in non-urban areas to 60 (2003– 54.8, 2007 – 58.9: North Dakota Dental Association)
- By 2015, decrease the percentage of adult women age 21 and older who report not having had a pap smear in the past three years to 13% (2004– 14%, 2008 – 17.2%: BRFSS)
- By 2015, decrease the percentage of women 40 and older who report never having had a mammogram to 7% (2004 – 10%, 2008 – 8%: BRFSS)
- By 2015, decrease the percentage of adults who report not having a cholesterol test in the last five years to 25 (2005 – 28, 2009 – 24.4%: BRFSS)

PERFORMANCE NARRATIVE**00301** ND Department of Health**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

- By 2015, increase the percentage of pregnant women who receive first trimester prenatal care to 88% (2005– 86%, 2009 – 86%: NVSS)
- By 2015, decrease the infant death rate to 5.5 (2005 – 5.8, 2009 – 6.1: NVSS)
- By 2015, decrease the adolescent pregnancy rate to 13 (2005– 16.9, 2009 – 15.6: NVSS)
- By 2015, decrease the percentage of third graders with unrestored caries to 18% (2005– 17%: BSS; 2010 data – 21%: BSS)
- By 2015, increase the ratio of school nurses to students to 1 per 2,500 (2005 – 1 per 3,527, 2007 – 1 per 3,068: ND School Health Services Survey)
- By 2015, increase the percentage of women who have adequate or adequate plus prenatal care to 90% (2005– 88%, 2009 – 88%: NVSS)
- By 2015, decrease the percentage of North Dakotans who are uninsured to 10% (2005 – 11%, 2009 – 11.8%: CPS)

Program: Environmental Health**Reporting level:** 00-301-500-00-00-00-00-00000000**Air Quality Division**

- Preserve and Improve Air Quality
- By 2013, maintain percentage of facilities meeting Clean Air Act (CAA) requirements for permitting. Data for the period July 1, 2009 through June 30, 2010 indicates that over 99% of North Dakota facilities met permit requirements. The Air Quality Program works to reduce emissions from industries in the State. Over the past ten years, North Dakota has seen emissions reductions of all criteria air pollutants from major stationary sources including electric utilities. Emissions of SO₂ have decreased by 20%, NO_x by 18% and Particulate Matter by 43%. In addition, Air Quality is working to implement Regional Haze regulations which are expected to further reduce SO₂ emissions by 65% and NO_x emissions by 30% relative to 2009 levels.

Strategy for Achieving Performance Measure

- Maintain state primacy for the federal Clean Air Act (CAA)
- Track compliance with monitoring and reporting requirements under the CAA
- Maintain required data in federal database systems
- Provide training on existing and new CAA rules to regulated facilities/entities
- Conduct inspections
- Provide CAA compliance assistance to regulated facilities
- Maintain and improve relationships and outreach activities with the public and regulated communities

Barriers to Achieving Measure

- Adequacy of federal and state funding to sustain program activities
- Number, complexity, and effective date of new rules under the CAA
- The ability of the department to hire and retain technically competent staff to sustain program activities

Municipal Facilities Division

- Ensure Safe Public Drinking Water
- By 2013, maintain or increase percentage of community water systems meeting all applicable health-based drinking water standards under the Safe Drinking Water Act (SDWA). FY 2009 (October 1, 2008 through September 30, 2009) data indicates that North Dakota has 94.3% of our water systems meeting these standards. EPA has proposed a national target of 90% for this measure for 2011.

PERFORMANCE NARRATIVE**00301** ND Department of Health**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

- By 2013, maintain or increase percentage of public water systems (PWSs) meeting operator certification requirements for water treatment. FY 2009 data indicates North Dakota has 91.2% of PWSs meeting these requirements. There is presently no national target for this measure.
- By 2013, maintain or increase percentage of PWSs meeting operator certification requirements for water distribution. FY 2009 data indicates 83.2% of PWSs are meeting these requirements. There is presently no national target for this measure.

Strategy for Achieving Performance Measures

- Maintain state primacy for the federal SDWA
- Track PWS compliance with monitoring and reporting requirements under the SDWA
- Maintain required data in the federal Safe Drinking Water Information System
- Provide training on existing and new SDWA rules to PWSs
- Conduct sanitary surveys of PWSs
- Implement the operator training program
- Implement the drinking water state revolving loan fund program
- Implement and maintain surface and ground water protection programs (i.e. emergency response, ambient monitoring and Wellhead Protection)
- Implement a laboratory certification program
- Maintain adequate laboratory capability to support PWS and department testing needs
- Implement a PWS compliance assistance strategy and provide technical assistance to PWSs on drinking water issues

Barriers to Achieving Measures

- Adequacy of federal and state funding to sustain program activities
- Number, complexity, and effective date of new drinking water rules under the SDWA
- The ability of small communities to hire and retain qualified operators given declining population and financial constraints
- The ability of the department to hire and retain technically competent staff to sustain program activities

Waste Management Division

- Prevent environmental contamination from Resource Conservation and Recovery Act (RCRA) facilities.
- By 2013, increase percentage of underground storage tank facilities (UST) in Significant Operational Compliance with release prevention requirements. Year 2009 data shows that currently 81% and 76% of North Dakota UST facilities are in compliance with release prevention and release detection, respectively. The national target is 70%.
- By 2013, maintain or increase percentage of municipal solid waste (MSW) and special waste disposal facilities meeting applicable state and federal waste management regulations. North Dakota is currently at 96% of MSW and special waste facilities meeting state and federal waste management rules. There is no national target for this measure.

Strategy for Achieving Performance Measures

- Maintain state primacy for the federal RCRA programs
- Maintain/revise state authorities and rules for an adequate compliance monitoring and enforcement program
- Maintain required data in the applicable state and federal databases
- Promote compliance with federal and state requirements through public outreach
- Conduct inspection and compliance assistance visits of regulated facilities
- Maintain adequate laboratory capability to support department testing needs

PERFORMANCE NARRATIVE

00301 ND Department of Health

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- Implement a compliance assistance strategy and provide technical assistance to facility operators
- Maintain and improve relationships and outreach activities with the public and regulated communities
- Evaluate issues on proposed federal rules for coal combustion waste.
- Promote waste reduction, recycling and pollution prevention measures appropriate for North Dakota.
- Work with North Dakota communities and counties to meet waste management needs regarding abandoned buildings, infrastructure, economic development and energy impacts.

Barriers to Achieving Measures

- Adequacy of federal and state funding to sustain program activities
- Number, complexity, and effective date of new UST requirements under Energy Policy Act of 2005
- Number, complexity and effective date of new waste handling and management federal rules
- The ability of the department to hire and retain technically competent staff to sustain program activities
- Ability to site new landfills or find acceptable innovative waste disposal solutions

Water Quality Division

- Ensure North Dakota Pollutant Discharge Elimination System (NDPDES) Compliance.
- By 2013, maintain or increase compliance with NDPDES program based on Technical Review Criteria (TRC) established by US EPA Permit Compliance System. Data for 2009 indicates that 93% of our facilities are in compliance with this program's requirements. There is no national target for this measure.
- By 2013, maintain or increase percentage of municipal and industrial facilities with a current NDPDES permit (permit backlog). 2009 data indicate that North Dakota has 98.9% of our facilities with a current NDPDES permit.

Strategy for Achieving Performance Measures

- Maintain state primacy for NDPDES
- Track compliance with monitoring and reporting requirements under the NDPDES
- Maintain required data in the federal Permit Compliance System
- Provide training on existing and new NDPDES rules to permitted facilities
- Conduct inspections of permitted facilities
- Implement the operator training program
- Implement the clean water state revolving loan fund program
- Implement a surface and ground water protection program
- Implement a laboratory certification program
- Maintain adequate laboratory capability to support NDPDES and department testing needs
- Provide technical assistance to permitted facilities on wastewater treatment issues
- Maintain and improve relationships and outreach activities with the public and regulated communities

Barriers to Achieving Measures

- Adequacy of federal and state funding to sustain program activities
- Number, complexity, and effective date of federal NDPDES rules under the Clean Water Act
- The ability of small communities to hire and retain qualified operators given declining population and financial constraints
- The ability of the department to hire and retain technically competent staff to sustain program activities

PERFORMANCE NARRATIVE

00301 ND Department of Health

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- The financial ability of small operators to comply with new and changing environmental regulations

Program: Emergency Preparedness and Response**Reporting level:** 00-301-600-00-00-00-00-00000000

- Decrease the response time from call receipt to EMT arrival to less than nine minutes for 90% of urban ambulance calls. (2008—2010 baseline: 85.8%)
- Maintain the response time from call receipt to EMT arrival at less than 20 minutes for 90% of rural EMS agency's calls. (2008—2010 baseline: 90%)
- Maintain the response time from call receipt to EMT arrival at less than 31 minutes for 90% of frontier EMS agency's calls. (2008—2010 baseline: 96.1%)
- Increase the percentage of acute care hospitals designated as trauma centers to 100%. (2010 baseline: 91%)
- Maintain the percentage of Hospital Preparedness Program (HPP) participating hospitals that review their response plan and make modifications to the plan as appropriate following the after-action report at 50% or higher. (2008 – 70%, 2009 – 62%)
- Increase the percentage of public health and hospitals that have developed a plan for pandemic influenza preparedness to 75%. (2008 – 64%, 2009 – 91%)
- Increase to 90% the percentage of local public health units that have a knowledgeable public health professional able to respond 24/7 to calls about events of urgent public health consequence. (2008 – 70%, 2009 – 80%)
- Maintain the time required to issue guidance to the public following an event at 6 hours or less. (2009 – 1 hr. 30 min.; 2009 – 2 hrs. 13 min.)
- Maintain the percentage of public health responders who receive public health communication messages at 90% or higher. (2008 – 98%, 2009 – 98%)
- Maintain the percentage of medical responders who receive public health communication messages at 90% or higher. (2008 – 100%, 2009 – 95%)
- Maintain the time required to notify all incident command staff for NDDoH to report to the DOC at a mean of 60 minutes or less. (2008 – 2.5 min., 2009 – 3 min.)
- Increase the percentage of the population that can receive prophylaxis in 48 hours to 100%. (2007 – 77%, 2008 – 83%)
- Increase the percentage of regional public health to respond following receipt of urgent message to 100%. (2009 – 75%, 2010 – 62%)
- Increase the percentage of HPP participating hospitals that can increase bed capacity by at least 10% within eight hours to 75%. (2008 – 82%, 2009 – 67%)

Program: Special Populations**Reporting level:** 00-301-700-00-00-00-00-00000000

- By 2015, increase the percentage of CSHCN who receive coordinated, ongoing comprehensive care within a medical home to 60%. (2001 -- 54.7%; 2005 -- 51.2%)
- By 2015, increase the percentage of CSHCN whose services are organized in ways that families can use them easily to 95%. (2001 – 83.4%; 2005 -- 92.3%)
- By 2015, increase the percentage of CSHCN whose families have adequate private and/or public insurance to pay for the services they need to 70%. (2001 -- 62%; 2005 – 68.2%)
- By 2011, increase the primary care physician to population ratio in non-urban areas to 76. (2003 -- 75.4; 2007 -- 67.1)
- By 2011, increase the dentist to population ratio in non-urban areas to 60. (2003 -- 54.8; 2007 -- 58.9)
- By 2011, decrease the percentage of population with no primary care provider in non-urban areas to 19 (2003 -- 22; 2006 -- 21)
- By 2011, decrease the percentage of adults who reported missing care due to cost during the past year to 6.1% (2003 -- 6.8%; 2006 -- 7.6%; 2009 – 6.2%)
- By 2011, decrease the percentage of all persons who are uninsured to 10% (2003 -- 10.9% 2006-- 10.6%; 2009 – 11.8%)
- By 2015, maintain the rate of suicide among American Indians at or below 30. (2006 -- 57; 2007 -- 30; 2009 -- 22)
- By 2011, decrease the percentage of adults with a household income of less than \$15,000 who reported not having a dental visit in the past year to 40% (2004 -- 45%; 2006-- 50%; 2008 – 52%)
- By 2011, decrease the percentage of adults without a personal physician among persons with household incomes less than\$15,000 to 17% (2003-- 18%; 2006 -- 26%; 2009 – 30%)

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

Abandoned Vehicle Fund 202

	2009 - 2011	2011 - 2013
Beginning Balance	250,000	250,000
Revenue and Net Transfers:		
Tsfr Fm Abandoned Vehicle Fund	<u>250,000</u>	<u>250,000</u>
Total Revenue and Net Transfers	250,000	250,000
Estimated Expenditures By Line:		
Grants	<u>250,000</u>	<u>250,000</u>
Total Estimated Expenditures	<u>250,000</u>	<u>250,000</u>
Ending Balance	<u><u>250,000</u></u>	<u><u>250,000</u></u>

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

Community Health Trust Fund 316

	2009 - 2011	2011 - 2013
Beginning Balance	1,299,379	0
Revenue and Net Transfers:		
Tobacco Settlement Funds	4,373,246	4,583,119
Tsfr Fm General Fund	<u>671,987</u>	<u>0</u>
Total Revenue and Net Transfers	5,045,233	4,583,119
Estimated Expenditures By Line:		
Operating Expenses	304,332	0
Grants	2,226,881	877,624
Tobacco Prevention & Control	3,510,495	3,510,496
Unexpended Appropriations	<u>302,904</u>	<u>0</u>
Total Estimated Expenditures	<u>6,344,612</u>	<u>4,388,120</u>
Ending Balance	<u><u>0</u></u>	<u><u>194,999</u></u>

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

Domestic Violence Prev Fund 462

	2009 - 2011	2011 - 2013
Beginning Balance	58,679	58,679
Revenue and Net Transfers:		
Marriage License Fees	<u>340,000</u>	<u>340,000</u>
Total Revenue and Net Transfers	340,000	340,000
Estimated Expenditures By Line:		
Grants	<u>340,000</u>	<u>340,000</u>
Total Estimated Expenditures	<u>340,000</u>	<u>340,000</u>
Ending Balance	<u><u>58,679</u></u>	<u><u>58,679</u></u>

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

EHPL Administrators Fund 313

	2009 - 2011	2011 - 2013
Beginning Balance	3,051	3,051
Revenue and Net Transfers:		
Misc. License/Fees	3,000	3,000
Total Revenue and Net Transfers	3,000	3,000
Estimated Expenditures By Line:		
Operating Expenses	3,000	3,000
Total Estimated Expenditures	3,000	3,000
Ending Balance	3,051	3,051

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

Health & Consolidated Lab Fund 370

	2009 - 2011	2011 - 2013
Beginning Balance	2,404,314	2,037,345
Revenue and Net Transfers:		
Rest.-Hotel-Trl. Ct.-Etc.	694,887	619,000
Health Fac. Licensing Fee	189,439	221,180
Misc. License/Fees	25,035,526	22,447,097
Radiation Health Permit	405,000	436,000
A-P Prog. Const. Permits	3,111,885	3,460,999
Total Revenue and Net Transfers	29,436,737	27,184,276
Estimated Expenditures By Line:		
Salaries and Wages	3,979,441	3,990,261
Operating Expenses	22,552,631	22,727,617
Capital Assets	180,000	244,400
Grants	200,000	215,000
Tobacco Prevention & Control	2,891,634	0
Total Estimated Expenditures	29,803,706	27,177,278
Ending Balance	2,037,345	2,044,343

SPECIAL FUND REPORT

00301 ND Department of Health

Version: 2011R0300301

Wastewater Operators Cert. Fund 371

	2009 - 2011	2011 - 2013
Beginning Balance	11,613	0
Revenue and Net Transfers:		
Wastewater Oper Cert. Fee	<u>24,578</u>	<u>23,545</u>
Total Revenue and Net Transfers	24,578	23,545
Estimated Expenditures By Line:		
Salaries and Wages	22,996	19,291
Operating Expenses	<u>13,195</u>	<u>4,253</u>
Total Estimated Expenditures	<u>36,191</u>	<u>23,544</u>
Ending Balance	<u><u>0</u></u>	<u><u>1</u></u>

Continuing Appropriation

Date: 01/04/2011

301 ND Department of Health

Time: 14:01:17

Version: 2011-R03-00301

Project: 1 Environmental Quality Restoration Fund

Version 2011R0300301 Number 1

Description Environmental Quality Restoration Fund

Statutory Authority 23-31-02

Special Fund number and name 258 Environmental Quality Restoration Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	303,280	249,972	289,118	288,281
Revenue/transfers	0	53,309	14,163	15,000
Total available	303,280	303,281	303,281	303,281
Expenditures	53,308	14,163	15,000	15,000
Ending Balance	249,972	289,118	288,281	288,281

Environmental Quality Restoration Fund (EQRF) This fund was established to allow the department to provide immediate and timely response to catastrophic events that threaten the public and environmental health and where the responsible party is late in responding or cannot be located. The EQRF would be used to provide environmental and public health protection by funding emergency response activities to include assessment, containment, removal, corrective action or monitoring as determined on a case-by-case basis. These funds are needed in order to provide a quick response to an environmental emergency.

Continuing Appropriation

Date: 01/04/2011

301 ND Department of Health

Time: 14:01:17

Version: 2011-R03-00301

Project: 2 Organ Tissue Transplant Fund

Version 2011R0300301 Number 2

Description Organ Tissue Transplant Fund

Statutory Authority 23-01-05.1

Special Fund number and name 257 Organ Tissue Transplant Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	9,744	12,990
Revenue/transfers	28,869	31,298	22,646	23,000
Total available	28,869	31,298	32,390	35,990
Expenditures	28,869	21,554	19,400	23,000
Ending Balance	0	9,744	12,990	12,990

This fund was established to provide financial assistance to organ or tissue transplant patients who are residents of this state and demonstrate financial need. The State Health Officer is responsible for adopting rules and administering the fund, and the North Dakota Tax Department collects the funds.

Continuing Appropriation

Date: 01/04/2011

301 ND Department of Health

Time: 14:01:17

Version: 2011-R03-00301

Project: 3 Veterinarian Loan Repayment

Version 2011R0300301 Number 3

Description Veterinarian Loan Repayment

Statutory Authority 43-29.1-08

Special Fund number and name 370 Veterinarian Loan Repayment

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	34,224	0
Revenue/transfers	0	100,000	0	0
Total available	0	100,000	34,224	0
Expenditures	0	65,776	34,224	0
Ending Balance	0	34,224	0	0

This continuing appropriation will be used to accept any conditional or unconditional gifts, grants, or donations for the purpose of providing funds for the repayment of veterinarians' educational loans.

Continuing Appropriation

Date: 01/04/2011

301 ND Department of Health

Time: 14:01:17

Version: 2011-R03-00301

Project: 4 Combined purchasing with Local Public Health Units

Version 2011R0300301 Number 4

Description Combined purchasing with Local Public Health Units

Statutory Authority 23-01-28

Special Fund number and name 370 Health & Consolidated Lab

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	0	0	0	0
Total available	0	0	0	0
Expenditures	0	0	0	0
Ending Balance	0	0	0	0

This continuing appropriation will be used to assist the Local Health Agencies to purchase vaccines. Vaccines are not always available to Local Health Units so it is necessary for the Department to purchase the vaccine and request the payment from the Locals. Once the vaccines are delivered and the payments received the net effect would be zero.

PERFORMANCE NARRATIVE**00305** Tobacco Prevention and Control**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Outcome measures that can be expected within five years with a fully implemented statewide, comprehensive plan:

- Reduce youth smoking by 12.7 percent.
- Stop 4,570 North Dakota youth from becoming addicted adult smokers.
- Prompt more than 3,500 adult smokers to quit for good.
- Save more than 2,380 North Dakota citizens from dying prematurely from smoking.

Program Performance Measures**Program:** Tobacco Prevention and Control Program**Reporting level:** 05-305-100-00-00-00-00000000

- The number of tobacco-free campus policies in K-12 schools and higher education has increased to 50 percent of all K-12 school districts and 11 college campuses.
- All public places and places of employment in North Dakota are 100 percent smoke-free by law.
- The cigarette excise tax has increased to \$2.00 per pack and the excise tax on other tobacco taxes increased by an equal and proportional amount.
- All local public health units and three of the largest health care systems use the PHS Clinical Practice Guidelines systems approach to refer tobacco users to cost-effective cessation services.
- Adequate state and local infrastructure and capacity is established and is managing and implementing the new comprehensive statewide tobacco prevention and control plan with fidelity.

SPECIAL FUND REPORT
00305 Tobacco Prevention and Control
Version: 2011R0300305

Tobacco Prevention and Control

	2009 - 2011	2011 - 2013
Beginning Balance	0	14,718,000
Revenue and Net Transfers:		
Revenue	<u>27,600,000</u>	<u>27,600,000</u>
Total Revenue and Net Transfers	27,600,000	27,600,000
Estimated Expenditures By Line:		
Comprehensive Tobacco Control	<u>12,882,000</u>	<u>12,922,614</u>
Total Estimated Expenditures	<u>12,882,000</u>	<u>12,922,614</u>
Ending Balance	<u><u>14,718,000</u></u>	<u><u>29,395,386</u></u>

PERFORMANCE NARRATIVE

00313 Veterans Home

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program Performance Measures

Program: Administration	Reporting level: 00-313-100-00-00-00-00000000
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We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Plant Operations	Reporting level: 00-313-200-00-00-00-00000000
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We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: New Veterans Home	Reporting level: 00-313-250-00-00-00-00000000
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We do not have any performance measures for construction of the new veterans home.

Program: Dietary	Reporting level: 00-313-300-00-00-00-00000000
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We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Nursing Services	Reporting level: 00-313-400-00-00-00-00000000
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We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

PERFORMANCE NARRATIVE

00313 Veterans Home

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: Resident Services**Reporting level:** 00-313-500-00-00-00-00000000

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Social Services**Reporting level:** 00-313-600-00-00-00-00000000

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Housekeeping**Reporting level:** 00-313-700-00-00-00-00000000

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Laundry**Reporting level:** 00-313-800-00-00-00-00000000

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

Program: Pharmacy**Reporting level:** 00-313-900-00-00-00-00000000

We do not have any performance measures at this time. We are reviewing this as part of our strategic plan.

PERFORMANCE NARRATIVE

00313 Veterans Home

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: 2009 Flood Expenses

Reporting level: 00-313-990-00-00-00-00-00000000

We do not have any performance measures for the 2009 flood program.

SPECIAL FUND REPORT

00313 Veterans Home

Version: 2011R0300313

Soldiers Home Fund 380

	2009 - 2011	2011 - 2013
Beginning Balance	3,585,012	1,046,095
Revenue and Net Transfers:		
Health	6,000,000	8,615,000
Transfers In	248,000	248,000
Miscellaneous General Revenue	600	500
Leases, Rents, and Royalties	260,000	2,000
General Government	20,000	30,000
Charges for Services/Sales	300,000	330,000
Bonds	2,575,152	0
Contributions And Private Gran	4,000	4,000
Cash/Investment Earnings	1,500	1,500
Intergovernmental Grants/Contr	<u>4,000,000</u>	<u>4,900,000</u>
Total Revenue and Net Transfers	13,409,252	14,131,000
Estimated Expenditures By Line:		
Salaries and Wages	7,970,512	9,754,525
Operating Expenses	3,042,326	4,561,298
Capital Assets	20,551	529,000
New Veterans Home	4,852,206	307,000
2009 Flood Expenses	862,574	0
Unexpended Appropriations	<u>(800,000)</u>	<u>0</u>

SPECIAL FUND REPORT

00313 Veterans Home

Version: 2011R0300313

Total Estimated Expenditures	<u>15,948,169</u>	<u>15,151,823</u>
Ending Balance	<u><u>1,046,095</u></u>	<u><u>25,272</u></u>

Continuing Appropriation

313 Veterans Home

Version: 2011-R03-00313

Project: 1 Custodial Funds

Date: 01/04/2011

Time: 14:01:40

Version 2011R0300313 Number 1

Description Custodial Funds

Statutory Authority NDCC 37-15.21

Special Fund number and name 940 Commandant's Custodial Funds

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	34,647	43,653	57,407	35,407
Revenue/transfers	98,843	93,936	95,000	95,000
Total available	133,490	137,589	152,407	130,407
Expenditures	89,837	80,182	117,000	95,000
Ending Balance	43,653	57,407	35,407	35,407

PERFORMANCE NARRATIVE**00316** Indian Affairs Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The performance of the North Dakota Indian Affairs Commission is premised upon the goals\pillars of the Governor and those priority issues articulated by tribal leaders. The efficacy of the North Dakota Indian Affairs Commission Offices' efforts and its projects are conducted through monthly correspondence with the Governor's staff-liaison designee, as well as summative reviews as reported to the Governor in cabinet reports and ND tribal leaders through quarterly Commission meetings. Most of the Commission staff work is based on either legislation, statutorily mandated involvement (representation on the Tribal-State Relations Interim legislative Committee for example), state regulatory requirements, or legislation, e.g. Preparation for First Nations Day, specific requests made in Commission meetings; or issues arising out of State Agency or tribal requests.

In 2006, the North Dakota Indian Affairs Commission engaged in a Strategic Planning Process. The goals and objectives are in the developmental stages, and will be subject to change and dependent upon the approval by the Commission. Based on the North Dakota Indian Affairs Commissions' overall Strategic Plan the proposed 2009 – 2011 performance measures are as follows.

Program Performance Measures**Program:** Indian Affairs Administration**Reporting level:** 00-316-105-00-00-00-00000000

1. Develop and Implement a written public relations campaign\plan to create a greater role and clarify its responsibilities, create an awareness of the needs and issues of American Indians in North Dakota; to celebrate the accomplishments of Indian individuals, programs and projects.
2. Gather and facilitate the dissemination of data and statistics and research issues, concerns, and needs that pertain to the American Indian population in the State of North Dakota.
3. Facilitate a collaborative relationship between and among agencies and tribal communities as it relates to legislation, education, and social and health needs.
4. Advocate for the design, development and implementation of Tribal initiatives that may include but not limited to water issues, (2) gaming, (3) education, (4) taxes, and (5) social and health needs.

Continuing Appropriation

Date: 01/04/2011

316 Indian Affairs Commission

Time: 14:01:51

Version: 2011-R03-00316

Project: 1 Indian Affairs Commission Printing Fund

Version 2011R0300316 Number 1

Description Indian Affairs Commission Printing Fund

Statutory Authority 54-36-08

Special Fund number and name 364 Indian Affairs Commission Printing Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	15,947	16,437	16,437	13,437
Revenue/transfers	2,114	0	0	0
Total available	18,061	16,437	16,437	13,437
Expenditures	1,624	0	3,000	3,000
Ending Balance	16,437	16,437	13,437	10,437

The beginning balance of \$14,500 was transferred to the Indian Affairs Commission on July 1, 2003 which was the effective date stated in HB 1005. Money in the Indian affairs commission printing fund and earnings on the money in the fund are appropriated on a continuing basis to the commission to defray expenses incurred by the commission in producing and distributing publications and informational and educational materials.

PERFORMANCE NARRATIVE

00321 Department of Veterans Affairs

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Implementation of:

1. strategic plan objectives: objectives set and are reviewed quarterly.
2. performance audit recommendations: Performance audit conducted by state auditors office with one formal recommendation and 3 informal recommendations. All recommendations have been or are being addressed.
3. legislative action taken by state legislature. All legislation required action has been and is being addressed.

Program Performance Measures**Program:** Veterans Affairs Administration**Reporting level:** 00-321-100-00-00-00-00000000

We have implemented individual employee goals and performance measures to outline and measure the quality of service to our veterans and performance of our staff in providing these services to ensure quality service.

Continuing Appropriation

Date: 01/04/2011

321 Department of Veterans Affairs

Time: 14:02:08

Version: 2011-R03-00321

Project: 1 Veterans Aid Loan Program

Version 2011R0300321 Number 1

Description Veterans Aid Loan Program

Statutory Authority NDCC 37-14-03.3

Special Fund number and name 368 Veterans Aid Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	550,216	576,588	577,179	572,482
Revenue/transfers	294,343	272,300	239,072	268,571
Total available	844,559	848,888	816,251	841,053
Expenditures	267,971	271,709	243,769	261,149
Ending Balance	576,588	577,179	572,482	579,904

This appropriation allows for loans to be made to qualified veterans or their widow/widowers. The interest paid is used to offset the collection costs on delinquent loans. Because of the nature of the program, it would be extremely difficult to predict the amount necessary for ensuring that qualified applicants receive the assistance they are entitled to under North Dakota Century Code. The department is able to use appropriate collection actions to recoup delinquent loans to prevent as much loss as possible from the fund.

PERFORMANCE NARRATIVE

00325 Department of Human Services

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

- Overall Administrative Costs for the Department will be under 10 percent of budget.
- 2007-2009 biennium actual - 5.66 percent.
- 2009 – 2011 biennium – 6.07 percent (based on legislatively approved budget).
- Information requests, service requests and payment timelines will meet established timeframes.
- The specific measures are established in each budget (program) level.
- Customer Satisfaction goals as it relates to the value of the service received, availability or amount will be attained.
- The specific measures are established in each budget (program) level.

Program Performance Measures

Program: Administration-Support

Reporting level: 00-325-100-15-00-00-00000000

Legal Services:

80% of appeals that require a hearing will be sent to the Office of Administrative Hearings within 20 calendar days of receipt of the appeal.

SFY 2010 results - 54%

85% of contract, memorandum of understanding and requests for proposal reviews will be completed within fifteen working days from the date of receipt.

SFY 2010 results - 95%

The appeal performance measures were not met during SFY 2010 due to frequent delays in receipt of background information or program review.

Internally established benchmarks were used.

Fiscal Administration:

Financial Status reports will be completed quarterly to effectively monitor the \$2.3 billion budget.

SFY 2008 - 4 financial status reports were completed.

SFY 2009 - 2 financial status reports were completed.

SFY 2010 - 2 financial status reports were completed.

90 - 100% of deadlines will be met regarding ratesetting; information reports to managers; payments to vendors and employees; monitoring of grants and cost reimbursement contracts; completion of audits and delivery of mail.

SFY 2008, SFY 2009 and SFY 2010 - all timelines were met or exceeded.

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08Human Resources:

Provide managers with Certificate of Eligibles within 4 days of closing.

January 2010 – June 2010 Results – 2.08 days.

Program: Information Technology Srvc	Reporting level: 00-325-100-20-00-00-00-00000000
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1. State office incidents will be resolved at a level of customer satisfaction of "Satisfied" or "Very Satisfied" 99% of the time.

FY2010: 99.5%

Benchmark established internally.

3. Employee turnover is no greater than 6%.

FY2010: 5%

Benchmark established internally.

Program: Economic Assist Policy-Grants	Reporting level: 00-325-300-01-00-00-00-00000000
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The annual Supplemental Nutrition Assistance Program issuance error rate will be less than the national average.

All families who apply and are eligible for child care assistance will receive a benefit.

90 % of System Support calls/letters will be resolved within one working day.

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

\$1,300,000 per year will be collected directly or under DHS supervision from estates of deceased Medicaid recipients and spouses of deceased recipients.

Actual performance for the above performance measures:

Supplemental Nutrition Assistance Program issuance error rate

FFY 2007: 3.29% (national average 5.64%)

FFY 2008: 5.68% (national average 5.01%)

FFY 2009: 3.42% (national average 4.36%)

Percentage of families who applied for Child Care Assistance and demonstrated eligibility who received benefits

SFY 2007: 100% (monthly average of 3,962 cases)

SFY 2008: 100% (monthly average of 4,067 cases)

SFY 2009: 100% (monthly average of 3,787 cases)

Percentage of System Support calls/letters resolved within one working day

SFY 2007: 97%

SFY 2008: 97%

SFY 2009: 97%

Amount of Medicaid claims collected from decedent's estates

SFY 2007: \$1,633,289

SFY 2008: \$1,876,780

SFY 2009: \$2,080,863

Benchmarks were established internally. Supplemental Nutrition Assistance Program is set to maintain North Dakota error rate below national average.

PERFORMANCE NARRATIVE

00325 Department of Human Services

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Program: Child Support Enforcement**Reporting level:** 00-325-300-02-00-00-00-00000000Measures :

- 1) Rank in the top five in the nation overall on federal performance measures.
- 2) Paternity established or acknowledged for 95% of children in IV-D cases born out-of-wedlock.
- 3) Court orders for child support established for 90% of IV-D cases.
- 4) Collect 73% of current support owed on IV-D cases.
- 5) Collect \$5.00 (IV-D cases) for each \$1.00 spent.

Actual performance on the above measures for FFY 2009 :

- 1) Ranked second overall in the nation on federal performance measures.
- 2) Paternity established or acknowledged for 106.3% of children in IV-D cases born out of wedlock.
- 3) Court orders for child support established for 88.7% of IV-D cases.
- 4) Collected 75.0% of current support owed on IV-D cases.
- 5) Collected \$5.86 (IV-D cases) for each \$1.00 spent.

The benchmark for measure #1 was established internally and the federal government requires measures #2 through #5.

Program: Medical Services**Reporting level:** 00-325-300-03-00-00-00-00000000

PROGRAM PERFORMANCE MEASURES

1. Process 90% of Medicaid claims within 30 days of receipt and 99% of Medicaid claims within 90 days of receipt. Process claims at a 98% or better accuracy rate.

2009 – 91.95% within 30 days; 98.7% within 90 days.
 2008 – 82.99% within 30 days; 98.23% within 90 days.
 2007 – 80.82% within 30 days; 95.13% within 90 days.
 2006 – 89.67% within 30 days; 99.58% within 90 days.

PERFORMANCE NARRATIVE

00325 Department of Human Services

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* North Dakota is one of 17 States randomly selected by the Centers for Medicare and Medicaid Services (CMS) for the Payment Error Rate Measurement (PERM) PERM initiative for Federal fiscal year (FFY) 2006 (October 1, 2005 - September 30, 2006). Under this plan, a state will be selected for error rate measurement once and only once every three years for each program. For FFY 2006, CMS will measure Medicaid fee-for-service (FFS) claim payments and premium payments made on behalf of beneficiaries for accuracy.

ND's FY2006 PERM error rate

Strata	Error Rate	Projected Payments	Projected Incorrect Payments	Sampled Line Items	Standard Error	Confidence Interval
Overall	4.25%	\$506,200,362.86	\$21,503,459.01	1014	1.10%	2.09% - 6.41%

With an estimated error rate of 4.25% and a standard error of 1.10%, we calculate a 95 percent confidence interval of 2.1% to 6.4%.

Benchmark based on federal requirements.

2. 50% of children enrolled in Medicaid will receive a Health Tracks screening.

FFY 2008 – 64% of children were screened.

FFY 2007 – 62% of children were screened.

FFY 2006 – 67% of children were screened.

FFY 2005 – 59% of children were screened.

Benchmark established internally.

3. 90% of families who respond to the annual CHIP survey will report overall satisfaction with the Health Steps program.

July 2009 Survey – 90% responded with a positive overall satisfaction

July 2008 Survey – 90% responded with a positive overall satisfaction

July 2007 Survey – 97% responded with a positive overall satisfaction

July 2006 Survey – 97% responded with a positive overall satisfaction

Benchmark established internally.

4. 40% of Children under the age of 8, with continuous CHIP coverage, will receive a preventive dental service.

2009 – 79% of children received a preventive dental service.

2008 – 84% of children received a preventive dental service.

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2007 – 84% of children received a preventive dental service.

2006 – 86% of children received a preventive dental service.

Benchmark established internally.

5. 50% of the children who turned two years of age during the preceding year and were continuously enrolled with CHIP will receive at least 4 office visits with a primary care provider during the first 24 months of life.

2009 – 76% of children turning 2.

2008 – 54% of children turning 2.

2007 – 72% of children turning 2.

2006 – 71% of children turning 2.

Benchmark established internally.

Program: Long Term Care	Reporting level: 00-325-300-10-00-00-00-00000000
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PROGRAM PERFORMANCE MEASURES

Home and Community Based Services

The number of clients receiving services through the HCBS program (Service Payments for the Elderly (SPED), Expanded Service Payments for the Elderly (ExSPED), Medicaid HCBS Waivers, and Personal Care Services) will increase each year.

SFY 2009 - 2,708

SFY 2008 - 2,785

SFY 2007 - 2-,591

SFY 2006 - 2,499

The benchmarks are established internally.

Program: DD Council	Reporting level: 00-325-300-42-00-00-00-00000000
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PERFORMANCE NARRATIVE

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Program performance measures that apply to the SCDD are established under the federal Developmental Disabilities Act Basic State Grant Program administered by the Council. Under its federally approved 2007-2011 State Plan, the SCDD is responsible for tracking and annually reporting performance data on 26 performance outcome measures to the federal Administration on Developmental Disabilities.

Program: Aging Services**Reporting level:** 00-325-300-43-00-00-00-00000000

PROGRAM PERFORMANCE MEASURES

Older Americans Act Nutrition and Supportive Services Program

An average of 30,005 individuals age 60 and older will receive Older Americans Act services.

Actual number receiving services:

FFY 2009 27,479

FFY 2008 31,979

FFY 2007 30,557

Elder Rights Program

1. Residents in long-term care and assisted living facilities will receive ombudsman complaint services.

Actual number of complaints resolved:

FFY 2009 715

FFY 2008 1, 091

FFY 2007 1, 142

* A new reporting system was implemented.

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2. Vulnerable adult protective services will be provided to individuals who have been abused, neglected or exploited.

*Actual number of cases opened:

FFY 2009 530

FFY 2008 486

FFY 2007 444

*Reporting for vulnerable adult services was not consistent; efforts to rectify this have been implemented.

Program: Children and Family Services	Reporting level: 00-325-300-46-00-00-00-00000000
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15% or fewer children who entered foster care will re-enter foster care within 12 months of a prior foster care episode.

This benchmark is a federal requirement. In FFY 2006 the re-entry rate was 17.5%, in FFY 2007, 19.1%. In FFY 2008 the foster care re-entry rate was 18.8%, in FFY 2009 the foster care re-entry rate was 13.4%. At this time we meet and exceed the federal standard

Of all children who exited foster care to a finalized adoption 26.8% or more children exited care in less than 24 months from the time of the latest removal from home.

This benchmark is a federal requirement. In FFY 2005, 34.5% of children who had exited foster care to a finalized adoption did so in less than 24 months. In FFY 2006, 53.5%, in FFY 2007, 52%, in FFY 2008, 63.3% and in FFY 2009, 54%. At this time we exceed the federal standard.

6.1% or fewer, children who were victims of child abuse and/or neglect will have another services required report within a 6-month period.

This benchmark is a federal requirement. In CY 2003/2004 we met the national standard. The rate for CY 2005 was 4%; 3% for FFY06, 2.6% for FFY07, and 3.5% for FFY2008. At this time we exceed the federal standard. (FFY 2009 in not available at this time.)

Of all children served who have been in foster care less than 12 months from the time of the latest removal from home, 83.3% will have no more than two placement settings.

This benchmark is a federal requirement. At this time, North Dakota does not meet the federal standard, however North Dakota has made significant improvement towards meeting the standard. In FFY 2006, 83.39%, in FFY 2007, 83.6%, in FFY 2008 84.39%, and in FFY 2009 83.6% had fewer than two placement settings.

Of all children who are reunified with their parents or caretakers at the time of discharge from foster care, 69.9% or more children will be reunified in less than 12 months from the time of the latest removal from home. This benchmark is a federal requirement. North Dakota exceeds the national standard in this area. The rate for FFY 2006, FFY 2007, and FFY2008 was 70.4%, 74.7% and 61.2% respectively. In FFY 2009 North Dakota exceeded the standard with 73.4%

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00325 Department of Human Services

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Program: Mental Health-Substance Abuse**Reporting level:** 00-325-300-47-00-00-00-00000000Children's mental health programs

Performance measure: Increase the children who are receiving SPARCS and showed significant improvement on the Youth Outcome Questionnaire - a measure that assesses six domains of behavioral difficulties in teens.

Current outcome: 63% of the children receiving SPARCS showed significant improvement of the Youth Outcome Questionnaire.

Adult mental health programs

Performance measure: Increase the percentage of adults diagnosed with a serious mental illness who are employed.

Current outcome: 25% of adults diagnosed with a serious mental illness who receive public mental health services were employed.

Numerator: The number of adults diagnosed with a serious mental illness who receive public mental health services and who are employed.

Denominator: The number of adults diagnosed with a serious mental illness who receive public mental health services and report their employment status.

Substance Abuse Treatment Programs

Performance measure: No substance use in the last 30 days.

Current outcome: 86% of individuals reported no alcohol use within the last 30 days at discharge. 88% of individuals reported no drug use within the last 30 days at discharge.

Substance Abuse Prevention Programs

Performance measure: Reduce the percentage of 9-12 graders who reported binge drinking in the past 30 days by 2%.

Current outcomes: 31% is the current percentage of 9-12 graders who reported binge drinking on the Youth Risk Behavior Survey.

PERFORMANCE NARRATIVE

00325 Department of Human Services

Date: 01/06/2011

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Time: 08:03:08

Program: Vocational Rehabilitation

Reporting level: 00-325-300-51-00-00-00-00000000

A. Employment Service

1. 900 individuals with disabilities will complete training and services and become employed.

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	793	894
FFY 2008	903	894
FFY 2007	893	883

2. 80% of the individuals will remain employed six months after their VR case is closed.

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	92%	80%
FFY 2008	91%	80%
FFY 2007	93%	80%

3. 6,567 individuals with disabilities will receive services and be at various stages of completing their training.

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	6,482	6,248
FFY 2008	6,472	6,493
FFY 2007	6,775	6,581

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4. 90% of the individuals will report satisfaction with VR services.

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	90%	90%
FFY 2008	91%	90%
FFY 2007	85%	90%

5. The average increased income for every dollar spent for VR services will be \$6.50. *(Cost/Benefit – Increased Income)*

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	\$10.09	\$6.50
FFY 2008	\$10.07	\$6.50
FFY 2007	\$12.77	\$6.50

6. The average payback in taxes for every dollar spent for VR services will be \$1.35. *(Cost/Benefit – Payback in Taxes)*

Trend data:	<u>Actual</u>	<u>Goal</u>
FFY 2009	\$2.02	\$1.35
FFY 2008	\$2.01	\$1.35
FFY 2007	\$2.55	\$1.35

B. Business Services

1. Previous and new employer who have a contact with VR staff who hire or retain VR clients. *(Additional employers also hire VR clients. However, VR does not necessarily have an individual contact with each one of them because many clients prefer to make contact on their own.)*

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Trend data	<u>Actual</u>	<u>Goal</u>
FFY 2009	253	#
FFY 2008	319	320
FFY 2007	350	316

2. VR initiated contacts with area employers and businesses.

Trend data	<u>Actual</u>	<u>Goal</u>
FFY 2009	2,656	#
FFY 2008	2,761	2,462
FFY 2007	2,422	2,395

Business Services is in transitioning its performance measures. No goals have yet been determined for FFY 2009 and forward.

C. Independent Living Services

1. 85% of consumer-identified goals for independent living will be achieved during the reporting period.

Trend data	<u>Actual</u>	<u>Goal</u>
FFY 2009	91%	85%
FFY 2008	94%	85%
FFY 2007	92%	85%

2. 7,500 individuals will receive independent living services.

Trend data	<u>Actual</u>	<u>Goal</u>
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FFY 2009	8,879	7,500
FFY 2008	8,198	7,500
FFY 2007	5,519	7,500

D. Disability Determination Services

1. Number of eligibility decisions made during reporting period.

Trend data	<u>Actual</u>
FFY 2009	5,058
FFY 2008	5,563
FFY 2007	5,564

2. Overall accuracy rate on eligibility decisions during period.

Trend data	<u>Actual</u>
FFY 2009	93%
FFY 2008	95%
FFY 2007	96%

Program: Developmental Disabilities	Reporting level: 00-325-300-52-00-00-00-00000000
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Developmental Disabilities is currently working on revising their performance measures.

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Northwest HSC**Reporting level:** 00-325-410-71-00-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

- Aging Services Administration
- Vulnerable Adult Protective Services
- Long-Term Care Ombudsman Program
- Adult Family Foster Care Licensure

Developmental Disabilities

- Case Management
- Administration of waived services

Vocational Rehabilitation

- Assessment / Employment Counseling and Guidance
- Referral to rehabilitation services
- Job related services
- Vision Services
- Supported Employment Services
- Business Services including ADA Consultation and Assessment

Child Welfare Services

- Program Supervision – Regional Reps and Child Care Licensing Specialists
- Parental Capacity Evaluation
- Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

PERFORMANCE NARRATIVE

00325 Department of Human Services

Date: 01/06/2011

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Serious Mental Illness (Extended Care Coordination)

- Care Coordination
- Case Aide Services
- Needs-based array of residential services
- Community Support Services
- Medical Management
- Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

- Care Coordination / Case Aide
- Evaluation
- Social and Medical Detoxification Services
- Needs based array of primary treatment services
 - o Low intensity outpatient
 - o Intensive outpatient
 - o Day treatment
- Needs validated residential services
- Medication/Medical monitoring/Management

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

- o Screening
- o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: North Central HSC**Reporting level:** 00-325-410-72-00-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

Aging Services Administration
 Vulnerable Adult Protective Services
 Long-Term Care Ombudsman Program
 Adult Family Foster Care Licensure

Developmental Disabilities

Case Management
 Administration of waived services

Vocational Rehabilitation

Assessment / Employment Counseling and Guidance
 Referral to rehabilitation services
 Job related services

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Vision Services
Supported Employment Services
Business Services including ADA Consultation and Assessment

Child Welfare Services

Program Supervision – Regional Reps and Child Care Licensing Specialists
Parental Capacity Evaluation
Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

Care Coordination
Case Aide Services
Needs-based array of residential services
Community Support Services
Medical Management
Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation

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- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

Care Coordination / Case Aide

Evaluation

Social and Medical Detoxification Services

Needs based array of primary treatment services

- o Low intensity outpatient
- o Intensive outpatient
- o Day treatment

Needs validated residential services

Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

- o Screening
- o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

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00325 Department of Human Services

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Version 2011R0300504

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Program: Lake Region HSC**Reporting level:** 00-325-410-73-00-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

- Aging Services Administration
- Vulnerable Adult Protective Services
- Long-Term Care Ombudsman Program
- Adult Family Foster Care Licensure

Developmental Disabilities

- Case Management
- Administration of waived services

Vocational Rehabilitation

- Assessment / Employment Counseling and Guidance
- Referral to rehabilitation services
- Job related services
- Vision Services
- Supported Employment Services
- Business Services including ADA Consultation and Assessment

Child Welfare Services

- Program Supervision – Regional Reps and Child Care Licensing Specialists
- Parental Capacity Evaluation
- Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

- Care Coordination
- Case Aide Services
- Needs-based array of residential services
- Community Support Services
- Medical Management
- Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

- Care Coordination / Case Aide
- Evaluation
- Social and Medical Detoxification Services
- Needs based array of primary treatment services
 - o Low intensity outpatient
 - o Intensive outpatient
 - o Day treatment
- Needs validated residential services

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Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

o Screening

o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: Northeast HSC**Reporting level:** 00-325-410-74-00-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

Aging Services Administration

Vulnerable Adult Protective Services

Long-Term Care Ombudsman Program

Adult Family Foster Care Licensure

Developmental Disabilities

Case Management

Administration of waived services

Vocational Rehabilitation

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Assessment / Employment Counseling and Guidance
Referral to rehabilitation services
Job related services
Vision Services
Supported Employment Services
Business Services including ADA Consultation and Assessment

Child Welfare Services

Program Supervision – Regional Reps and Child Care Licensing Specialists
Parental Capacity Evaluation
Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

Care Coordination
Case Aide Services
Needs-based array of residential services
Community Support Services
Medical Management
Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

PERFORMANCE NARRATIVE

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Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

Care Coordination / Case Aide
Evaluation

Social and Medical Detoxification Services

Needs based array of primary treatment services

- o Low intensity outpatient
- o Intensive outpatient
- o Day treatment

Needs validated residential services

Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

- o Screening
- o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: Southeast HSC**Reporting level:** 00-325-410-75-00-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services

- Aging Services Administration
- Vulnerable Adult Protective Services
- Long-Term Care Ombudsman Program
- Adult Family Foster Care Licensure

Developmental Disabilities

- Case Management
- Administration of waived services

Vocational Rehabilitation

- Assessment / Employment Counseling and Guidance
- Referral to rehabilitation services
- Job related services
- Vision Services
- Supported Employment Services
- Business Services including ADA Consultation and Assessment

Child Welfare Services

- Program Supervision – Regional Reps and Child Care Licensing Specialists
- Parental Capacity Evaluation
- Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

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Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

- Care Coordination
- Case Aide Services
- Needs-based array of residential services
- Community Support Services
- Medical Management
- Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

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Care Coordination / Case Aide
 Evaluation
 Social and Medical Detoxification Services
 Needs based array of primary treatment services
 o Low intensity outpatient
 o Intensive outpatient
 o Day treatment
 Needs validated residential services
 Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee
 Regional Intervention Services
 o Screening
 o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: South Central HSC**Reporting level:** 00-325-410-76-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

Aging Services Administration
 Vulnerable Adult Protective Services
 Long-Term Care Ombudsman Program
 Adult Family Foster Care Licensure

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Developmental Disabilities

- Case Management
- Administration of waived services

Vocational Rehabilitation

- Assessment / Employment Counseling and Guidance
- Referral to rehabilitation services
- Job related services
- Vision Services
- Supported Employment Services
- Business Services including ADA Consultation and Assessment

Child Welfare Services

- Program Supervision – Regional Reps and Child Care Licensing Specialists
- Parental Capacity Evaluation
- Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

- Care Coordination
- Case Aide Services
- Needs-based array of residential services
- Community Support Services
- Medical Management
- Acute/Clinical Services as deemed clinically appropriate

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Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

Care Coordination / Case Aide

Evaluation

Social and Medical Detoxification Services

Needs based array of primary treatment services

- o Low intensity outpatient
- o Intensive outpatient
- o Day treatment

Needs validated residential services

Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

- o Screening
- o Gatekeeping/referral

Performance Measures include:

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: West Central HSC**Reporting level:** 00-325-410-77-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

- Aging Services Administration
- Vulnerable Adult Protective Services
- Long-Term Care Ombudsman Program
- Adult Family Foster Care Licensure

Developmental Disabilities

- Case Management
- Administration of waived services

Vocational Rehabilitation

- Assessment / Employment Counseling and Guidance
- Referral to rehabilitation services
- Job related services
- Vision Services
- Supported Employment Services
- Business Services including ADA Consultation and Assessment

Child Welfare Services

- Program Supervision – Regional Reps and Child Care Licensing Specialists
- Parental Capacity Evaluation
- Acute/Clinical Services as deemed clinically appropriate

PERFORMANCE NARRATIVE

00325 Department of Human Services

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Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

- Care Coordination
- Case Aide Services
- Needs-based array of residential services
- Community Support Services
- Medical Management
- Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

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Substance Abuse Services

- Care Coordination / Case Aide
- Evaluation
- Social and Medical Detoxification Services
- Needs based array of primary treatment services
 - o Low intensity outpatient
 - o Intensive outpatient
 - o Day treatment
- Needs validated residential services
- Medication/Medical monitoring/Management

Crisis/Emergency Response Services

- 24-hour a day/7-days a week crisis call response from a designated, trained Center employee
- Regional Intervention Services
 - o Screening
 - o Gatekeeping/referral

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: Badlands HSC**Reporting level:** 00-325-410-78-00-00-00000000

The following are the core services delivered at the HSC.

Aging Services:

- Aging Services Administration
- Vulnerable Adult Protective Services

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Long-Term Care Ombudsman Program
Adult Family Foster Care Licensure

Developmental Disabilities

Case Management
Administration of waived services

Vocational Rehabilitation

Assessment / Employment Counseling and Guidance
Referral to rehabilitation services
Job related services
Vision Services
Supported Employment Services
Business Services including ADA Consultation and Assessment

Child Welfare Services

Program Supervision – Regional Reps and Child Care Licensing Specialists
Parental Capacity Evaluation
Acute/Clinical Services as deemed clinically appropriate

Children's Mental Health

Level I Criteria

- o Care Coordination
- o Acute/Clinical Services as deemed appropriate

Level II Criteria

- o Care Coordination
- o Case Aide Services
- o Crisis Residential/Safe beds
- o Flexible funding
- o Acute Clinical Services as deemed appropriate

Serious Mental Illness (Extended Care Coordination)

Care Coordination
Case Aide Services
Needs-based array of residential services
Community Support Services

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Medical Management

Acute/Clinical Services as deemed clinically appropriate

Acute Clinical Services

Core Populations:

- o Self Harm/Suicide
- o Child Abuse and Neglect
- o Foster Care/ Subsidized Adoption

Acute Psychiatric Services

- o Psychological evaluation and testing
- o Psychiatric evaluation
- o Clinical evaluation
- o Individual Therapy
- o Group Therapy
- o Family Therapy
- o Clinical Case Management
- o Medication Management
- o Crisis Residential
- o Short Term Hospital
- o Lab and Clinical Screening

Substance Abuse Services

Care Coordination / Case Aide

Evaluation

Social and Medical Detoxification Services

Needs based array of primary treatment services

- o Low intensity outpatient
- o Intensive outpatient
- o Day treatment

Needs validated residential services

Medication/Medical monitoring/Management

Crisis/Emergency Response Services

24-hour a day/7-days a week crisis call response from a designated, trained Center employee

Regional Intervention Services

- o Screening
- o Gatekeeping/referral

PERFORMANCE NARRATIVE**00325** Department of Human Services**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Performance Measures include:

1. Human Service Centers will provide for the delivery of core services at a level that meets the needs of their region.
2. Human Service Centers will meet the program goals established through the Department's strategic planning process.
3. Human Service Centers will maintain an overall client satisfaction rating of 80%.

Specific performance outcome measures for services provided by the HSCs are reported through the respective Program Division, with client and program data being provided for those reports by the clinical field staff (i.e., addiction treatment outcomes reported by Mental Health & Substance Abuse Division; employment outcomes for persons with disabilities reported by ND Division of Vocational Rehabilitation).

Program: State Hospital-Traditional**Reporting level:** 00-325-420-00-00-00-00000000**Performance Measure 1:** Employee turnover will be under 18%.**Performance Measure 2:** Monitor daily per diem, general costs and budget targets.**Performance Measure 3:** Monitor Medicare Part D costs and revenues and overall medication costs.**Performance Measure 4:** Monitor staffing to Patients ratios.**Performance Measure 5:** Monitor acuity levels at the One Center.**Performance Measure 6:** Review of Human Resources measures to include; occupational classifications, salary and benefit levels, recruitment and retention strategies, employee morale and development, and staffing levels.**Performance Measure 7:** The 30-day readmission rate will stay below 9% at the State Hospital.**Performance Measure 8:** Monitor WPSHA and Joint Commission measures

Elopements.

Seclusion and Restraints.

Consumer Surveys

Performance Measure 9: 60% of the patients in the DOCR/State Hospital addiction program will show improvement in the 15 domains as measured by a pre treatment score and a post treatment discharge score.

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Performance Measure 10: 62% of substance abuse clients reviewed on a quarterly basis will show improved functioning in at least four (4) life areas between admission and six months from treatment discharge.

Performance Measure 11: 90% of individuals at the One Center will report satisfaction with treatment as indicated by approval scores of "3" or above on a 5 point scale in the consumer survey. Process: Quarterly at the State Hospital and annually at the Developmental Center.

Performance Measure 12: 75% of children and youth reviewed on a quarterly basis will show improved overall functioning in as measured by a pre-treatment and discharge score on the Global Assessment Functioning Adolescent Scale.

Performance Measure 13: 75% of individuals with serious mental illness reviewed on a quarterly basis will show improved overall functioning as measured by a pre-treatment and discharge score on the Global Assessment Functioning Scale.

Performance Measure 14: Individuals in the traditional treatment programs will have up to 32 hours of treatment and program services per week.

Performance Measure 15: Quality management data will indicate 100% compliance to health and safety basic assurance measures.

Program: State Hospital-Secure Services	Reporting level: 00-325-421-00-00-00-00000000
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Performance Measure 1:

Employee turnover will be under 18%.

Performance Measure 2:

Monitor daily per diem, general costs and budget targets..

Performance Measure 3:

Monitor Medicare Part D costs and revenues and overall medication costs.

Performance Measure 4:

Monitor staffing to Patients ratios.

Performance Measure 5:

Monitor acuity levels at the One Center.

Performance Measure 6:

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Review of Human Resources measures to include; occupational classifications, salary and benefit levels, recruitment and retention strategies, employee morale and development, and staffing levels.

Performance Measure 7:

WPSHA and Joint Commission measures

Elolements.

Seclusion and Restraints.

Consumer Surveys

Consumer participation and rights

Performance Measure 8:

90% of individuals at the One Center will report satisfaction with treatment as indicated by approval scores of "3" or above on a 5 point scale in the consumer survey.

Process: Quarterly at the State Hospital and annually at the Developmental Center.

Performance Measure 9:

Individuals in the SH sex offenders program are mandated to receive 32 hours of treatment and program services per week.

Performance Measure 10:

Quality management data will indicate 100% compliance to health and safety basic assurance measures.

Program: Developmental Center	Reporting level: 00-325-430-00-00-00-00-00000000
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Performance Measure #1:

Staffing to Patients or Individuals ratios.

Performance Measure #2:

Acuity levels at the One Center.

Performance Measure #3:

PERFORMANCE NARRATIVE

00325 Department of Human Services

Date: 01/06/2011

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90% of individuals at the One Center will report satisfaction with treatment as indicated by approval scores of "3" or above on a 5 point scale in the consumer survey.

Process: Quarterly at the State Hospital and annually at the Developmental Center.

Performance Measure #4:

Developmental Center overall performance relative to all 21 Personal Outcomes will increase by 10%

Performance Measure #5:

The Developmental Center's overall performance relative to the Social Capital Index will increase by 20%.

Performance Measure #6:

Basic Assurances:

Performance Measure #7:

30% of all individuals whom reside at the Developmental Center will attend a community work site and volunteer sites.

Performance Measure #8:

Quality management data will indicate 100% compliance to health and safety basic assurance measures.

Performance Measure #9:

Trends in 5 of 6 positive behavior supports data markers will move in positive direction as measured on a quarterly basis.

Performance Measure #10:

There will be 0 non-CARES referral admissions to the Developmental Center.

Performance Measure #11:

100% resolution to all parent/guardian and consumer grievances at the Developmental Center.

SPECIAL FUND REPORT

00325 Department of Human Services

Version: 2011R0300325

Childrens Trust Fund 419

	2009 - 2011	2011 - 2013
Beginning Balance	1,268,513	957,365
Revenue and Net Transfers:		
Other Misc Rev	<u>228,611</u>	<u>230,000</u>
Total Revenue and Net Transfers	228,611	230,000
Estimated Expenditures By Line:		
Salaries and Wages	70,655	42,650
Operating Expenses	43,833	25,872
Grants	424,837	622,298
Unexpended Appropriations	<u>434</u>	<u>0</u>
Total Estimated Expenditures	<u>539,759</u>	<u>690,820</u>
Ending Balance	<u><u>957,365</u></u>	<u><u>496,545</u></u>

SPECIAL FUND REPORT

00325 Department of Human Services

Version: 2011R0300325

Human Services Department Fund 360

	2009 - 2011	2011 - 2013
Beginning Balance	29,852,030	1,578,779
Revenue and Net Transfers:		
Revenue	<u>81,726,749</u>	<u>101,769,066</u>
Total Revenue and Net Transfers	81,726,749	101,769,066
Estimated Expenditures By Line:		
Salaries and Wages	3,196,633	3,756,137
Operating Expenses	3,544,190	4,029,185
Grants	36,815,814	39,213,125
Human Service Centers / Institutions	26,226,957	29,057,624
Grants-Medical Assistance	31,909,458	27,291,774
Unexpended Appropriations	<u>8,306,948</u>	<u>0</u>
Total Estimated Expenditures	<u>110,000,000</u>	<u>103,347,845</u>
Ending Balance	<u><u>1,578,779</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00325 Department of Human Services

Version: 2011R0300325

ND Health Care Trust Fund 315

	2009 - 2011	2011 - 2013
Beginning Balance	3,484,946	238,644
Revenue and Net Transfers:		
Cash/Investment Earnings	<u>1,128,204</u>	<u>1,115,382</u>
Total Revenue and Net Transfers	1,128,204	1,115,382
Estimated Expenditures By Line:		
Grants-Medical Assistance	4,324,506	0
Unexpended Appropriations	<u>50,000</u>	<u>0</u>
Total Estimated Expenditures	<u>4,374,506</u>	<u>0</u>
Ending Balance	<u><u>238,644</u></u>	<u><u>1,354,026</u></u>

Continuing Appropriation

Date: 01/04/2011

325 Department of Human Services

Time: 14:02:28

Version: 2011-R03-00325

Project: CSE01 Collection and Disbursement of Child Support

Version 2011R0300325 Number CSE01

Description Collection and Disbursement of Child Support

Statutory Authority 14-09-25

Special Fund number and name 463 Child Support Disbursement Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	2,198,887	2,521,643	2,774,721	2,750,000
Revenue/transfers	193,133,089	214,485,508	220,221,572	231,232,651
Total available	195,331,976	217,007,151	222,996,293	233,982,651
Expenditures	192,810,333	214,232,430	220,246,293	230,823,651
Ending Balance	2,521,643	2,774,721	2,750,000	3,159,000

The state disbursement fund in North Dakota Century Code 14-09-25(5) is needed to: 1) receive payments of child support from, or on behalf of, an obligor; 2) segregate child support payments that are assigned to the state; and 3) disburse child support payments that are not truly owned by the state and must to be disbursed to an obligee or another jurisdiction on behalf of the obligor's children.

Continuing Appropriation

Date: 01/04/2011

325 Department of Human Services

Time: 14:02:28

Version: 2011-R03-00325

Project: CSE02 Child Support Incentive Fnd for System Improvement

Version 2011R0300325 Number CSE02

Description Child Support Incentive Fnd for System Improvement

Statutory Authority 50-09-15.1

Special Fund number and name 360 Child Support Improvement Account

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	54,839	252,852	398,746	5,942
Revenue/transfers	209,855	162,786	122,196	133,567
Total available	264,694	415,638	520,942	139,509
Expenditures	11,842	16,892	515,000	100,175
Ending Balance	252,852	398,746	5,942	39,334

The improvement account in North Dakota Century Code 50-09-15.1 provides funds that allow the child support enforcement program to implement its business plan and respond efficiently and quickly to changes or needs in how the program is administered. The funds may only be used as described in the child support enforcement business plan and for the limited purposes provided in the statute.

Continuing Appropriation

Date: 01/04/2011

325 Department of Human Services

Time: 14:02:28

Version: 2011-R03-00325

Project: CSE03 Child Support Cooperative Agreements

Version 2011R0300325 Number CSE03

Description Child Support Cooperative Agreements

Statutory Authority 50-09-33

Special Fund number and name 360 Child Support Cooperative Agreements

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		0
Revenue/transfers	0		0		0		0
Total available	0		0		0		0
Expenditures	0		0		0		0
Ending Balance	0		0		0		0

The cooperative agreement continuing appropriation in North Dakota Century Code 50-09-33 provides a method of allowing the state child support enforcement program to offer assistance to any Indian tribe that requests help in establishing and enforcing child support obligations for tribal members.

PERFORMANCE NARRATIVE

00360 Protection and Advocacy

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

P&A develops an annual plan with numerous goals and objectives. These relate to our seven federal grants. P&A's activities can be classified into six main areas of effort. While terminology, definitions, focus and depth of each activity varies, similar services are provided by the protection and advocacy systems in each state and territory.

1. Protective services – ensuring appropriate response, including risk management, investigation and provision of remedial effort, to reports of suspected abuse, neglect and/or exploitation of individuals with disabilities.
2. Case advocacy – providing advocacy and/or legal representation, within identified priority areas, to eligible individuals with disabilities to ensure access to appropriate services and resolution of disability-related discrimination or other rights violations.
3. Systems advocacy – collaborating with appropriate stakeholders to identify and advocate for systemic change that will result in positive outcomes for people with disabilities.
4. Information and referral – providing verbal and written information as well as directing individuals to resources external to P&A.
5. Education and training – training provided to groups of individuals, including people with disabilities and service providers, on disability-related rights issues as well as the development and publication of rights-related documents.
6. Self-advocacy support – providing information, technical assistance, and support to self-advocacy groups.

In the summer of 2009, P&A mailed a survey to 358 individuals and organizations requesting input on P&A's priorities and activities. Of note, the survey asked "Do you believe P&A's work is helpful to people with disabilities and their families?" Of the 53 respondents, 50 (over 94%) indicated 'yes' and 3 (less than 6%) indicated 'no'.

Program Performance Measures

Program: Protection and Advocacy Program	Reporting level: 00-360-100-00-00-00-00000000
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P&A develops an annual plan with numerous goals and objectives. These relate to our seven federal grants. P&A's activities can be classified into six main areas of effort. While terminology, definitions, focus and depth of each activity varies, similar services are provided by the protection and advocacy systems in each state and territory.

1. Protective services – ensuring appropriate response, including risk management, investigation and provision of remedial effort, to reports of suspected abuse, neglect and/or exploitation of individuals with disabilities.
2. Case advocacy – providing advocacy and/or legal representation, within identified priority areas, to eligible individuals with disabilities to ensure access to appropriate services and resolution of disability-related discrimination or other rights violations.
3. Systems advocacy – collaborating with appropriate stakeholders to identify and advocate for systemic change that will result in positive outcomes for people with disabilities.
4. Information and referral – providing verbal and written information as well as directing individuals to resources external to P&A.
5. Education and training – training provided to groups of individuals, including people with disabilities and service providers, on disability-related rights issues as well as the development and publication of rights-related documents.
6. Self-advocacy support – providing information, technical assistance, and support to self-advocacy groups.

In the summer of 2009, P&A mailed a survey to 358 individuals and organizations requesting input on P&A's priorities and activities. Of note, the survey asked "Do you believe P&A's work is helpful to people with disabilities and their families?" Of the 53 respondents, 50 (over 94%) indicated 'yes' and 3 (less than 6%) indicated 'no'.

PERFORMANCE NARRATIVE

00380 Job Service North Dakota

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Job Service has an internal report card that tracks performance in the following areas:

1. Unemployment Insurance (UI)
 - Trust Fund Activity
 - Benefits Paid
 - Income Received
 - Trust Fund Balance
 - Call Center Calls
 - Average Speed of Answer
 - Average Talk Time
 - Benefit Payment Accuracy
 - Percentage of accurate payments
 - Percentage of over and under payments
 - Services provided via electronic methods
 - Percentage of claims taken via internet
 - Electronic employer, ACH debit and wage record counts
2. Workforce Investment Act (WIA)
 - Entered Employment
 - Employment Retention
 - Average Earnings
3. Workforce 20/20
 - Obligated Funds
 - Expended Funds
 - Unexpended Balance
4. Labor Exchange
 - Entered Employment
 - Employment Retention
 - Average Earnings
5. Employment in North Dakota
 - Labor Force data, including North Dakota's unemployment rate
 - Quarterly Census of Employment and Wages data
6. Job Opportunities and Basic Skills Program
 - Work Participation Rate

Program Performance Measures

Program: Job Service

Reporting level: 00-380-100-00-00-00-00-00000000

Unemployment Insurance Program:

PERFORMANCE NARRATIVE**00380** Job Service North Dakota**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Internal measurement of program performance is conducted monthly through a review of the JSND Report Card. This tool assists in the analysis and monitoring of agency benchmarks in the various agency program areas.

The performance measures for the Unemployment Insurance program are primarily established by the United States Department of Labor. Generally speaking, Job Service does very well against the core measures that are tracked by the USDOL. Job Service had the following results on eight of the primary measures for the quarter ended June 30, 2010:

<u>Measure:</u>	<u>Standard:</u>	<u>JSND Performance:</u>
Payment of Intrastate		
Claims within required times	87% timely	96.4%
Non-monetary determinations		
Within required time limits	80% timely	84.3%
Quality of non-separation eligibility		
issue determinations	75% exceeds quality	92.9%
Quality of separation eligibility		
issue determinations	75% exceeds quality	93.1%
Appeals Case Aging (new measure)	60% <=30 days	29.6 days
Appeals Quality	80%	100%

PERFORMANCE NARRATIVE

00380 Job Service North Dakota

Date: 01/06/2011

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Timely new employer status

determinations	70% timely	90.0%
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Tax quality	Pass/Fail	Pass
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Workforce Programs:

For PY 2008 and PY 2009, Job Service North Dakota has successfully met the Workforce Investment Act negotiated performance measures. Program success is defined in TEGL 9-07 as meeting 90 percent of the negotiated performance level.

WORKFORCE INVESTMENT ACT

Negotiated Performance Measures Summary

For Program Year 2009

<u>Performance Measure</u>	<u>Negotiated Performance Level</u>	<u>Actual Performance Level</u>	<u>Percent of Negotiated Level Achieved</u>
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Adult Common Measures Results At-A-Glance

Exit Period Covered by Measures: October 08 to September 09

PERFORMANCE NARRATIVE

00380 Job Service North Dakota

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Entered Employment Rate	77.0%	$320/424 = 75.5\%$	98.1%
Employment Retention Rate*	83.5%	$327/424 = 77.1\%$	92.3%
Average Earnings*	\$9,400.00	$3,565,411/327 = \$10,903.40$	116.0%
Average	100.0%		102.1%

Dislocated Worker Common Measures Results At-A-Glance*Exit Period Covered by Measures: October 06 to September 07*

Entered Employment Rate	84.5%	$123/151 = 81.5\%$	96.4%
Employment Retention Rate*	90.5%	$89/105 = 84.8\%$	93.7%
Average Earnings*	\$11,400.00	$1,305,353/88 = \$14,833.60$	130.1%
Average	100.0%		106.8%

Youth Common Measures Results At-A-Glance

PERFORMANCE NARRATIVE**00380** Job Service North Dakota**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Exit Period Covered by Measures: October 08 to September 09

Placement In Employment or Educ.	64.0%	$132/191 = 69.1\%$	108.0%
Attainment of Degree or Certificate	43.5%	$115/205 = 56.1\%$	129.0%
Literacy/Numeracy Gains**	20.0%	$77/152 = 50.7\%$	253.5%
Total Youth Average	100.0%		163.5%

* PY 09 Exit Period Covered – Apr 08 to Mar 09

**PY 09 Exit Period Covered – Jul 09 to Jun 10

Labor Market Information Center(LMI):

The LMI Center provides reports and studies requested by the Bureau of Labor Statistics and the Employment and Training Administration of the Department of Labor

LMI products and services are available at jobsnd.com

SPECIAL FUND REPORT
00380 Job Service North Dakota
Version: 2011R0300380

Job Service North Dakota Fund

	2009 - 2011	2011 - 2013
Beginning Balance	748,165	631,387
Revenue and Net Transfers:		
Revenue	<u>400,000</u>	<u>400,000</u>
Total Revenue and Net Transfers	400,000	400,000
Estimated Expenditures By Line:		
Salaries and Wages	359,413	276,107
Operating Expenses	1,157,365	240,617
Unexpended Appropriations	<u>(1,000,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>516,778</u>	<u>516,724</u>
Ending Balance	<u><u>631,387</u></u>	<u><u>514,663</u></u>

Continuing Appropriation

380 Job Service North Dakota

Version: 2011-R03-00380

Project: 1 Federal Advance Interest Repayment

Date: 01/04/2011

Time: 14:02:56

Version 2011R0300380 Number 1

Description Federal Advance Interest Repayment

Statutory Authority 52-04-22

Special Fund number and name 350 Federal Advance Interest Repayment Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,346,355	1,482,294	1,608,507	1,850,961
Revenue/transfers	650,693	663,081	845,850	850,000
Total available	1,997,048	2,145,375	2,454,357	2,700,961
Expenditures	514,754	536,868	603,396	610,000
Ending Balance	1,482,294	1,608,507	1,850,961	2,090,961

The Federal Advance Interest Repayment Account is the account to which assessments collected by the Unemployment Insurance (UI) Program are deposited for the purpose of paying interest due on federal advances to the state UI Trust Fund. These assessments interest, penalties, and fees that may be collected by Job Service as a result of an overpayment of benefits to a claimant, and non-payment or late payment of taxes due by an employer.

The FAIRA Fund was established by direction of the United States Department of Labor (USDOL) in order to have a source of funds available to pay interest due on any advances made by the federal government to the North Dakota UI Trust Fund. Advances may be made to states in cases where the state's UI Trust fund balance is not sufficient to pay benefits to the UI claimants of the state. These advances must be refunded to the USDOL, and interest is charged on all advances. North Dakota's UI Trust Fund is currently adequate to pay UI Benefits and is not projected to require advances from the federal government.

The FAIRA Fund is necessary because federal law prohibits the use of both state UI trust fund dollars and administrative dollars provided by the USDOL for the purpose of paying interest on advances made to the state. The FAIRA Fund is held as an interest-bearing account at the Bank of North Dakota.

Section 52-04-22 of the North Dakota Century Code identifies the allowable uses for the fund in addition to providing the continuing appropriation for the fund. Current uses include:

- Interest due on federal advances to the state trust fund.
- Interest and principal costs associated with the bond payments that funded the construction of the current Bismarck and Grand Forks Job Service offices.
- Costs of repair, renovation, or alteration of Job Service office facilities.
- Payment of the replacement rate charged for use of state fleet vehicles.
- Reemployment programs to ensure integrity of the unemployment insurance program.
- Payment of office building lease cost.

Continuing Appropriation

380 Job Service North Dakota

Version: 2011-R03-00380

Project: 2 Unemployment Insurance Trust Fund

Date: 01/04/2011

Time: 14:02:56

Version 2011R0300380 Number 2

Description Unemployment Insurance Trust Fund

Statutory Authority 52-03-04

Special Fund number and name 2 Unemployment Insurance Trust Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	75,964,943	114,654,137	102,121,265	77,120,475
Revenue/transfers	124,656,552	138,390,762	204,887,466	200,696,000
Total available	200,621,495	253,044,899	307,008,731	277,816,475
Expenditures	85,967,358	150,923,634	229,888,256	200,716,320
Ending Balance	114,654,137	102,121,265	77,120,475	77,100,155

The purpose of the Unemployment Compensation Fund is to collect employer taxes and pay state unemployment benefits. In addition, any federally authorized unemployment benefit program administered by Job Service North Dakota uses this fund for the federal revenue and federal benefit expenses.

Continuing Appropriation

Date: 01/04/2011

380 Job Service North Dakota

Time: 14:02:56

Version: 2011-R03-00380

Project: 3 Job Task Analysis

Version 2011R0300380 Number 3

Description Job Task Analysis

Statutory Authority 52-08-13

Special Fund number and name 189 Job Task Analysis

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	10,359	10,000	10,000	10,000
Total available	10,359	10,000	10,000	10,000
Expenditures	10,359	10,000	10,000	10,000
Ending Balance	0	0	0	0

Job Service North Dakota provides job task analysis services to employers that request such services. Fees collected for providing such services are used to pay the expenses related to the activity.

PERFORMANCE NARRATIVE

00401 Office of the Insurance Commissioner

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Goals for the Department and individuals are completed each year, and are reviewed for completion and accuracy on an ongoing basis. Statistics are kept in each Division that are compared to the goals established and how they compare to previous years. Each Division submits regular reports depicting activities, problems and how to resolve them, status on special projects, and upcoming events requiring special attention.

Program Performance Measures

Program: Grants To Local Fire Departments	Reporting level: 00-401-035-00-00-00-00000000
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Accurately calculating payments to fire departments and fire protection districts based on the required funding formula and issuing payments by September 30th of each year.

Program: Regulatory and Administration	Reporting level: 00-401-100-00-00-00-00000000
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Legal and Enforcement Division

Advise the Commissioner and Department staff on legal issues in an accurate, efficient and effective manner. The Legal Division seeks to respond to staff and the public immediately on critical time urgent issues; within 48 hours on less complicated, less urgent issues; and within 10 working days on complex issues that require significant research and analysis. These goals are measured by the positive response that is received from the Commissioner and Insurance Department staff, consumers and industry regarding Legal Division responsiveness to requests for information and resolution of issues. The Division is also responsible to conduct investigations of fraud, improper selling and marketing activities and to make sure all insurance producers and companies are complying with the applicable insurance laws and administrative rules.

Examinations and Company Licensing Division

Review and approve company license applications, issue certificates of authority, process yearly license renewals, collect fees and maintain a company licensing database. Perform ongoing financial analysis of domestic companies' annual and quarterly statements and other required filings in conformity with the National Association of Insurance Commissioners' accreditation standards.

Property and Casualty Division

Complete 96 percent of policy, rate and form filings within the statutory review period of 60 days. To complete 65 percent of complaints within 60 days. The basis for determining this will be from the SBS Complaints system database. Publish annual market analysis and statistical reports. Publish consumer educational material as needed.

Agency Licensing Division

Provide timely and accurate information to agents, companies, legislators and the general public on all licensing matters by utilizing and enhancing the Department's website and other outreach efforts. Continue to utilize and implement new electronic initiatives in an effort to further improve and enhance the electronic licensing and

PERFORMANCE NARRATIVE**00401** Office of the Insurance Commissioner**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

renewal processes for agents licensed to do business in North Dakota. To maintain a timely workflow process for licensing and renewal processing for agents and companies.

Life and Health Division

The goal for form and rate filings is to have fewer than 50 outstanding filings per analyst; and to have no filing outstanding for more than 60 days. The goal for the hotline is to return calls within one day. The goal for handling formal complaints is to have 60 percent completed within a 45 day time frame.

Consumer Assistance Division

Respond to all phone calls within 24 hours and assist walk-in customers immediately. Prescription Connection Program – Effectively promote the program to potential consumers. Track and report consumers assisted and impact annually. State Health Insurance Counseling Program – Plan, schedule and provide outreach and education activities targeting Medicare beneficiaries. Provide direct assistance to beneficiaries enrolling in Medicare Part D plans. Recruit, maintain and train volunteers to assist the program. Apply for and maintain federal grants related to assisting consumers.

Fire and Tornado Fund

Contact policyholder within 24 hours of notice of loss. Set a reserve as close as possible to the claim value/loss. Refer notice of loss within 24 hours of receipt to adjuster for action/investigation. Review at a minimum every 30 days for claim completion/resolution. Process claim payment within 24 hours of receiving signed proof of loss. Review and update insurance limits regularly. Request completion of claim handling survey. Follow state laws and regulations in administering the fund.

Petroleum Tank Release Compensation Fund

Contact tank owner/operator within 24 hours of notice of claim application. Set a reserve as close as possible to the claim value/loss. Contact Department of Health within 24 hours of claim application. Send tank owner/operator within 24 hours of receipt of claim application guidelines for hiring excavators and environmental consultants. Schedule investigation of claim to establish how loss occurred and responsible party. Review at minimum every 30 days for claim completion/resolution. Process claim payment within 24 hours of receiving signed proof of loss. Follow state laws and regulations in administering the fund.

State Bonding Fund

Contact bondholder within 24 hours of notice of claim. Set a reserve as close as possible to the probable claim value/loss. Refer notice of claim within 24 hours of receipt to the Office of State Auditor for investigation. Process judgment for order granting claim within 24 hours of receipt. Process claim payment within 24 hours of receiving the signed order granting claim. Contact the clerk of court for restitution/recovery within two weeks of issuing payment. Review recovery every six months. Review regularly (at least every two years) for adequate bond limits. Follow state laws and regulations in administering the fund.

SPECIAL FUND REPORT

00401 Office of the Insurance Commissioner

Version: 2011R0300401

Insurance Reg. Trust Fund 239

	2009 - 2011	2011 - 2013
Beginning Balance	0	1,000,000
Revenue and Net Transfers:		
Revenue	7,472,394	6,215,126
Total Revenue and Net Transfers	7,472,394	6,215,126
Estimated Expenditures By Line:		
Salaries and Wages	5,069,144	5,400,774
Operating Expenses	1,403,250	1,568,745
Total Estimated Expenditures	6,472,394	6,969,519
Ending Balance	1,000,000	245,607

SPECIAL FUND REPORT

00401 Office of the Insurance Commissioner

Version: 2011R0300401

Insurance Tax Distrib. Fund 240

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Revenue	<u>6,820,000</u>	<u>6,820,000</u>
Total Revenue and Net Transfers	6,820,000	6,820,000
Estimated Expenditures By Line:		
Grants to Fire Districts	<u>6,820,000</u>	<u>6,820,000</u>
Total Estimated Expenditures	<u>6,820,000</u>	<u>6,820,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

PERFORMANCE NARRATIVE**00405** Industrial Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Performance Measures are addressed under each of the individual programs.

Program Performance Measures**Program:** Administration**Reporting level:** 00-405-100-00-00-00-00000000

Under the Lignite Research Development and Marketing Program funding was provided for lignite research projects including two Lignite Vision 21 projects--Great Northern Power Development and Spiritwood. Funding has also been committed to the American Lignite Energy Coal-to-Liquids Project although no funds were disbursed during the 09-11 biennium.

The North Dakota Building Authority provided continuing disclosure for all outstanding bond issues and evaluated whether it was economic to refund any outstanding bond issues. A bond issue for the Veterans Home is currently being prepared.

The Administrative Office met the continuing disclosure requirements for the Student Loan Trust.

The Administrative Office assisted with the Transmission Authority and the Upper Great Plains Transmission Coalition.

The Administrative Office assisted with the Oil and Gas Research Program and the Renewable Energy Development Program and is responsible for the contract management of the projects awarded funding. Currently, these programs have over 30 on-going projects..

The Pipeline Authority has been working with numerous oil and gas companies along with the pipeline companies to assist in the construction of pipelines. A number of pipeline projects are either under construction or are being planned. The Administrative Office is responsible for the contract management for the Pipeline Authority.

During the 2009-2011 biennium, the Administrative Office was also responsible for the allocation of Recovery Zone Bond Allocations.

Program: Geological Survey**Reporting level:** 00-405-300-01-00-00-00000000

PERFORMANCE NARRATIVE**00405** Industrial Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

For the past six years, the Geological Survey has consistently exceeded the goals set forth in its annual strategic plans.

PERMIT PROCESSING

The Geological Survey consistently issues coal exploration and geothermal permits within five business days of the day they are received. We had been issuing these permits within two or three days, but increased workload has increased this time period to between three and five days.

CORE AND SAMPLE LIBRARY

The Geological Survey provides same business day response for requests from industry to study core in our facility or to ship the core to an approved out-of-state facility. We have experienced record industry activity in the core and sample library in the past several years. In 2010, oil companies will likely drill more than 12 million feet in the Williston Basin of North Dakota, 24 times more drilling than was done in 1999. Cuttings and cores from this record level of activity are pouring into the core and sample library. The Survey has created an additional five years of storage space in the building by replacing damaged core boxes, discarding low-priority items, and rearranging archival materials. The facility would be full within the next couple of years had we not undertaken those measures. As it is, it will fill relatively quickly if we do not accelerate those programs.

OUTREACH-CUSTOMER SERVICE

The Geological Survey website currently provides access to over 500 articles and publications, 350 geologic maps, etc. for access by industry, government, and the general public.

The Geological Survey continues to provide a number of outreach activities (presentations, tours, fieldtrips, fossil excavations, rock and fossil displays, website material, etc.) on various aspects of North Dakota's geology.

Program: Oil & Gas**Reporting level:** 00-405-300-02-00-00-00000000**PERMIT PROCESSING**

The Oil & Gas Division has seen a large increase in the number of drilling permit applications. Although the average permit processing time has increased from an average of 13 days in 2008 to over 20 days today, processing efficiency has increased dramatically allowing a greater volume of permits to be processed.

The Oil & Gas Division processes UIC permits in approximately 25 days.

PERFORMANCE NARRATIVE**00405** Industrial Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

WELL AND SITE CONSTRUCTION REGULATION

The Oil & Gas Division witnesses a very high percentage of mechanical integrity tests and rigorously enforces well construction rules designed to protect underground drinking water. Without this program 8% of injection and temporary abandoned wells and 5% of newly drilled wells could have undetected mechanical problems that could endanger ground water resources.

The Oil and Gas Division regulates spill containment through diking and site construction rules.

CONSERVATION AND CORRELATIVE RIGHTS

The Oil & Gas Division prevents waste and protects correlative rights primarily through Enhanced Oil Recovery (EOR) and gas flaring restrictions. EOR production has increased and the Oil & Gas Division continues to approve several EOR projects each year. Gas flaring has increased in recent years due to oil development in areas with limited gas gathering infrastructure. New gas plants have recently been constructed and existing gas plants have been expanded, as a result, gas flaring is currently declining.,

OUTREACH-CUSTOMER SERVICE

The Oil & Gas Division website currently provides over 75,500 electric logs, 19,500 well files, 200,000 formation tops, core data, etc for access by industry, government, and the public.

Streaming audio of our live hearings can be accessed from the Oil & Gas Division website. We also have provided a map on the website allowing interested parties to visually locate cases by area.

PERFORMANCE NARRATIVE**00405** Industrial Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

The Oil & Gas Division measures customer satisfaction with our hearing process, office visits, and website. Customers gave us high ratings in all three areas.

Program: Public Finance Authority	Reporting level: 00-405-500-00-00-00-00-00000000
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The NDPFA provided funding on a timely basis to those political subdivisions seeking low-cost financing for their capital projects. During 2009 the NDPFA approved under the State Revolving Fund Program \$20,798,958 of Clean Water SRF Program loans and \$36,987,610 of Drinking Water SRF Program loans.

SPECIAL FUND REPORT

00405 Industrial Commission

Version: 2011R0300405

Industrial Commission Fund 305

	2009 - 2011	2011 - 2013
Beginning Balance	863,645	863,645
Revenue and Net Transfers:		
Tsfr Fm Health & Consolidated	704,127	706,983
Tsfr Fm Bank Of Nd	91,205	103,606
Tsfr Fm Renewable Energy Dev	30,000	34,095
Tsfr Fm Oil And Gas Research	54,909	62,376
Transfer fr OMB Debt Service	665,298	665,143
Tsfr Fm Lignite Research Fund	54,909	62,376
Tsfr Fm Atty General Fund	765,882	765,704
Tsfr Fm University System	13,883,296	13,203,163
Tsfr Fm Extension Div. Fund	571,423	571,290
Tsfr Fm Human Sevice Fund	939,386	0
Tsfr Fm State Pen. Fund (379)	2,222,095	1,337,752
Tsfr Fm Soldiers Home Fund	0	404,000
Tsfr Fm Natl Guard Fund	70,627	70,225
Tsfr Fm Parks & Rec. Fund (398)	73,630	73,613
Tsfr Fm Hist. Soc. Gift & Req.	1,392,393	1,392,069
Tsfr Fm Cdp Service Fund	5,405,936	5,406,666
Tsfr Fm Nd Job Service	747,772	742,518
Tsfr Fm Mill & Elevator	71,661	81,405

SPECIAL FUND REPORT**00405 Industrial Commission****Version: 2011R0300405**

Tsfr Fm Nd Student Loan Trust	81,433	92,506
Tsfr Fm Municipal Bond Bank	19,327	21,937
Tsfr Fm Nd Housing Finance Age	<u>61,889</u>	<u>70,305</u>
Total Revenue and Net Transfers	27,907,198	25,867,732
Estimated Expenditures By Line:		
Salaries and Wages	351,543	352,616
Operating Expenses	113,790	135,595
Bond Payments	<u>27,441,865</u>	<u>25,339,126</u>
Total Estimated Expenditures	<u>27,907,198</u>	<u>25,827,337</u>
Ending Balance	<u><u>863,645</u></u>	<u><u>904,040</u></u>

SPECIAL FUND REPORT

00405 Industrial Commission

Version: 2011R0300405

Lignite Research Fund 314

	2009 - 2011	2011 - 2013
Beginning Balance	14,187,178	9,542,838
Revenue and Net Transfers:		
Revenue	9,355,960	10,800,000
Total Revenue and Net Transfers	9,355,960	10,800,000
Estimated Expenditures By Line:		
Grants	19,971,300	19,971,300
Unexpended Appropriations	(5,971,000)	0
Total Estimated Expenditures	14,000,300	19,971,300
Ending Balance	9,542,838	371,538

SPECIAL FUND REPORT

00405 Industrial Commission

Version: 2011R0300405

Public Finance Authority

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Tsfr Fm Municipal Bond Bank	<u>670,033</u>	<u>654,027</u>
Total Revenue and Net Transfers	670,033	654,027
Estimated Expenditures By Line:		
Salaries and Wages	442,233	428,427
Operating Expenses	216,800	225,600
Capital Assets	<u>11,000</u>	<u>0</u>
Total Estimated Expenditures	<u>670,033</u>	<u>654,027</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 1 Abandoned Oil and Gas Reclamation Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 1

Description Abandoned Oil and Gas Reclamation Fund

Statutory Authority NDCC38-08-04.5

Special Fund number and name 448 Abandoned Oil and Gas Reclamation Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	141,238	251,220	570,495	1,013,550
Revenue/transfers	216,017	351,670	445,250	450,000
Total available	357,255	602,890	1,015,745	1,463,550
Expenditures	106,035	32,395	2,195	2,500
Ending Balance	251,220	570,495	1,013,550	1,461,050

Abandoned Oil and Gas Reclamation Fund. (North Dakota Century Code 38-08-04.5) Monies deposited into this fund are from oil and gas operator permit fees and forfeited surety bonds paid to the North Dakota Industrial Commission Oil and Gas Division. Monies in this fund are appropriated to plug oil and gas wells and reclaim well sites, and associated facilities:

- 1) if the person or company drilling or operating the well cannot be found, has no assets with which to properly plug or replug the well or reclaim the well site, or cannot be legally required to plug or replug the well or to reclaim the well site;
- 2) if there is no surety bond covering the well to be plugged or the site to be reclaimed or there is a forfeited surety bond but the cost of plugging or re-plugging the well or reclaiming the site exceeds the amount of the bond; or
- 3) the well is leaking or likely to leak oil, gas or saltwater or is likely to cause a serious threat of pollution or injury to the public health or safety.

During the current biennium, the Oil and Gas Division cut off, capped and buried the well casing on one wellsite. At this time there are no projected sites to plug and reclaim in the 11-13 biennium.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 10 Pipeline Authority Admin Fund

Version 2011R0300405 Number 10

Description Pipeline Authority Admin Fund

Statutory Authority NDCC 54-17.7-11

Special Fund number and name 286 Pipeline Authority Admin Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	115,715	32,089	95,089
Revenue/transfers	125,000	180,684	305,000	230,000
Total available	125,000	296,399	337,089	325,089
Expenditures	9,285	264,310	242,000	286,015
Ending Balance	115,715	32,089	95,089	39,074

The Pipeline Authority Administration Fund was initially authorized by the 2007 Legislative Assembly to provide a fund for the operations of the Pipeline Authority. The Pipeline Authority was created for the purpose of diversifying and expanding the North Dakota economy by facilitating development of pipeline facilities to support the production, transportation, and utilization of North Dakota energy-related commodities. It is important to have a continuing appropriation as the Authority has been authorized to make grants and loans as well as to construct facilities if needed. Construction of projects could exceed a two-year period of time. The funding of a grant for study on a new method of transporting energy commodities may exceed a two-year period.

Pipeline infrastructure is a critical need for the state at this time and into the future not only for oil and gas but also for other energy sources such as ethanol. The transportation needed for captured CO₂ is also an issue facing the energy industry. Ongoing funding for the Pipeline Authority is needed in order for the Commission to facilitate discussions and identify infrastructure sources for these energy commodities.

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 11 Renewable Energy Development Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 11

Description Renewable Energy Development Fund

Statutory Authority NDCC 54-63-04

Special Fund number and name 243 Renewable Energy Development Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	1,634,881	34,355
Revenue/transfers	0	3,079,903	4,150,378	5,000,000
Total available	0	3,079,903	5,785,259	5,034,355
Expenditures	0	1,445,022	5,750,904	5,034,355
Ending Balance	0	1,634,881	34,355	0

The Renewable Energy Development Fund was initially authorized by the 2007 Legislative Assembly to promote the growth of North Dakota's renewable energy industries through research, development and education. It is important to have a continuing appropriation for this type of effort in that research efforts can take a number of years. Each of the projects funded with the monies in the Renewable Energy Development Fund must be matched with either private or federal dollars. Often it is necessary in obtaining matching dollars from companies as well as universities or the federal government to be able to show that the State can commit for longer than a two-year period of time. The development of renewable energy industries in many areas is just in its infancy and research to develop these industries may involve a number of years. Educational efforts can also require more than a two-year period of time to begin to make a difference in the understanding of the renewable energy industry and the benefits it provides to the State of North Dakota.

With the demand for finding additional renewable energy sources, it is vital that the Renewable Energy Development Fund be maintained as a continuing appropriation as new research opportunities are identified. With additional funding for this program the state will be able to match dollars from federal and private sources to encourage the development of these new renewable energy sources.

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 12 Geo Data Preservation Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 12

Description Geo Data Preservation Fund

Statutory Authority NDCC 54-17.4-13

Special Fund number and name 319 Geo Data Preservation Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	5,000	5,494
Revenue/transfers	0	5,000	500	1,000
Total available	0	5,000	5,500	6,494
Expenditures	0	0	6	12
Ending Balance	0	5,000	5,494	6,482

The money in the fund was given by EOG to help defray costs related to black light in the core and sample library to assist with the identification of hydrocarbons in rock core. We have not been successful in our attempts to obtain competitive awards for data preservation. We continue to solicit funds from the oil and gas industry for data preservation funds related to our core and sample library. The fund was established to preserve geologic data that might otherwise be lost.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 13 Carbon Dioxide Stor.Facility Admin. Fund

Version 2011R0300405 Number 13

Description Carbon Dioxide Stor.Facility Admin. Fund

Statutory Authority NDCC 38-22-05

Special Fund number and name 252 Carbon Dioxide Facility Admin

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		0
Revenue/transfers	0		0		0		100,000
Total available	0		0		0		100,000
Expenditures	0		0		0		50,000
Ending Balance	0		0		0		50,000

Monies deposited in this fund are from the payment of fees from CO₂ storage operators when submitting an application for approval. Money in the fund may be used to defray expenses for processing permit applications including public notice costs and public hearings, regulating storage facilities during their construction, operational and preclosure phases and making storage amount determinations. The fund may also be used to compensate other agencies incurring expenses to conduct regulatory responsibilities at the storage facility. It is anticipated that the operation of the storage facilities will be for multiple years. Therefore, a continuing appropriation is needed.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 14 Carbon Dioxide Stor. Facility Trust Fund

Version 2011R0300405 Number 14

Description Carbon Dioxide Stor. Facility Trust Fund

Statutory Authority NDCC 38-22-15

Special Fund number and name 281 CO2 Facility Trust Fund

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		0
Revenue/transfers	0		0		0		350,000
Total available	0		0		0		350,000
Expenditures	0		0		0		0
Ending Balance	0		0		0		350,000

Monies deposited in this fund are from the payment of fees from CO₂ storage operators when carbon dioxide is injected into the storage facility. The fee is based on a per ton basis. Monies in the fund are to be used for the costs associated with the long-term monitoring and management of a closed storage facility. The fund may also be used to compensate other agencies incurring expenses to conduct regulatory responsibilities at the storage facility. It is anticipated that the monitoring of a closed facility may take place for multiple years. Therefore, a continuing appropriation is needed. .

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 2 Cash Bond Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 2

Description Cash Bond Fund

Statutory Authority NDCC38-08-04.11

Special Fund number and name 305 NDCC38-08-04.11

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	428,864	522,021	655,057	478,276
Revenue/transfers	97,588	221,281	195,500	200,000
Total available	526,452	743,302	850,557	678,276
Expenditures	4,431	88,245	372,281	70,000
Ending Balance	522,021	655,057	478,276	608,276

Cash Bond Fund. (North Dakota Century Code 38-08-04.11) Monies deposited into this fund are from administrative fees on all moneys held or controlled by the Commission under subdivision d of subsection 1 of section 38-08-04 (the statute allowing a company to provide a cash bond rather than surety bond when operating in North Dakota). Monies in this fund are appropriated to the Commission to be used for:

1) defraying costs incurred in the plugging of abandoned oil and gas wells and related activities;

2) defraying costs incurred in the reclamation of abandoned oil and gas drilling and production sites, saltwater disposal pits, drilling fluid pits, and access roads, and related activities.

During the current biennium, the Oil and Gas Division has plugged four abandoned wells and reclaimed eight wellsites. The reclamation of a well site may take several years depending on the location of the site and the weather conditions. Currently, there is one project scheduled for the 11-13 biennium.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 3 Cartographic Products Fund

Version 2011R0300405 Number 3

Description Cartographic Products Fund

Statutory Authority NDCC 54-17.4-10

Special Fund number and name 305 Cartographic Products Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	17,777	21,154	23,855	26,505
Revenue/transfers	8,330	6,873	6,150	6,400
Total available	26,107	28,027	30,005	32,905
Expenditures	4,953	4,172	3,500	3,750
Ending Balance	21,154	23,855	26,505	29,155

Cartographic Products Fund . The Cartographic Products Fund was established during the 1989 legislative session (North Dakota Century Code 54-17.4-10). The fund is used to purchase topographic maps for sale from the federal government. All monies collected from the sale of topographic maps are redeposited in this fund. Map sales are cyclical and this fund requires a revolving fund to function most efficiently.

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 4 Fossil Excavation and Restoration Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 4

Description Fossil Excavation and Restoration Fund

Statutory Authority NDCC 54-17.4-09.1

Special Fund number and name 234 Fossil Excavation and Restoration Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	118,723	2,679	115,406	52,906
Revenue/transfers	18,926	351,500	0	0
Total available	137,649	354,179	115,406	52,906
Expenditures	134,970	238,773	62,500	52,900
Ending Balance	2,679	115,406	52,906	6

Fossil Excavation and Restoration Fund . The Fossil Excavation and Restoration Fund was established during the 1997 legislative session (North Dakota Century Code 54-17.4-9.1). The fund contains monies donated to the North Dakota Geological Survey to pay for excavation and restoration of fossils for display in the North Dakota Heritage Center and other museums and public venues across the state. Fossil exhibits are costly and also take a considerable amount of time to plan and prepare. These variables require that money be held for long periods of time.

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 5 Global Positioning System

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 5

Description Global Positioning System

Statutory Authority NDCC 54-17.4-12

Special Fund number and name 305 Global Positioning System

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	15,165	12,413	11,095	6,847
Revenue/transfers	215	0	0	0
Total available	15,380	12,413	11,095	6,847
Expenditures	2,967	1,318	4,248	1,350
Ending Balance	12,413	11,095	6,847	5,497

Global Positioning System Data Fund . In 1993 the North Dakota Geological Survey, North Dakota Oil and Gas Division, North Dakota Agriculture Department, North Dakota State Water Commission, North Dakota Department of Transportation, United States Geological Survey and Bismarck State College entered into an agreement to establish and maintain a global positioning base station at Bismarck State College. The Global Positioning System (GPS) Data Fund was established by the 1995 Legislative Assembly (North Dakota Century Code 54-17.4-12). The revolving fund was designed to hold GPS fees and to pay the costs of maintaining the base station. In 2001, all data from the base station was put on line for all users to download for free. The fund is still being used to pay for the costs of maintaining the base station but no new monies are being deposited in the fund. During the 09-11 biennium the GPS equipment was upgraded to a self-contained web based data collection point. Using private donations and state funds, the data collection device and the receiving antenna were replaced allowing us to collect more types of data for the state and general public use.

Continuing Appropriation

405 Industrial Commission

Version: 2011-R03-00405

Project: 6 Oil & Gas Reservoir Data Fund

Date: 01/04/2011

Time: 14:03:27

Version 2011R0300405 Number 6

Description Oil & Gas Reservoir Data Fund

Statutory Authority NDCC 38-08.04.6

Special Fund number and name 317 Oil & Gas Reservoir Data Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	101,068	73,079	180,847	335,414
Revenue/transfers	183,549	353,340	454,567	460,000
Total available	284,617	426,419	635,414	795,414
Expenditures	211,538	245,572	300,000	350,000
Ending Balance	73,079	180,847	335,414	445,414

Oil and Gas Reservoir Data Fund . (North Dakota Century Code 38-08-04.6) Monies deposited in this fund are from the payment of fees for the actual costs of services performed to provide oil and gas reservoir data requested by industry, royalty owners, other governmental agencies and the public. Monies in this fund are appropriated to the Commission to be used for purchase of equipment and supplies directly related to storage and dissemination of computerized geophysical exploration, production, and well information data to industry, royalty owners, other governmental agencies and the public. Requests for oil and gas reservoir data are cyclical with commodity price and a revolving fund is required to most efficiently meet demand.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 7 Oil and Gas Research Fund

Version 2011R0300405 Number 7

Description Oil and Gas Research Fund

Statutory Authority NDCC 57-51.1

Special Fund number and name 247 Oil and Gas Research Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	20,061	526,952	1,278,907	1,799,461
Revenue/transfers	1,302,100	3,056,419	4,020,554	4,020,000
Total available	1,322,161	3,583,371	5,299,461	5,819,461
Expenditures	795,209	2,304,464	3,500,000	5,500,000
Ending Balance	526,952	1,278,907	1,799,461	319,461

Oil and Gas Research Fund . The Oil and Gas Research Fund was established by the 2003 Legislative Assembly to promote the growth of the oil and gas industry through research and education (North Dakota Century Code 57-51.1). It is important to have a continuing appropriation for this type of effort in that research efforts can take a number of years. Each of the projects funded with the monies in the Oil and Gas Research Fund must be matched. Often it is necessary in obtaining matching dollars from companies as well as universities or the federal government to be able to show that the state can commit to longer than a two-year period of time. Projects that will require drilling programs, analysis of a drilling technique on an oil formation, innovative methods for enhanced recovery or improved reclamation of well sites may need a multi-year research effort to determine the results of the project. Educational efforts can also require more than a two-year period of time to begin to make a difference in the understanding of the oil and gas industry and the benefits it provides to the State of North Dakota.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 8 Lignite Research Fund

Version 2011R0300405 Number 8

Description Lignite Research Fund

Statutory Authority NDCC 57-61-01.5 and

Special Fund number and name 314 Lignite Research Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	10,362,784	11,794,084	14,187,178	9,543,138
Revenue/transfers	8,968,759	11,047,965	9,355,960	10,800,000
Total available	19,331,543	22,842,049	23,543,138	20,343,138
Expenditures	7,537,459	8,654,871	14,000,000	19,971,000
Ending Balance	11,794,084	14,187,178	9,543,138	372,138

The Lignite Research Fund was established to invest in research and the development and marketing for the lignite industry. It is important to have a continuing appropriation for this type of effort in that research efforts can take a number of years. Each of the projects funded with the monies in the Lignite Research Fund must be matched. Many of the projects funded in part by the Lignite Research Fund are multi-year efforts. Lignite Vision 21 projects can require a number of years of research or actual construction. A continuing appropriation is needed to assure the project developers of the State's commitment to assist in the development of their projects.

Continuing Appropriation

Date: 01/04/2011

405 Industrial Commission

Time: 14:03:27

Version: 2011-R03-00405

Project: 9 Geo, Mineral, Coal Exploration

Version 2011R0300405 Number 9

Description Geo, Mineral, Coal Exploration

Statutory Authority NDCC 38-21-01

Special Fund number and name 428 Geo, Mineral, Coal Exploration

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	900	16,566	36,374
Revenue/transfers	900	15,710	19,960	23,500
Total available	900	16,610	36,526	59,874
Expenditures	0	44	152	175
Ending Balance	900	16,566	36,374	59,699

This fund was established by the state legislature in 2007 to assist with the plugging of problem test holes or site restoration of holes drilled under the geothermal, coal exploration, or subsurface mineral programs. Monies deposited into this fund are from administrative fees charged in the permit application process.

PERFORMANCE NARRATIVE**00406** Office of the Labor Commissioner**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The Department of Labor measures its agency performance through its delivery of program services. Accordingly, performance measures are reported under the Program Performance Measures narrative.

Program Performance Measures**Program:** Office of Labor Commissioner**Reporting level:** 00-406-100-00-00-00-00000000

The department continues to be dedicated to the principles of efficient and effective public service. The department tracks the impact of the strategic changes primarily by monitoring the pending agency caseloads. The department's current caseload is manageable. The average number of days pending has gone down in all areas with the exception of Public Services/Public Accommodations/Credit Transactions. The average number of days pending in this area has risen solely due to two (2) older cases involving issues of accessibility in which the department is working with the Respondent to correct the deficiencies.

Caseload Information as of June 30, 2010:

Pending Employment Discrimination Complaints = 57

Average Age = 69 days

Pending Claims for Unpaid wages = 54

Average Age = 76 days

Pending Claims for Housing Discrimination Complaints = 15

Average Age = 44 days

Pending Public Service/Public Accommodation & Credit Transaction Complaints = 7

Average Age = 206 days

The ongoing objective is for cases in all areas to be current in accordance with the following targets for case closures: 1) complaints of discrimination in employment, public services, public accommodations, and credit transactions to be completed within 180 days; 2) claims for unpaid wages to be completed within 90 days; and 3) complaints in housing discrimination to be completed within 100 days.

PERFORMANCE NARRATIVE

00408 Public Service Commission

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Not Available.

Program Performance Measures**Program:** Administration**Reporting level:** 00-408-100-00-00-00-00000000

Not Available.

Program: Testing, Licensing and Certification**Reporting level:** 00-408-200-00-00-00-00000000

Not Available.

Program: Public Utilities**Reporting level:** 00-408-300-00-00-00-00000000

The case load, described in the next section, is handled by 4 analysts and 1 administrative assistant with support from the legal division and the commission's information officer.

Program: Reclamation Programs**Reporting level:** 00-408-400-00-00-00-00000000

Not Available.

SPECIAL FUND REPORT
00408 Public Service Commission
Version: 2011R0300408

Abandoned Mine Reclamation 445

	2009 - 2011	2011 - 2013
Beginning Balance	2,624,649	2,692,649
Revenue and Net Transfers:		
Interest Earnings	68,000	65,000
Total Revenue and Net Transfers	68,000	65,000
Estimated Expenditures By Line:		
Ending Balance	2,692,649	2,757,649

SPECIAL FUND REPORT
00408 Public Service Commission
Version: 2011R0300408

PSC Valuation Revolving Fund 248

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Utility Valuation Reimb.	<u>125,000</u>	<u>125,000</u>
Total Revenue and Net Transfers	125,000	125,000
Estimated Expenditures By Line:		
Operating Expenses	<u>125,000</u>	<u>125,000</u>
Total Estimated Expenditures	<u>125,000</u>	<u>125,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT
00408 Public Service Commission
Version: 2011R0300408

State Rail Fund 277

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Budgeted Special Fund Rev	<u>900,000</u>	<u>900,000</u>
Total Revenue and Net Transfers	900,000	900,000
Estimated Expenditures By Line:		
Rail Rate Complaint Case	<u>900,000</u>	<u>900,000</u>
Total Estimated Expenditures	<u>900,000</u>	<u>900,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

Continuing Appropriation

408 Public Service Commission

Version: 2011-R03-00408

Project: 1 Siting process expense recovery

Date: 01/04/2011

Time: 14:03:54

Version 2011R0300408 Number 1

Description Siting process expense recovery

Statutory Authority 49-22-22

Special Fund number and name 301 Siting process expense recovery

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	132,925	424,727	758,083	908,083
Revenue/transfers	674,483	1,197,250	1,000,000	1,000,000
Total available	807,408	1,621,977	1,758,083	1,908,083
Expenditures	382,681	863,894	850,000	850,000
Ending Balance	424,727	758,083	908,083	1,058,083

Siting fees are deposited into a special fund on a continuing basis to the PSC to pay sitng case expenditures.

Continuing Appropriation

408 Public Service Commission

Version: 2011-R03-00408

Project: 2 Credit Sale Indemnity Fund

Date: 01/04/2011

Time: 14:03:54

Version 2011R0300408 Number 2

Description Credit Sale Indemnity Fund

Statutory Authority 60-10

Special Fund number and name 395 Credit Sale Indemnity Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,956,352	4,206,289	6,585,452	6,760,452
Revenue/transfers	2,249,937	2,489,478	275,000	275,000
Total available	4,206,289	6,695,767	6,860,452	7,035,452
Expenditures	0	110,315	100,000	100,000
Ending Balance	4,206,289	6,585,452	6,760,452	6,935,452

The credit-sale contract indemnity fund was created by the 2003 Legislature to provide partial protection for unpaid credit-sale contracts in grain elevator or grain buyer insolvencies. Statute requires the assessment be placed on the value of all grain sold in ND under a credit-sale contract, the assessment be deducted from the purchase price payable to the seller, and the assessments be submitted to the Commission by licensees. The assessment is mandatory and refunds cannot be made. Payment from the indemnity fund for credit-sale contracts is equivalent to payment from the bond for cash transactions in the event of insolvency.

Continuing Appropriation

408 Public Service Commission

Version: 2011-R03-00408

Project: 3 Performance Assurance Fund

Date: 01/04/2011

Time: 14:03:54

Version 2011R0300408 Number 3

Description Performance Assurance Fund

Statutory Authority 49-21-31

Special Fund number and name 280 Performance Assurance Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	132,207	96,536	98,743	100,000
Revenue/transfers	43,800	17,400	15,000	15,000
Total available	176,007	113,936	113,743	115,000
Expenditures	79,471	15,193	13,743	15,000
Ending Balance	96,536	98,743	100,000	100,000

The fund is used to monitor the operation and the effects of the performance assurance plan per North Dakota Century Code 49-21-31.

PERFORMANCE NARRATIVE

00412 Aeronautics Commission

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Performance measures and results reporting are not currently used by the agency.

Program Performance Measures

Program: Regulatory and Administration	Reporting level: 00-412-100-00-00-00-00000000
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Performance measures and results reporting are not currently used by the agency.

Program: Airport Development and Maintenance	Reporting level: 00-412-200-00-00-00-00000000
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Performance measures and results reporting are not currently being used by the agency.

SPECIAL FUND REPORT

00412 Aeronautics Commission

Version: 2011R0300412

Aeronautics Comm. Spec Fund 324

	2009 - 2011	2011 - 2013
Beginning Balance	8,513,115	5,547,632
Revenue and Net Transfers:		
Aircraft Registration	140,000	140,000
Misc. License/Fees	55,000	55,000
Aerial Spray Licensing	36,000	36,000
Motor Vehicle Excise Tax	1,500,000	1,500,000
Aviation Fuel Tax	<u>2,400,000</u>	<u>2,400,000</u>
Total Revenue and Net Transfers	4,131,000	4,131,000
Estimated Expenditures By Line:		
Salaries and Wages	901,234	1,005,639
Operating Expenses	641,432	925,049
Capital Assets	20,000	16,500
Grants	5,573,000	5,440,500
Unexpended Appropriations	<u>(39,183)</u>	<u>0</u>
Total Estimated Expenditures	<u>7,096,483</u>	<u>7,387,688</u>
Ending Balance	<u><u>5,547,632</u></u>	<u><u>2,290,944</u></u>

PERFORMANCE NARRATIVE

00413 Dept of Financial Institutions

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

1. Ensure the financial institutions operate in a safe and sound manner.
2. Examine all regulated entities within the timeframes prescribed by North Dakota statutes.
3. The maintenance of public confidence in regulated industries.
4. Provide for the opportunity for these industries to effectively service the convenience and needs of depositors, borrowers, and other customers, and to participate in and promote the economic progress of North Dakota.
5. Provide for the simplification and modernization of the laws governing the regulated industries.
6. To maintain a regulatory agency that consists of highly trained and experienced examiners to implement the mission, duties and responsibilities of the agency.
7. As regulators, we perform our duties in an ethical, unbiased, informed, and efficient manner.
8. Maintain the Department of Financial Institution's records management system to enhance efficiency and effectiveness.
9. Maintain examination staff at allotted FTE levels.
10. Operate the Department within budget parameters.

Program Performance Measures

Program: Administration

Reporting level: 00-413-100-00-00-00-00000000

- 1) Ensure the financial institutions operate in a safe and sound manner.
- 2) Examine all regulated entities within the timeframes prescribed by North Dakota statutes.
- 3) The maintenance of public confidence in regulated industries.
- 4) Provide for the opportunity for these industries to effectively service the convenience and needs of depositors, borrowers, and other customers, and to participate in and promote the economic progress of North Dakota.
- 5) Provide for the simplification and modernization of the laws governing the regulated industries.
- 6) To maintain a regulatory agency that consists of highly trained and experienced examiners to implement the mission, duties and responsibilities of the agency.
- 7) As regulators, we perform our duties in an ethical, unbiased, informed, and efficient manner.
- 8) Maintain the Department of Financial Institution's records management system to enhance efficiency and effectiveness.
- 9) Maintain examination staff at allotted FTE levels.
- 10) Operate the Department within budget parameters.

SPECIAL FUND REPORT

00413 Dept of Financial Institutions

Version: 2011R0300413

Financial Inst Regulatory Fund 242

	2009 - 2011	2011 - 2013
Beginning Balance	1,215,712	1,225,664
Revenue and Net Transfers:		
Examiner Fees	5,457,010	5,900,000
Sale Of Check-Lic Fee	28,950	30,000
Interest Income	13,680	15,000
Fines-Forfeitures-Escheat	8,850	7,000
Misc. License/Fees	275,800	305,000
Small Loan-Comp-License	118,150	135,000
Collection Agency Lic.	<u>294,000</u>	<u>310,000</u>
Total Revenue and Net Transfers	6,196,440	6,702,000
Estimated Expenditures By Line:		
Salaries and Wages	4,862,225	5,356,855
Operating Expenses	1,304,263	1,285,556
Contingency	<u>20,000</u>	<u>20,000</u>
Total Estimated Expenditures	<u>6,186,488</u>	<u>6,662,411</u>
Ending Balance	<u><u>1,225,664</u></u>	<u><u>1,265,253</u></u>

PERFORMANCE NARRATIVE

00414 Securities Department

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

Performance measures had been established in the past but based on the uniqueness of each staff function the variables were difficult to average and did not present a clear picture of performance.

Additionally, benchmarks are not relevant as staff has no control over volume of work in respective areas of responsibility such as:

- Investor Complaints
- For Cause Investigations and Examinations
- Securities Offering Registrations
- Registration of Broker Dealers and Investment Advisors
- Registration of Securities Agents and Investment Advisor Representatives
- Registration of Franchises

Program Performance Measures

Program: Investor Protection	Reporting level: 00-414-100-00-00-00-00000000
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Performance measures have not been established.

SPECIAL FUND REPORT

00414 Securities Department

Version: 2011R0300414

Investor Education & Technology

	2009 - 2011	2011 - 2013
Beginning Balance	834,481	942,282
Revenue and Net Transfers:		
Fines-Forfeitures-Esheat	<u>425,000</u>	<u>58,000</u>
Total Revenue and Net Transfers	425,000	58,000
Estimated Expenditures By Line:		
Operating Expenses	<u>317,199</u>	<u>317,199</u>
Total Estimated Expenditures	<u>317,199</u>	<u>317,199</u>
Ending Balance	<u><u>942,282</u></u>	<u><u>683,083</u></u>

Continuing Appropriation

414 Securities Department

Version: 2011-R03-00414

Project: 262 Special Fund

Date: 01/04/2011

Time: 14:04:30

Version 2011R0300414 Number 262

Description Special Fund

Statutory Authority 10-04-03

Special Fund number and name 262 Investor Restitution

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	77,298	54,328
Revenue/transfers	72,108	77,298	2,000	5,000
Total available	72,108	77,298	79,298	59,328
Expenditures	72,108	0	24,970	5,000
Ending Balance	0	77,298	54,328	54,328

Civil penalties collected from enforcement actions for the purpose of distribution to aggrieved investors.

PERFORMANCE NARRATIVE**00471** Bank of North Dakota**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

In meeting BND's performance, there are three areas that we believe should be monitored: Financial performance, achieving BND's mission, and meeting legislative expectations.

1. Financial Performance - The Department of Financial Institutions(DFI) evaluates the safety and soundness of banks utilizing the "CAMELS" rating system. CAMELS is an acronym standing for: Capital, Asset Quality, Management, Earnings, Liquidity and funds management, and Sensitivity.
2. Meeting the Mission - To deliver quality, sound financial services that promote agriculture, commerce, and industry. We measure our success in achieving our mission by the quality and usage of our programs.
3. Meeting Legislative expectations - ND legislature has two distinct expectations of BND. They expect that BND will continue to be a major player in the economic evolution of the State of North Dakota and that BND can be a source of revenue for the State's General Fund. We believe that if we meet our mission and financial performance objectives, we will fulfill the expectations of the legislature.

Return on Average Assets: Return on assets for 2009, 2008, and 2007 were 1.57%, 1.86%. and 2.04%.

Return on Average Equity: Return on average equity for 2009, 2008, and 2007 were 23.80%, 27.01%, and 27.68%.

Leverage Ratio: The leverage ratio for 2009, 2008, and 2007 were 7.17%, 6.79%, and 7.19%. BND's benchmark is 8%.

Program Performance Measures**Program:** Bank of North Dakota Operations**Reporting level:** 00-471-100-00-00-00-00000000

In meeting BND's performance, there are three areas that we believe should be monitored: Financial performance, achieving BND's mission, and meeting legislative expectations.

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Performance Measurement Tools:

Return on Average Assets: Return on assets for 2009, 2008, and 2007 were 1.57%, 1.86%. and 2.04%.

Return on Average Equity: Return on average equity for 2009, 2008, and 2007 were 23.80%, 27.01%, and 27.68%.

PERFORMANCE NARRATIVE**00471** Bank of North Dakota**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Leverage Ratio: The leverage ratio for 2009, 2008, and 2007 were 7.17%, 6.79%, and 7.19%. BND's benchmark is 8%.

SPECIAL FUND REPORT

00471 Bank of North Dakota

Version: 2011R0300471

Bank of North Dakota

	2009 - 2011	2011 - 2013
Beginning Balance	212,726,732	292,259,458
Revenue and Net Transfers:		
Revenue	122,900,000	120,000,000
Transfer Out	0	(60,000,000)
Total Revenue and Net Transfers	122,900,000	60,000,000
Estimated Expenditures By Line:		
Capital Assets	1,455,000	1,266,000
BND Operations	41,912,274	42,554,324
Total Estimated Expenditures	43,367,274	43,820,324
Ending Balance	292,259,458	308,439,134

Continuing Appropriation

471 Bank of North Dakota

Version: 2011-R03-00471

Project: 1 Ag Partnership in Assisting Community Expansion

Date: 01/04/2011

Time: 14:04:41

Version 2011R0300471 Number 1

Description Ag Partnership in Assisting Community Expansion

Statutory Authority 6-09.13

Special Fund number and name 916 Ag Partnership in Assisting Community Expansion

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	-76,967	4,204	236,643	0
Revenue/transfers	1,450,000	1,400,000	1,400,000	1,000,000
Total available	1,373,033	1,404,204	1,636,643	1,000,000
Expenditures	1,368,829	1,167,561	1,636,643	1,000,000
Ending Balance	4,204	236,643	0	0

The purpose of the AgPace Fund is to buydown the interest rate on loans made by a lead financial institution in participation with the Bank of North Dakota. Loans eligible for the buydown are loans to on-farm North Dakota businesses that are using the proceeds to purchase real property or equipment, expand their facility, acquire working capital or inventory, purchase of irrigation equipment, equity shares in a value-added, ag-processing business or capital improvements for retention of livestock or dairy operations.

This has been a successful economic development program for the State of North Dakota.

Continuing Appropriation

471 Bank of North Dakota

Version: 2011-R03-00471

Project: 2 Partnership Assisting Community Expansion

Date: 01/04/2011

Time: 14:04:41

Version 2011R0300471 Number 2

Description Partnership Assisting Community Expansion

Statutory Authority 6-09.14

Special Fund number and name 917 Partnership Assisting Community Expansion

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	-14,339	1,583,791	4,306,839	0
Revenue/transfers	10,288,929	12,253,000	8,700,000	7,000,000
Total available	10,274,590	13,836,791	13,006,839	7,000,000
Expenditures	8,690,799	9,529,952	13,006,839	7,000,000
Ending Balance	1,583,791	4,306,839	0	0

The purpose of the PACE (Partnership in Assisting Community Expansion) Fund is to assist North Dakota communities in expanding their economic base by providing for local jobs development. The program is available to all cities and counties throughout North Dakota for business projects involved in manufacturing, processing, value-added processes and targeted service industries. These loans are made by a lead financial institution in participation with Bank of North Dakota.

In compliance with North Dakota Century Code 17-03-01, Biodiesel PACE was created to provide interest buydown to biodiesel production facilities located in North Dakota involved in production of diesel fuel containing at least five percent biodiesel.

In August of 2006, the PACE Program was expanded to include the Flex Pace program. Flex Pace was designed to provide interest buydown to non-Pace qualifying businesses where the Community determines eligibility and accountability standards. Flex Pace targets essential community businesses without the job creation requirement.

Effective August 1, 2007, the Biofuel PACE was created to buy down the interest rate on loans to biodiesel and ethanol production facilities, to grain handling facilities, and to livestock operations.

These PACE funds provide for economic development in North Dakota.

Continuing Appropriation

Date: 01/04/2011

471 Bank of North Dakota

Time: 14:04:41

Version: 2011-R03-00471

Project: 3 Beginning Farmer Revolving Loan Fund

Version 2011R0300471 Number 3

Description Beginning Farmer Revolving Loan Fund

Statutory Authority 6-09.8

Special Fund number and name 973 Beginning Farmer Revolving Loan Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	10,137,959	4,835,305	800,000	0
Revenue/transfers	2,536,000	5,618,000	5,740,000	1,000,000
Total available	12,673,959	10,453,305	6,540,000	1,000,000
Expenditures	7,838,654	9,653,305	6,540,000	1,000,000
Ending Balance	4,835,305	800,000	0	0

The Bank administers the beginning farmer revolving loan fund established by North Dakota Century Code 6-09-15.5. The Beginning Farmer Revolving Loan Fund was established to make direct loans or to buy-down the interest rate on loans to beginning farmers for the first purchase of farm real estate or chattels.

This 2011-2013 biennium is the first time we have requested general fund monies since the 2003-2005 biennium when \$950,000 was requested. This biennium we are asking for \$1,000,000 in general fund money.

Continuing Appropriation**Date:** 01/04/2011

471 Bank of North Dakota

Time: 14:04:41

Version: 2011-R03-00471

Project: 4 College SAVE

Version 2011R0300471 Number 4

Description College SAVE

Statutory Authority 6-09-38

Special Fund number and name 940 College Save Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	975,085	1,373,757	1,383,757
Revenue/transfers	1,075,018	746,267	560,000	600,000
Total available	1,075,018	1,721,352	1,933,757	1,983,757
Expenditures	99,933	347,595	550,000	600,000
Ending Balance	975,085	1,373,757	1,383,757	1,383,757

College SAVE was established in September of 2000 to encourage the investment of funds to be used for qualified higher education expenses at eligible educational institutions, as authorized under North Dakota Century Code 6-09-38.

The continuing appropriation relates to the administrative fees received by the bank for administering the Plan. The administrative fees may be used to cover expenses incurred in connection with operation of the plan or for other programs deemed to promote attendance at an institution of higher learning. To date, the administrative fees have been used to reimburse administrative expenses incurred by BND, to provide matching funds for newly established College Save accounts, and for the Dollars for Scholars Program which promotes higher education.

This continuing appropriation should be continued to provide for the reimbursement of administrative expenses to BND and to promote higher education.

PERFORMANCE NARRATIVE**00473** ND Housing Finance Agency**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

NDHFA is audited annually by an independent auditing firm. This past year, as has been the case in prior years, the Agency received a clean, unqualified financial audit opinion and further there were no findings stated in the audit report.

Moody's Investors Service analyzes the NDHFA bond issue debt outstanding and has issued an Aa1 rating for the Agency's 1994 General Bond Resolution, which at the present time has \$803,840,000 in bond debt outstanding. Moody's Investors Service analyzes the NDHFA bond issue debt outstanding and has issued an Aa3 rating for the Agency's 2009 General Bond Resolution, which at the present time has \$257,000,000 in bond debt outstanding. Aa1 is the second highest letter grade rating assigned by Moody's and is considered a very strong financial rating by the national financial markets.

Moody's also has assigned an A2 issuer rating to the NDHFA which is an Agency general debt rating.

Program Performance Measures**Program:** ND Housing Finance Agency**Reporting level:** 00-473-101-00-00-00-00000000

HUD performs routine management reviews of the NDHFA Property Management Division as it pertains to the administration of HUD rental assistance contracts. The NDHFA has received "Superior" ratings in these reviews, the highest rating given by HUD.

The Homeownership Division is audited by HUD FHA with regard to single family insurance claims, on-site servicing procedures review and FHA tier-ranking system on loan loss mitigation.

The Department of Commerce, Division of Community Services, audits the Agency's compliance with regard to our administration of the HOME Program funds.

NDHFA is also examined by various entities for the compliance and administration of other programs at random, non-scheduled intervals.

SPECIAL FUND REPORT
00473 ND Housing Finance Agency
Version: 2011R0300473

Housing Finance Agency-Fees

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Budgeted Special Fund Rev	17,239,409	13,841,126
Total Revenue and Net Transfers	17,239,409	13,841,126
Estimated Expenditures By Line:		
Salaries and Wages	6,061,828	6,516,277
Operating Expenses	8,677,581	5,114,849
Grants	2,400,000	2,110,000
HFA Contingency	100,000	100,000
Total Estimated Expenditures	17,239,409	13,841,126
Ending Balance	0	0

PERFORMANCE NARRATIVE

00475 ND Mill and Elevator Association

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The North Dakota Mill will:

- Purchase and mill 23,000,000 bushels per year of North Dakota grown spring and durum wheat.
- Realize profits in order to maintain the facilities in top operating condition.
- Return a portion of the profits to the state general fund.

Program Performance Measures

Program: Flour Mill and Grain Terminal Operations	Reporting level: 00-475-100-00-00-00-00000000
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The North Dakota Mill:

- (1) seeks to be the leader in quality and service for the milling industry
- (2) manufactures flour to bakery specifications from hard red spring wheat
- (3) manufactures durum flour and semolina to pasta manufacturer's specifications from hard amber durum
- (4) seeks to develop new, value added products to enhance the growth and profitability of the North Dakota Mill

SPECIAL FUND REPORT

00475 ND Mill and Elevator Association

Version: 2011R0300475

Mill and Elevator Fund

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Mill Profits	<u>40,057,242</u>	<u>46,764,115</u>
Total Revenue and Net Transfers	40,057,242	46,764,115
Estimated Expenditures By Line:		
Salaries and Wages	22,599,324	26,311,616
Operating Expenses	16,982,918	19,879,805
Agriculture Promotion	150,000	150,000
Contingency	<u>325,000</u>	<u>400,000</u>
Total Estimated Expenditures	<u>40,057,242</u>	<u>46,741,421</u>
Ending Balance	<u><u>0</u></u>	<u><u>22,694</u></u>

PERFORMANCE NARRATIVE

00485 Workforce Safety and Insurance

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

WSI maintains numerous measurements which are monitored by WSI's management and Board of Directors. Some of the global performance indicators are:

- Injured Worker Customer Satisfaction
- Employer Customer Satisfaction
- Claim Incident Rates - new measure for future use
- Fiscal Year Combined Ratio—(Premiums set to cover anticipated losses and expenses)
- Available Surplus Ratio

Performance Measures	FY 07	FY 08	FY 09	FY 10
Injured Worker Customer Satisfaction	4.28	4.25	4.34	4.16
Employer Customer Satisfaction	4.05	4.07	4.13	4.11
Claim Incident Rates - future measure				
Fiscal Year Combined Ratio—(Premiums set to cover anticipated losses and expenses)	112.01%	95.35%	102.31%	103.00%
Available Surplus Ratio	466,835,352	385,991,539	265,552,937	320,000,000

Program Performance Measures

Program: Executive and Other Services	Reporting level: 00-485-100-00-00-00-00000000
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The following statistics are measured and monitored by WSI's management and Board of Directors:

Restricted surplus, based on 2005 and 2009 legislation.

Funding ratio, based on 2005 and 2009 legislation.

Total claims filed.

Litigation cases filed.

Maximum and minimum weekly wage-loss benefits.

Program: Administrative Services	Reporting level: 00-485-250-00-00-00-00000000
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PERFORMANCE NARRATIVE**00485** Workforce Safety and Insurance**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

The following statistics are measured and monitored by WSI's management and Board of Directors:

Fund surplus, with 5% discount on liabilities

Invested assets

Investment returns

General and Administrative Expenses

Unallocated Loss Adjustment Expense (ULAE)

WSI employee turnover rate

Program: Injury Services	Reporting level: 00-485-300-00-00-00-00000000
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The following statistics are measured and monitored by WSI's management and Board of Directors:

Claims pending over 31 days.

Claims pending over 60 days.

Claims accepted/denied within 7 days.

Claims accepted/denied within 14 days.

Claims accepted/denied within 21 days.

Claims accepted/denied within 31 days.

Callers' average time on hold.

% of TL decisions made within 14 days from complete date.

% of TL decisions made within 31 days from complete date.

% of indemnity payments made within 14 days from acceptance date.

PERFORMANCE NARRATIVE**00485** Workforce Safety and Insurance**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

% of indemnity payments made within 31 days from acceptance date.

3 point contact completed within 24 hours of claim receipt.

Program: Employer Services**Reporting level:** 00-485-400-00-00-00-00-00000000

The following statistics are measured and monitored by WSI's management and Board of Directors:

- Covered workforce
- Employer accounts
- Collection / Interest / Penalty information
- Loss Control users
- Premium audit data
- STEP Grant data
- HELP Grant data
- Active Loss Prevention accounts

SPECIAL FUND REPORT

00485 Workforce Safety and Insurance

Version: 2011R0300485

Workmens Compensation Fund 213

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Tsfr Fm Workers Comp-Special R	<u>56,877,605</u>	<u>58,413,293</u>
Total Revenue and Net Transfers	56,877,605	58,413,293
Estimated Expenditures By Line:		
Workforce Safety Operations	<u>56,877,605</u>	<u>58,413,293</u>
Total Estimated Expenditures	<u>56,877,605</u>	<u>58,413,293</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 1 Building Construction

Version 2011R0300485 Number 1

Description Building Construction

Statutory Authority NDCC 65-02-31

Special Fund number and name 213 Workforce Safety & Insurance Fund

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		0
Revenue/transfers	0		0		0		0
Total available	0		0		0		0
Expenditures	0		0		0		0
Ending Balance	0		0		0		0

Building Construction -The 1999 Legislative Assembly authorized the purchase or construction of an office building. The 2001 Legislative Assembly amended the authorization to include office space for tenants. The office building was substantially complete in June 2003, with minor finishing work concluding in September 2003. The project was completed on time and within budget. Including the land acquisition of \$901,974, the total capitalized cost is \$11,882,030. No further expenditures will be made as this authority has now expired.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 10 Safety Programs

Version 2011R0300485 Number 10

Description Safety Programs

Statutory Authority NDCC 65-03-04

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	69,164	14,234,409	15,000,000	15,000,000
Total available	69,164	14,234,409	15,000,000	15,000,000
Expenditures	69,164	14,234,409	15,000,000	15,000,000
Ending Balance	0	0	0	0

The 2005 Legislative Assembly authorized a continuing appropriation for promoting safety through education, training, consultation, grants and other incentives. WSI's loss prevention employees and their related administrative expenses are not included as part of this continuing appropriation; thus the expenditures include only those items that are a direct benefit to WSI's customers and North Dakota's workforce.

In June 2005, WSI's board of directors earmarked \$35 million for multi-year safety grants, incentives, and education. WSI is developing a number of new safety initiatives

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 11 Litigation Collection Expense

Version 2011R0300485 Number 11

Description Litigation Collection Expense

Statutory Authority NDCC 65-02-06.2

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		0
Revenue/transfers	0		0		150,000		150,000
Total available	0		0		150,000		150,000
Expenditures	0		0		150,000		150,000
Ending Balance	0		0		0		0

The 2009 Legislature granted Workforce Safety & Insurance continuing appropriation authority to pay for expenses associated iwth litigation employer and medical provider related issues under North Dakota Century Code 65-02-06.2. These costs are often difficult to budget due to varying situations that arise.

Continuing Appropriation

485 Workforce Safety and Insurance

Version: 2011-R03-00485

Project: 12 Building Operations

Date: 01/04/2011

Time: 14:05:19

Version 2011R0300485 Number 12

Description Building Operations

Statutory Authority NDCC 65-02-05-01

Special Fund number and name 213 ND Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	174,762	120,754	23,870	104,035
Revenue/transfers	1,257,319	1,450,246	1,420,165	1,475,000
Total available	1,432,081	1,571,000	1,444,035	1,579,035
Expenditures	1,311,327	1,547,130	1,340,000	1,450,000
Ending Balance	120,754	23,870	104,035	129,035

Workforce Safety & Insurance leases space in its office building, Century Center, to six other state agencies. All lease terms commenced on July 1, 2007 and will expire on June 30, 2009. Rental rates increased to \$13.50 per square foot (3.8%) for the 2007-2009 biennium. WSI did not have an increase for their office space on July 1, 2007. After reviewing the status of the building fund, it was determined to increase WSI's contribution by \$2500 per month effective July 1, 2008. The lease rates for other tenants will be increased in the 2011-2013 biennium.

>

> Workforce Safety & Insurance manages the day-to-day operations and maintenance of the building, such as utilities, janitorial service and grounds keeping. The largest operating expense of the building is the "payment in lieu of property tax" which is between \$210-250,000 per year. This payment is made in accordance with North Dakota Century Code 65-02-31.

>

Continuing Appropriation

485 Workforce Safety and Insurance

Version: 2011-R03-00485

Project: 13 Information Fund

Date: 01/04/2011

Time: 14:05:19

Version 2011R0300485 Number 13

Description Information Fund

Statutory Authority 65-01-13

Special Fund number and name 213 ND Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	8,485	22,057	25,000	40,000
Total available	8,485	22,057	25,000	40,000
Expenditures	8,485	22,057	25,000	40,000
Ending Balance	0	0	0	0

Pursuant to North Dakota Century Code 65-01-13, the information fund was established to recapture some of the costs of providing publications and statistical information to private citizens, businesses, associations, corporations and limited liability companies. Direct costs of operating the information fund are expensed as incurred, such as publication printing costs and file storage and file retrieval fees. Indirect costs, such as employee wages, are not specifically allocated to this fund. Fees collected for publications and other information requests are deposited into this fund.

North Dakota Century Code 65-01-13 states that "if on the first day of July in any year the amount of money in the information fund is more than ten thousand dollars, the amount in excess of ten thousand dollars must be transferred to the organization's general fund." Since a separate fund was not established by OMB, all activity is being recorded directly in WSI's administrative fund 213. WSI has transferred the equivalent amount of funding to offset the income generated under this authority.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 2 Collection Agency Fees

Version 2011R0300485 Number 2

Description Collection Agency Fees

Statutory Authority OMB Policy 212

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	500
Revenue/transfers	385	298	500	0
Total available	385	298	500	500
Expenditures	385	298	0	0
Ending Balance	0	0	500	500

WSI maintains an internal collections unit to manage its premium receivable. From time to time, after all collection efforts have been exhausted, account balances are written off for non-payment. A few of these account balances are then turned over to external collection agencies. This continuation appropriation is addressed in OMB Fiscal and Administrative Policy 212. The dollars reported are the fees paid to collection agencies for amounts recovered.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 3 Allocated Loss Adjustment Expense

Version 2011R0300485 Number 3

Description Allocated Loss Adjustment Expense

Statutory Authority NDCC 65-02-06.1

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	6,832,752	7,230,538	7,500,000	7,775,000
Total available	6,832,752	7,230,538	7,500,000	7,775,000
Expenditures	6,832,752	7,230,538	7,500,000	7,775,000
Ending Balance	0	0	0	0

WSI's allocated loss adjustment expenses are charged directly to specific claims and authorized as a continuing appropriation, just like indemnity and medical benefits for injured workers. These expenses include legal fees, and cost containment expenses such as rehabilitation, return to work case management and injured worker fraud investigations.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 4 Insurance Fraud

Version 2011R0300485 Number 4

Description Insurance Fraud

Statutory Authority NDCC 65-02-23

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	9,561	28,460	200,000	200,000
Total available	9,561	28,460	200,000	200,000
Expenditures	9,561	28,460	200,000	200,000
Ending Balance	0	0	0	0

Insurance Fraud

Workforce Safety & Insurance established a special investigations unit (SIU) in 1995. SIU works to investigate and prevent insurance fraud by employers, medical providers and injured workers. North Dakota Century Code 65-02-23 authorizes a continuing appropriation for "costs associated with identifying, preventing and investigating employer and provider fraud." Injured worker fraud expenses are charged directly to the claim as allocated loss adjustment expenses.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 5 Educational Revolving Loan Fund

Version 2011R0300485 Number 5

Description Educational Revolving Loan Fund

Statutory Authority NDCC 65-05.1-08

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	14,351	5,032	100,000	150,000
Total available	14,351	5,032	100,000	150,000
Expenditures	14,351	5,032	100,000	150,000
Ending Balance	0	0	0	0

The 2005 Legislative Assembly established a revolving loan fund to provide low-interest loans to individuals that have suffered compensable work injuries. The loans must be used to pursue an education at an accredited institution of higher education or an institution of technical education. The loan program is administered by the Bank of North Dakota.

In June 2005, WSI's board of directors earmarked \$15 million for the educational revolving loan fund. WSI began marketing the loan program in August 2005.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 6 Other States Coverage

Version 2011R0300485 Number 6

Description Other States Coverage

Statutory Authority NDCC 65-02-13.1

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	681,299	675,100	725,000	600,000
Total available	681,299	675,100	725,000	600,000
Expenditures	681,299	675,100	725,000	600,000
Ending Balance	0	0	0	0

WSI is the sole provider of workers' compensation coverage in North Dakota and insures employers for work related injuries. However, not being a licensed insurer in other jurisdictions, a North Dakota employer that operates outside of the State may be at risk for claims filed in another jurisdiction. As a solution, in September 2004, WSI contracted with the Accident Fund of America to provide "temporary and incidental" coverage for it's North Dakota employers who operate outside the state on an incidental basis. The charge for such coverage was a flat fee of \$600 which was collected from participating policyholders and passed directly to the insurance provider. Effective July 1, 2010, the program was expanded to include all North Dakota policyholders and the \$600 flat fee charge was removed.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 7 Performance Evaluation

Version 2011R0300485 Number 7

Description Performance Evaluation

Statutory Authority NDCC 65-02-30

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	149,220	196,920	251,500	250,000
Total available	149,220	196,920	251,500	250,000
Expenditures	149,220	196,920	251,500	250,000
Ending Balance	0	0	0	0

North Dakota Century Code 65-02-30 requires that every two years, the State Auditor's Office engage an independent insurance expert to conduct an in-depth evaluation of the effectiveness and efficiency of WSI functions and operations.

Continuing Appropriation

Date: 01/04/2011

485 Workforce Safety and Insurance

Time: 14:05:19

Version: 2011-R03-00485

Project: 8 Preferred Worker Program

Version 2011R0300485 Number 8

Description Preferred Worker Program

Statutory Authority NDCC 65-05-36

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	89,854	160,982	275,000	275,000
Total available	89,854	160,982	275,000	275,000
Expenditures	89,854	160,982	275,000	275,000
Ending Balance	0	0	0	0

WSI established a program for injured workers who, while employable, are unable to return to the employer at the time of their injury. The preferred worker program offers benefits to North Dakota employers for hiring people under this program. For the first three years the employer is given an exemption from paying workers' compensation premium on the employee and is not responsible for any claims costs resulting from a subsequent work-related injury to that worker. This continuing appropriation funds any employment-related expenses such as equipment purchases and work-site modifications for the preferred worker.

Starting January 2005, WSI also began offering a wage reimbursement incentive for up to 50 percent of salaries and wages (not to exceed the statewide average weekly wage) paid to preferred workers for the first 180 days of employment. Additional benefit enhancements were added to the Preferred Worker Program by the 2009 legislature.

Continuing Appropriation

485 Workforce Safety and Insurance

Version: 2011-R03-00485

Project: 9 Reinsurance

Date: 01/04/2011

Time: 14:05:19

Version 2011R0300485 Number 9

Description Reinsurance

Statutory Authority NDCC 65-02-13.1

Special Fund number and name 213 Workforce Safety & Insurance

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	0	0	2,209,200	3,000,000
Total available	0	0	2,209,200	3,000,000
Expenditures	0	0	2,209,200	3,000,000
Ending Balance	0	0	0	0

WSI has "excess of loss" reinsurance protection for losses occurring between December 1, 1999 and November 30, 2002. In 2002, global influences such as the 9-11 attacks hardened the market and pushed the price of reinsurance to an inefficient level. As a result, WSI withdrew from the reinsurance market until recently. In 2009, WSI issued a RFP to determine if reinsurance was again practical. Working with an intermediary, Guy Carpenter, WSI re-entered the reinsurance market with catastrophic coverage effective January 1, 2010, offering protection for losses occurring during the 2010 calendar year that exceed \$5 and \$10 million dollars. The current reinsurance coverage is effective for one year. Terms, limits, and pricing are reevaluated annually.

PERFORMANCE NARRATIVE**00504** Highway Patrol**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Outcome Measures:

- Reduce the motor vehicle crash fatality rate per 100 million vehicle miles traveled (VMT) from 1.76 in 2009 to 1.37 in 2012.
- To reduce the number of fatalities involving a driver/operator with a BAC of 0.08 and above from 50 in 2009 to 24 in 2012.
- To reduce the number of serious injuries in traffic crashes from 458 in 2009 to 429 in 2012.
- To increase the percentage of buckled occupants in North Dakota from 81.5% in 2009 to 82.6% in 2012.

Program Performance Measures**Program:** Administration**Reporting level:** 00-504-100-00-00-00-00000000

Efficiency and Effectiveness Measures:

Number of agency audit recommendations per fiscal year: Target of zero

Hold the percentage of Administration state funds expenses to less than 9% of total department state funds expenses

Program: Field Operations**Reporting level:** 00-504-300-00-00-00-00000000

Statistics are compiled for the following performance measures:

Output Measures:

Hours of Road Patrol - 316,000

Highway Assists - 15,000

Crashes Investigated - 8,000

Efficiency and Effectiveness Measure:

Target: Road Patrol Hours per FTE per Month - 110.00

PERFORMANCE NARRATIVE**00504** Highway Patrol**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Law Enforcement Training Academy**Reporting level:** 00-504-400-00-00-00-00-00000000

Outcome Measure:

To increase the percentage utilization of the total classroom-days of training available at the LETA.

Output Measure Target:

Total LETA classroom-days of training per fiscal year: 640

Effectiveness Measure:

Budget percentage utilization of classroom-days of training per fiscal year: Target of 66.7%.

Continuing Appropriation

Date: 01/04/2011

504 Highway Patrol

Time: 14:06:01

Version: 2011-R03-00504

Project: 1 Asset Forfeiture Fund

Version 2011R0300504 Number 1

Description Asset Forfeiture Fund

Statutory Authority 39-03-18

Special Fund number and name 356 Highway Patrol Asset Forfeiture Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	0	0
Revenue/transfers	0	0	10,000	10,000
Total available	0	0	10,000	10,000
Expenditures	0	0	10,000	0
Ending Balance	0	0	0	10,000

39-03-18. Highway patrol - Assets forfeiture fund - Purpose - Continuing appropriation.

There is created a fund to be known as the highway patrol assets forfeiture fund.

The fund consists of funds obtained from moneys, assets, and proceeds seized and forfeited pursuant to section 19-03.1-36, amounts received through court proceedings as restitution, and amounts remaining from the forfeiture of property after the payment of expenses for forfeiture and sale authorized by law. The total amount of deposits into the fund may not exceed three hundred thousand dollars within a biennium and any moneys in excess of that amount must be deposited in the general fund. The funds are appropriated as a continuing appropriation to the highway patrol for the following purposes:

1. For paying expenses necessary to inventory, safeguard, maintain, advertise, or sell property seized, detained, or forfeited, pursuant to section 19-03.1-36, or of any other necessary expenses incident to the seizure, detention, or forfeiture of the property.

2. For paying overtime compensation incurred as a result of investigations or violations of any state criminal law or law relating to the control of drug abuse.
3. For purchasing equipment related to criminal interdiction.
4. For paying matching funds required as a condition for receipt of funds from a federal government program awarding monetary grants or assistance for the investigation or apprehension of persons violating the provisions of chapter 19-03.1.

The superintendent of the highway patrol, with the concurrence of the director of the office of management and budget, shall establish the necessary accounting procedures for the use of the fund and shall personally approve, in writing, all requests for the use of the fund.

Page No.

PERFORMANCE NARRATIVE

00530 Dept of Corrections and Rehabilitation

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The Department of Corrections & Rehabilitation is utilizing performance-based standards developed specifically for adult and juvenile correctional agencies and institutions. It also is utilizing outcome based supervision standards in its supervision of offenders on parole or probation in the community.

The Division of Juvenile Services - Youth Correctional Center (YCC) has been a pilot institution for the implementation of Performance Based Standards developed for juvenile correctional institutions by the Juvenile Correctional Administrators Association. These standards measure the quality of life and environment for juveniles in 7 different areas:

1. Programming
2. Justice
3. Safety
4. Order
5. Security
6. Health/mental health
7. Reintegration

The Division of Juvenile Services - YCC was one of the pilot institutions for testing the Performance Based Standards and has been utilizing them since 1998. The Division of Juvenile Services also utilizes an audit process to assure that policies and procedures are followed in the case management of juveniles. Regional supervisors audit individual juvenile files in each regional office on a yearly basis. As a part of the audit, parents, school personnel and community providers working with the juvenile are asked for their input on how the juvenile's case was managed.

The Division of Adult Services is utilizing performance based measures recently developed by the Association of State Correctional Administrators (ASCA). Following are the four performance based standards and the key indicators/measures developed for each of the four standards that have been developed to date by the ASCA:

Public Safety

- prisoner on prisoner assault rates
- prisoner on staff assault rates
- prisoner sexual assaults on prisoners
- prisoner sexual assaults on staff
- sexual misconduct of staff on prisoners
- homicide rate
- suicide rate
- number and percentage of random drug tests that are positive
- disturbances

Substance Abuse and Mental Health

- offense type
- demographics – sex, age, race and ethnicity
- average sentence length
- average time served

PERFORMANCE NARRATIVE**00530** Dept of Corrections and Rehabilitation**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

The management information system developed by ASCA to collect and manage the performance based measures data from each state is presently being piloted in six states. However, the Division of Adult Services has used the majority of the same key indicators for performance and collected the same performance data for several years.

The Division of Adult Services also uses outcome-based standards in its policies for the supervision of offenders on parole and probation. Some of the key standards include:

1. Use of the Level of Service Inventory – Revised (LSI-R) risk and needs assessment tool to identify the risk and needs of offenders.
2. Supervision plan is written for each offender based on the risk and needs of the offender as determined by the LSI-R.
3. Level of contact with the offender is determined by the offender's level of risk.
4. Specialized risk assessment tools, in addition to the LSI-R, are used for sex offenders and their supervision plans are developed based on these tools.

Program Performance Measures**Program:** Juvenile Services Administration**Reporting level:** 01-530-200-10-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Juvenile Community**Reporting level:** 01-530-200-20-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Youth Correctional Center - YCC**Reporting level:** 01-530-200-30-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Central Office - Juvenile**Reporting level:** 01-530-200-40-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Adult Services Administration**Reporting level:** 01-530-500-10-00-00-00-00000000

PERFORMANCE NARRATIVE**00530** Dept of Corrections and Rehabilitation**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Performance measurement data is reported at the agency level.

Program: Parole and Probation	Reporting level: 01-530-500-20-00-00-00-00000000
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Performance measurement data is provided at the agency level.

Program: Transitional Planning	Reporting level: 01-530-500-30-00-00-00-00000000
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Performance measurement data is reported at the agency level.

Program: Maximum Security Inst - NDSP	Reporting level: 01-530-500-40-00-00-00-00000000
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Performance measurement data is provided at the agency level.

Program: Medium Security Inst - JRCC	Reporting level: 01-530-500-50-00-00-00-00000000
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Performance measurement data is reported at the agency level.

Program: Transitional Facilities	Reporting level: 01-530-500-60-00-00-00-00000000
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Performance measurement data is reported at the agency level.

Program: Adult Services Treatment	Reporting level: 01-530-500-70-00-00-00-00000000
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Performance measurement data is reported at the agency level.

PERFORMANCE NARRATIVE**00530** Dept of Corrections and Rehabilitation**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Industries and Education**Reporting level:** 01-530-500-80-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Women Services**Reporting level:** 01-530-500-90-00-00-00-00000000

Performance measurement data is reported at the agency level.

Program: Central Office - Adult**Reporting level:** 01-530-500-95-00-00-00-00000000

Performance measurement data is reported at the agency level.

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Comm Service Fund

	2009 - 2011	2011 - 2013
Beginning Balance	10,565	0
Revenue and Net Transfers:		
Fines-Forfeitures-Esheat	<u>51,935</u>	<u>0</u>
Total Revenue and Net Transfers	51,935	0
Estimated Expenditures By Line:		
Adult Services	<u>62,500</u>	<u>0</u>
Total Estimated Expenditures	<u>62,500</u>	<u>0</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Crime Victims Gift Fund - 372

	2009 - 2011	2011 - 2013
Beginning Balance	108,959	83,399
Revenue and Net Transfers:		
Other Misc Rev	82,440	80,000
Total Revenue and Net Transfers	82,440	80,000
Estimated Expenditures By Line:		
Adult Services	108,000	80,000
Total Estimated Expenditures	108,000	80,000
Ending Balance	83,399	83,399

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Dept of Corrections Oper - 379

	2009 - 2011	2011 - 2013
Beginning Balance	2,323,007	1,696,838
Revenue and Net Transfers:		
Revenue From Counties	776,747	387,093
Correctional Fees	2,504,310	2,324,081
Reimbursement From Other State	961,426	1,227,802
Tsfr Fm Common Schools	438,000	528,000
Interfund Transfer	0	575,255
Miscellaneous General Revenue	107,769	100,000
Rev From Other Political	0	400,422
Total Revenue and Net Transfers	4,788,252	5,542,653
Estimated Expenditures By Line:		
Adult Services	4,876,095	5,145,013
Juvenile Services	1,251,515	1,685,845
Unexpended Appropriations	(713,189)	0
Total Estimated Expenditures	5,414,421	6,830,858
Ending Balance	1,696,838	408,633

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Pen.- Land Replacement - 366

	2009 - 2011	2011 - 2013
Beginning Balance	42,397,386	-375,355
Revenue and Net Transfers:		
Mineral Lease Royalties	249,833	131,471
Interest Income	373,951	243,884
Total Revenue and Net Transfers	623,784	375,355
Estimated Expenditures By Line:		
Adult Services	44,534,196	0
Unexpended Appropriations	(1,137,671)	0
Total Estimated Expenditures	43,396,525	0
Ending Balance	(375,355)	0

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Penitentiary Industries - 365

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Transfer Out	0	(575,255)
Misc Sales-Concessions	1,728,972	3,500,000
Sale Of Mfg Products	10,504,396	12,500,000
Total Revenue and Net Transfers	12,233,368	15,424,745
Estimated Expenditures By Line:		
Adult Services	13,813,063	15,170,824
Unexpended Appropriations	(1,579,695)	0
Total Estimated Expenditures	12,233,368	15,170,824
Ending Balance	0	253,921

SPECIAL FUND REPORT

00530 Dept of Corrections and Rehabilitation

Version: 2011R0300530

Probation Violation Transp - 321

	2009 - 2011	2011 - 2013
Beginning Balance	337,213	374,635
Revenue and Net Transfers:		
Correctional Fees	<u>109,778</u>	<u>105,000</u>
Total Revenue and Net Transfers	109,778	105,000
Estimated Expenditures By Line:		
Adult Services	175,000	175,000
Unexpended Appropriations	<u>(102,644)</u>	<u>0</u>
Total Estimated Expenditures	<u>72,356</u>	<u>175,000</u>
Ending Balance	<u><u>374,635</u></u>	<u><u>304,635</u></u>

PERFORMANCE NARRATIVE**00540** Office of the Adjutant General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

National Guard:

Goal 1: Win the Fight

- 1.1 Attain joint end strength of 4,430 (1080 ANG & 3350 ARNG) I by Oct 2011.
- 1.2 Develop and implement a statewide Automation and Communication System to enhance asset visibiliy, tracking and communication prior to and during Emergency Operations and training NLT SEP 2010.
- 1.3 Achieve Full Operational Capacity for the 219th ANG Security Forces Squadron at Minot NLT Oct 2010.
- 1.4 Achieve Full Operational Capacity (C3) for the 141 MEB NLT Sep 2011.
- 1.5 Develop and Implement a plan to modernize individual Soldier equipment sets NLT Oct 2010.
- 1.6 Improve organizational communications processes (Strategic Communications) NLT Dec 2010

Goal 2: Take Care of People

- 2.1 Develop and implement a Diversity and Equal Opportunity needs assessment and action plan for the NDNG NLT Aug 2010.
- 2.2 Identify and address the needs of service members, their families and employers before, during and after deployment NLT Sep 2010.
- 2.3 Develop a robust joint youth program that promotes youth development NLT Sep 2010.

Goal 3: Meet Tomorrow's Challenges

- 3.1 Acquire and complete the transition to the C-27J NLT Oct 2013.
- 3.2 Become a Center of Gravity for all things Remotely Piloted Aircraft (RPA) NLT Oct 2011.
- 3.3 Develop Master Plan for Army and Air training capabilities within ND NLT Sep 2010.
- 3.4 Provide facilities in Fargo to accommodate elements of the JFHQ Operations Center, ANG, and ARNG NLT Oct 2016.
- 3.5 Develop and implement policies and procedures for flight of RPA's in National Air Space (NAS) NLT Oct 2010.
- 3.6 Develop innovative, relevant state legislative initiatives NLT Aug 2010.
- 3.7 Maintain / procure relevant force structure including C2 headquarters that's consistent with a sustainable endstrength and forecasted mission requirements by Sep 2011.
- 3.8 Upgrade, modernize and expand RPA mission in North Dakota to ensure relevance and viability of the 119th mission NLT Oct 2013.

Department of Emergency Services:

Goal 1. Improve integrated response across the state by implementing supporting programs, policy and support services.

- 1.1 Develop, plan, vet and implement a regional capability through a four region construct for response to a chemical, biological, radiological, nuclear, or explosive event by 2013.
- 1.2 Working through the State Interoperability Executive Committee and in cooperation with radio system owners, develop and implement uniform statewide communication procedures inclusive of the Frequency Management Plan by 2011.
- 1.3 Complete the rewrite of the State Emergency Operations Plan by 2012.
- 1.4 Develop and publish appropriate educational material to educate the public on emergency response to improve personal preparedness by 2013.
- 1.5 Develop a statewide mutual aid system that facilitates dispatch of required responded capabilities quickly and seamlessly by 2011.
- 1.6 Develop incentives and programs for joint emergency program efforts by 2012.

Goal 2. Advance professional and technical skills of employees and acquire the necessary operational equipment to ensure the Department is capable of supporting multiple complex emergency events.

PERFORMANCE NARRATIVE**00540** Office of the Adjutant General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

- 2.1 Develop two statewide Incident Management Teams with an initial operating capability by 2011 and target operating capability by 2013.
- 2.2 Organize and train an emergency management reserve corps to support state Emergency Operations Center (EOC) and local EOC's during response and recovery efforts for large complex events by 2012.
- 2.3 Develop a mobile joint information center to assist local officials during large or complex emergency response events by 2011.
- 2.4 Develop a cross team training program that creates depth among the staff by 2012.

Goal 3. Continue to enhance relationships with the Department's stakeholders and customer groups with primary focus on educating and building partnerships that lead to collaboration.

- 3.1 Engage every opportunity to speak to and work with stakeholder and customer groups to build stronger emergency response programs by 2010.

Program Performance Measures

Program: Tuition and Enlistment Compensation	Reporting level: 00-540-100-10-00-00-00000000
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Performance is not measured at the program level. Performance is measured at the National Guard overall level.

Program: NG Operations	Reporting level: 00-540-100-20-00-00-00000000
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Performance is not measured at the program level. Performance is measured at the National Guard overall level.

Program: Air-Army Guard Contracts	Reporting level: 00-540-100-30-00-00-00000000
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Performance is not measured at the program level. Performance is measured at the National Guard overall level.

PERFORMANCE NARRATIVE**00540** Office of the Adjutant General**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** DES Administration**Reporting level:** 00-540-200-11-00-00-00-00000000

Performance is measured by the success of the divisions served (Homeland Security and State Radio).

Program: Homeland Security**Reporting level:** 00-540-200-12-00-00-00-00000000

Performance measures for Homeland Security are include in "goals and objectives".

Program: State Radio**Reporting level:** 00-540-200-13-00-00-00-00000000

Performance measures for State Radio are include in "goals and objectives".

SPECIAL FUND REPORT

00540 Office of the Adjutant General

Version: 2011R0300540

Disaster Relief Fund 352

	2009 - 2011	2011 - 2013
Beginning Balance	623,216	24,914,212
Revenue and Net Transfers:		
Transfers In	37,000,000	0
Cash/Investment Earnings	250,000	250,000
General Government	<u>2,336</u>	<u>0</u>
Total Revenue and Net Transfers	37,252,336	250,000
Estimated Expenditures By Line:		
Salaries and Wages	448,098	525,776
Operating Expenses	1,447,270	1,447,270
Grants	11,982,554	2,500,000
2009 Flood Disaster	23,000,000	3,369,258
National Guard Disaster Response	745,294	0
Unexpended Appropriations	<u>(24,661,876)</u>	<u>0</u>
Total Estimated Expenditures	<u>12,961,340</u>	<u>7,842,304</u>
Ending Balance	<u><u>24,914,212</u></u>	<u><u>17,321,908</u></u>

SPECIAL FUND REPORT
00540 Office of the Adjutant General
Version: 2011R0300540

Emergency Management Fund 375

	2009 - 2011	2011 - 2013
Beginning Balance	72,438	72,438
Revenue and Net Transfers:		
Tsfr Fm Bank Of Nd	<u>10,800,107</u>	<u>5,025,581</u>
Total Revenue and Net Transfers	10,800,107	5,025,581
Estimated Expenditures By Line:		
Salaries and Wages	277,842	0
Operating Expenses	211,000	211,000
Grants	<u>10,311,265</u>	<u>4,814,581</u>
Total Estimated Expenditures	<u>10,800,107</u>	<u>5,025,581</u>
Ending Balance	<u><u>72,438</u></u>	<u><u>72,438</u></u>

SPECIAL FUND REPORT

00540 Office of the Adjutant General

Version: 2011R0300540

National Guard Fund 383

	2009 - 2011	2011 - 2013
Beginning Balance	17,036	17,036
Revenue and Net Transfers:		
General Government	<u>472,427</u>	<u>475,206</u>
Total Revenue and Net Transfers	472,427	475,206
Estimated Expenditures By Line:		
Salaries and Wages	447,427	466,647
Operating Expenses	<u>25,000</u>	<u>25,000</u>
Total Estimated Expenditures	<u>472,427</u>	<u>491,647</u>
Ending Balance	<u><u>17,036</u></u>	<u><u>595</u></u>

SPECIAL FUND REPORT

00540 Office of the Adjutant General

Version: 2011R0300540

Radio Communications Fund 373

	2009 - 2011	2011 - 2013
Beginning Balance	389,722	285,361
Revenue and Net Transfers:		
Total Revenue and Net Transfers	1,240,000	1,148,692
Estimated Expenditures By Line:		
Salaries and Wages	423,001	489,848
Operating Expenses	921,360	921,360
Total Estimated Expenditures	1,344,361	1,411,208
Ending Balance	285,361	22,845

SPECIAL FUND REPORT

00540 Office of the Adjutant General

Version: 2011R0300540

State Hazardous Chemical Fund 378

	2009 - 2011	2011 - 2013
Beginning Balance	85,301	78,472
Revenue and Net Transfers:		
Business	<u>340,000</u>	<u>350,000</u>
Total Revenue and Net Transfers	340,000	350,000
Estimated Expenditures By Line:		
Salaries and Wages	159,093	161,379
Operating Expenses	12,000	12,000
Grants	<u>175,736</u>	<u>175,736</u>
Total Estimated Expenditures	<u>346,829</u>	<u>349,115</u>
Ending Balance	<u><u>78,472</u></u>	<u><u>79,357</u></u>

SPECIAL FUND REPORT

00540 Office of the Adjutant General

Version: 2011R0300540

Veterans Cemetery Fund 433

	2009 - 2011	2011 - 2013
Beginning Balance	48,083	48,083
Revenue and Net Transfers:		
Contributions And Private Gran	<u>187,482</u>	<u>240,251</u>
Total Revenue and Net Transfers	187,482	280,502
Estimated Expenditures By Line:		
ND Veterans Cemetary	<u>187,482</u>	<u>248,700</u>
Total Estimated Expenditures	<u>187,482</u>	<u>248,700</u>
Ending Balance	<u><u>48,083</u></u>	<u><u>79,885</u></u>

Continuing Appropriation

Date: 01/04/2011

540 Office of the Adjutant General

Time: 14:06:21

Version: 2011-R03-00540

Project: 1 National Guard Emergency Operations

Version 2011R0300540 Number 1

Description National Guard Emergency Operations

Statutory Authority 37-01-04.1

Special Fund number and name 214 National Guard Emergency Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	70,933	2,742	45,759	0
Revenue/transfers	226,242	18,521,265	996,088	100,000
Total available	297,175	18,524,007	1,041,847	100,000
Expenditures	294,433	18,478,248	1,041,847	100,000
Ending Balance	2,742	45,759	0	0

The National Guard Emergency Fund (214) provides funding for the National Guard to respond to state emergencies. The existence of this fund makes it possible to respond quickly and effectively to state disasters and emergencies when called upon by the Governor.

Continuing Appropriation

Date: 01/04/2011

540 Office of the Adjutant General

Time: 14:06:21

Version: 2011-R03-00540

Project: 2 National Guard Military Grounds and Improvement

Version 2011R0300540 Number 2

Description National Guard Military Grounds and Improvement

Statutory Authority 37-03-13

Special Fund number and name 385 National Guard Military Grounds Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	373,335	623,155	888,083	268,083
Revenue/transfers	277,444	309,001	230,000	230,000
Total available	650,779	932,156	1,118,083	498,083
Expenditures	27,624	44,073	850,000	400,000
Ending Balance	623,155	888,083	268,083	98,083

The National Guard Military Grounds Fund (385) is a fund that was set up for the purpose of purchasing and improving military training grounds. The purpose of this fund is that rental revenues may be deposited for the future purchase and/or improvement of military training grounds. The North Dakota National Guard does not receive appropriated general funds or federal funds to purchase training grounds. This fund provides a means for expansion and improvement of critical training areas.

Continuing Appropriation

Date: 01/04/2011

540 Office of the Adjutant General

Time: 14:06:21

Version: 2011-R03-00540

Project: 3 North Dakota Veterans Cemetery

Version 2011R0300540 Number 3

Description North Dakota Veterans Cemetery

Statutory Authority 37-03-14

Special Fund number and name 433 Veterans Cemetery Maintenance Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	84,108	97,826	5,840	5,840
Revenue/transfers	3,212,762	198,062	310,000	310,000
Total available	3,296,870	295,888	315,840	315,840
Expenditures	3,199,044	290,048	310,000	310,000
Ending Balance	97,826	5,840	5,840	5,840

The Veterans Cemetery Maintenance Fund (433) is used to support the operations of the North Dakota Veterans Cemetery. The normal operations of the Cemetery are paid through this fund from federal and private burial fees as well as license plate fees. However, in 2005 we received a federal grant from the Veterans Administration in the amount of \$3.1 million to be used solely for the construction and expansion of the Cemetery and it's facilities. This project was completed in January 2007.

Continuing Appropriation

Date: 01/04/2011

540 Office of the Adjutant General

Time: 14:06:21

Version: 2011-R03-00540

Project: 4 Veterans Cemetery Trust Fund

Version 2011R0300540 Number 4

Description Veterans Cemetery Trust Fund

Statutory Authority 39-04-10.10

Special Fund number and name 491 Veterans Cemetery Trust Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	86,005	122,304	149,469	169,469
Revenue/transfers	41,299	37,165	30,000	30,000
Total available	127,304	159,469	179,469	199,469
Expenditures	5,000	10,000	10,000	10,000
Ending Balance	122,304	149,469	169,469	189,469

The Veterans Cemetery Trust Fund (491) was established by the 1997 Legislature to benefit the ND Veterans Cemetery. The State Treasurer is responsible for the administrative oversight (investments) of this fund. The Office of Adjutant General has the authority only to use interest from this fund for the support of the Cemetery. The funding is derived through the sale of commemorative license plates and private donations.

PERFORMANCE NARRATIVE

00601 Department of Commerce

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

NORTH DAKOTA ECONOMIC DEVELOPMENT PERFORMANCE MEASURES

2002 2003 2004 2005 2006 2007 2008 2009

	2002	2003	2004	2005	2006	2007	2008	2009
Share of local development organizations participating in Annual Marketing & Communications Survey							40%	
Share of local development organizations participating in Commerce sponsored marketing, tourism, & community development activities							83%	
Academic R&D Expenditures as a percent of Gross State Product	0.50%	0.60%	0.70%	0.60%	0.60%	0.60%	0.60%	
Industry R&D Expenditures as a percent of Gross State Product	0.80%	1.00%	1.70%	0.40%	0.50%	0.40%		
Net Job Growth	150	2,800	5,250	6,850	7,500	6,200	8,600	-1,000
New Private Sector Businesses	98	365	625	639	429	-324	395	-53

PERFORMANCE NARRATIVE

00601 Department of Commerce

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Average Annual Wage	\$26,550	\$27,629	\$28,987	\$29,955	\$31,316	\$33,086	\$35,075	\$35,970
Per Capita Personal Income	\$27,364	\$29,755	\$30,334	\$32,346	\$33,585	\$36,678	\$39,874	\$39,530
Net Migration	-3,797	-1,962	1,964	-2,861	-1,088	-1,570	-203	1,896
Population Change	-2,650	-808	3,494	-938	1,406	1,431	3,219	5,423
Advertising Equivalency of National/ Out of State Media Exposures							\$8.7 M	\$7.3 M
Number of Leads Generated by Commerce		99		96		55	46	
Net Job Growth in Manufacturing	-350	-150	1,050	1,300	100	100	300	-2,800
Net Job Growth in Business Services	1,150	800	650	1,150	1,100	900	1,200	-500
Net Job Growth in Leisure and Hospitality	450	100	800	450	400	1,300	700	500
Net Job Growth in Healthcare Services	650	950	550	700	900	900	1,100	1,100
New Private Sector Businesses in Manufacturing	-9	10	26	18	11	-25	-6	-7

PERFORMANCE NARRATIVE

00601 Department of Commerce

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

New Private Sector Businesses in Business Services	74	78	175	141	103	-21	171	40
Number of Utility Patents per 100,000	11.4	8.6	8.3	11.5	10.3	12.8	9.8	12.8
Gross Domestic Product by State (Annual Growth Rate)	7.30%	9.00%	4.90%	6.80%	4.60%	12.30%	9.40%	
Merchandise Export Value (per capita - based on 2000 census = 642,200)	\$1,340	\$1,327	\$1,578	\$1,856	\$2,366	\$3,187	\$4,317	\$3,391

Program Performance Measures

Program: Commerce Administration

Reporting level: 00-601-200-00-00-00-00000000

Not applicable.

Program: Innovation and Strategic Initiatives

Reporting level: 00-601-250-00-00-00-00000000

Measurements associated with the Centers of Excellence program include the number of jobs created and wages associated with these jobs; amount of leveraged funds; and new companies starting-up, spinning-off, or relocating to North Dakota.

A short term metric for the Innovate North Dakota program is the number of ideas submitted. Since Innovate North Dakota is dealing with start-up businesses and ideas, a longer-term metric is the number of businesses launched that are still in business five years after participating in Innovate North Dakota.

PERFORMANCE NARRATIVE

00601 Department of Commerce

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

The Technology-based Entrepreneurship Grant program will be measured by the entrepreneurial activity resulting from the program. This includes the number of new businesses assisted, successful product launches, and the number of resulting jobs.

Program: North Dakota Tourism**Reporting level:** 00-601-300-00-00-00-00000000**Leisure Marketing**

Publications: Travel guide, hunting and fishing guide, map, culture and heritage guide

Paid advertising: \$2 million per year invested in integrated campaign across numerous media: TV, Print, Newspaper inserts, online, out-of-home, radio

Cooperative advertising opportunities for industry partners

Website: constant improvement of NDTourism.com

E-news – 16 niche categories

Results: Increased ROI 1:123, increased visitor stats, new inquiries and web activity; improved functions and mobile compatibility on web site. Better tools on the website

Public and Media Relations

Media releases, pitches and partnerships

Media Familiarization Tour (Fam)

Freelance writer's workshops

On-line: Blogs, Flickr, YouTube, Twitter, Facebook

Results: engagement on social media, more earned media measured by advertising equivalency

Customer Service and Sales

Travel counseling - via phone and on-line

PERFORMANCE NARRATIVE**00601** Department of Commerce**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Brochure racks in rest areas

Fulfill requests of state materials and for partners

Itinerary and route development

Hospitality training program provided

Results: increase in activity and quantities of materials demanded

International Marketing

Cooperative sales with Rocky Mountain International (regional sales agent) states

Travel Trade shows, missions, media events

Visit USA Committees

Fam Tours

Targets: Norway, Sweden, Denmark, Finland, Iceland, Germany, Australia

Results: ND product for sale in catalogs, advertising equivalency of media coverage. Increased visitation

Group Travel Sales

Trade show and marketplace sales

Fam tours

Itinerary development

Advertising and earned media

Results: Groups booked in ND, media coverage in group publications

Outdoor Promotions

PERFORMANCE NARRATIVE**00601** Department of Commerce**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Sport shows and niche promotions

Niche advertising

Outdoor Fam tours

Results: Media coverage, partner participation in events, increased bookings and license sales

Tourism Development

Project facilitation

Outreach to economic and business developers

Grants

Rural, recreation, education and agri tourism emphasis

Results: new tourism businesses or expanded offerings

Industry Development

Tourism Conference - annual training event

Partner Access & Promotion - allows partners free listings and marketing

Hospitality Training - offered on-line or DVD based for easy access

Monthly newsletter - provides stats, tips and opportunities

Listsers created - format for attractions, events and accommodations

Regional workshops – customized for each region with hands-on learning

Results: a vibrant, engaged industry that cross-sells and is tied into state's efforts

PERFORMANCE NARRATIVE

00601 Department of Commerce

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: ND Workforce Development**Reporting level:** 00-601-400-00-00-00-00000000

GOAL 1 : Keep North Dakota businesses competitive by supporting efforts to provide an available and skilled workforce.

Strategy 1 : Identify critical industry sectors and assist in the coordination of workforce intelligence studies to accurately identify labor availability, workforce shortage occupations, emerging shortage occupations, requisite skills, and emerging competencies.

Tactic 1: During the 2011-2013 Biennium support industry sector workforce needs assessment studies in the targeted industries included in the Economic Development Foundation Strategic Plan.

Tactic 2: During the 2011-2013 Biennium continue to coordinate and provide matching funds to communities to help conduct Standardized Community Labor Availability Studies.

Strategy 2 : Train, retrain and upgrade the workforce to succeed in high skilled, high wage jobs.

Tactic 1: Work with the Governor's Office and the North Dakota State legislature to obtain support for adequate levels of funding for workforce development and workforce training programs.

Tactic 2: Work with the Governor's Office and the North Dakota State legislature to develop new programs that address the delivery and accessibility of career ladder training to low skill and underemployed to prepare them for high skill, high demand opportunities.

GOAL 2: Encourage those not currently in North Dakota's workforce to enter or re-enter the labor market.

Strategy 1: Coordinate efforts to help businesses learn how to attract and employ persons with disabilities, seniors, immigrants, students, homemakers, welfare recipients, minorities and military retirees.

Tactic 1: Will prioritize the use of Workforce Investment Act Title I funds to help special population groups transition into the workforce.

GOAL 3: Foster and expand the civic engagement and volunteerism on the part of North Dakota citizens.

Strategy 1: Support the expansion of AmeriCorps Projects and AmeriCorps Member enrollment as a way to address the gaps and service needs of communities.

Tactic 1: Conduct community and town hall meetings across the state to orientate communities on AmeriCorps programs and to identify community service needs for input into a Unified State Plan on Community Service.

PERFORMANCE NARRATIVE**00601** Department of Commerce**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Tactic 2: Help organizations in the development of applications for National Competitive AmeriCorps and Learn and Serve funding.

GOAL 4: Focus efforts on creating responses to help call attention to and respond to the increasing drop out issues in secondary and postsecondary education.

Tactic 1: Expand Jobs for America's Graduates pilots in North Dakota to serve at-risk students in the secondary and postsecondary education system.

Tactic 2: Work with the Governor's Office and State legislature to secure adequate "core" funding to support maintenance and expansion of JAG in North Dakota/

Program: Economic Development and Finance	Reporting level: 00-601-500-00-00-00-00000000
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ED&F's performance is primarily measured through benchmarks established through the Department of Commerce – ED Foundation Strategic Plan and through goals established amongst the ED&F Division staff. Measurement most applicable to ED&F's efforts include:

Local economic development organizations participating in state marketing strategy

Net job growth in ND

New private sector businesses in ND

Average annual wage growth in ND

Per capita personal income growth in ND

Net migration into ND

Increase in population in ND

Net job growth in manufacturing businesses in ND

Net job growth in business services businesses in ND

New private sector businesses in manufacturing sector in ND

New private sector businesses in business services sector in ND

Increase in gross domestic product in ND

PERFORMANCE NARRATIVE**00601** Department of Commerce**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Division of Community Services**Reporting level:** 00-601-600-00-00-00-00000000

- 1) Timely distribution of community development program funds in accordance with Housing and Urban Development guidelines.
- 2) Timely distribution of energy program funds in accordance with the Department of Energy guidelines.
- 3) Timely distribution of self sufficiency program funds in accordance with Health and Human Services guidelines.
- 4) Increase the number of Renaissance Zones in the State.
- 5) Enhance the quality of site built, manufactured and modular structures in North Dakota through training and program implementation.

Program: Division of Energy**Reporting level:** 00-601-800-00-00-00-00000000

This is a new division within the Department of Commerce. Performance measure have not yet been developed.

SPECIAL FUND REPORT
00601 Department of Commerce
Version: 2011R0300601

Alcohol Motor Vehicle Fuel Fund 224

	2009 - 2011	2011 - 2013
Beginning Balance	351,910	113,626
Revenue and Net Transfers:		
Tsfr Fm Alcohol Fuel Tax Trust	250,000	738,284
Total Revenue and Net Transfers	250,000	738,284
Estimated Expenditures By Line:		
Agric. Products Util. Comm. (APUC)	988,284	738,284
Unexpended Appropriations	(500,000)	0
Total Estimated Expenditures	488,284	738,284
Ending Balance	113,626	113,626

SPECIAL FUND REPORT

00601 Department of Commerce

Version: 2011R0300601

Department of Tourism Fund 443

	2009 - 2011	2011 - 2013
Beginning Balance	152,407	89,407
Revenue and Net Transfers:		
General Government	<u>300,000</u>	<u>363,000</u>
Total Revenue and Net Transfers	300,000	363,000
Estimated Expenditures By Line:		
Operating Expenses	<u>363,000</u>	<u>363,000</u>
Total Estimated Expenditures	<u>363,000</u>	<u>363,000</u>
Ending Balance	<u><u>89,407</u></u>	<u><u>89,407</u></u>

SPECIAL FUND REPORT

00601 Department of Commerce

Version: 2011R0300601

Economic Dev. Fund 330

	2009 - 2011	2011 - 2013
Beginning Balance	119,027	59,122
Revenue and Net Transfers:		
Miscellaneous General Revenue	800,000	1,231,527
Total Revenue and Net Transfers	800,000	1,231,527
Estimated Expenditures By Line:		
Salaries and Wages	642,361	681,929
Operating Expenses	967,544	586,101
Unexpended Appropriations	(750,000)	0
Total Estimated Expenditures	859,905	1,268,030
Ending Balance	59,122	22,619

SPECIAL FUND REPORT

00601 Department of Commerce

Version: 2011R0300601

Ethanol Production Incentive 447

	2009 - 2011	2011 - 2013
Beginning Balance	1,936,828	1,936,828
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Ending Balance	<u><u>1,936,828</u></u>	<u><u>1,936,828</u></u>

SPECIAL FUND REPORT

00601 Department of Commerce

Version: 2011R0300601

Intergovernmental Assist. Fund 342

	2009 - 2011	2011 - 2013
Beginning Balance	7,174,768	4,071,199
Revenue and Net Transfers:		
Loan Related Revenues	<u>3,500,000</u>	<u>6,390,192</u>
Total Revenue and Net Transfers	3,500,000	6,390,192
Estimated Expenditures By Line:		
Salaries and Wages	4,380	127,026
Operating Expenses	150,189	45,995
Grants	6,199,000	6,224,000
Unexpended Appropriations	<u>250,000</u>	<u>0</u>
Total Estimated Expenditures	<u>6,603,569</u>	<u>6,397,021</u>
Ending Balance	<u><u>4,071,199</u></u>	<u><u>4,064,370</u></u>

SPECIAL FUND REPORT
00601 Department of Commerce
Version: 2011R0300601

Workforce Enhancement Fund

	2009 - 2011	2011 - 2013
Beginning Balance	947,909	947,909
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Ending Balance	<u>947,909</u>	<u>947,909</u>

Continuing Appropriation

601 Department of Commerce

Version: 2011-R03-00601

Project: 001 Ethanol Production Incentive

Date: 01/04/2011

Time: 14:06:34

Version 2011R0300601 Number 001

Description Ethanol Production Incentive

Statutory Authority NDCC 17-02-05

Special Fund number and name 447 Ethanol Production Incentive Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,473,693	1,997,755	7,946	0
Revenue/transfers	2,324,062	3,688,655	3,867,148	3,867,148
Total available	3,797,755	5,686,410	3,875,094	3,867,148
Expenditures	1,800,000	5,678,464	3,875,094	3,867,148
Ending Balance	1,997,755	7,946	0	0

The appropriation for the ethanol production incentive fund is required in order to continue to offer the associated ethanol production incentive program, which is designed to induce ethanol producers to locate their production facilities in North Dakota rather than some other location and to assist them in remaining viable businesses during adverse economic conditions. It is important this be a continuing appropriation in order to provide plant owners that are considering a facility in North Dakota with an indication that this is intended to be an ongoing program which they can include in their long term business plans.

Continuing Appropriation

Date: 01/04/2011

601 Department of Commerce

Time: 14:06:34

Version: 2011-R03-00601

Project: 002 Workforce Enhancement Fund

Version 2011R0300601 Number 002

Description Workforce Enhancement Fund

Statutory Authority NDCC 54-60-23

Special Fund number and name 296 Workforce Enhancement Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	0	0	947,908	188,596
Revenue/transfers	0	2,032,847	1,007,608	3,000
Total available	0	2,032,847	1,955,516	191,596
Expenditures	0	1,084,939	1,766,920	191,596
Ending Balance	0	947,908	188,596	0

The Workforce Enhancement Grants provides a mechanism for the institutions of higher education assigned primary responsibility for workforce training in North Dakota to apply for funding to help create or enhance training programs that address workforce needs of private sector employers in North Dakota. Emphasis is given to meeting the workforce needs of Target Industry employers and employers with high-skill and high wage job opportunities in North Dakota. Workforce Enhancement Grant funding may be used for curriculum development, equipment, recruitment of participants and training and certification of instructors. Funds may not be used to supplant funding for current operations. Workforce Enhancement Grant Projects require private sector participation and one dollar of matching funds for each dollar of state funds.

The continuing appropriation is required to meet the legislatures intent to provide a source of funding to two year colleges to respond to business and industry workforce training.

Continuing Appropriation

Date: 01/04/2011

601 Department of Commerce

Time: 14:06:34

Version: 2011-R03-00601

Project: 003 Community Development Loan Fund

Version 2011R0300601 Number 003

Description Community Development Loan Fund

Statutory Authority NDCC 54-44.5-05

Special Fund number and name 342 Community Services Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	3,922,807	4,232,602	4,995,630	4,395,630
Revenue/transfers	3,435,210	3,574,416	3,400,000	3,400,000
Total available	7,358,017	7,807,018	8,395,630	7,795,630
Expenditures	3,125,415	2,811,388	4,000,000	4,000,000
Ending Balance	4,232,602	4,995,630	4,395,630	3,795,630

The continuing appropriation was established to allow for the expenditure of Community Development Block Grant Revolving Loan Funds. These dollars can only be used to fund economic development projects in the State. If this appropriation is eliminated, the State would be required to return these dollars to the federal government. If this occurs, it would eliminate assisting new and existing businesses that create and/or retain jobs throughout the State.

Continuing Appropriation

Date: 01/04/2011

601 Department of Commerce

Time: 14:06:34

Version: 2011-R03-00601

Project: 004 Centers of Excellence

Version 2011R0300601 Number 004

Description Centers of Excellence

Statutory Authority NDCC 15-69-06

Special Fund number and name 365 Centers of Excellence Fund

	Actual 2005-2007		Actual 2007-2009		Estimated 2009-2011		Estimated 2011-2013
Beginning Balance	0		0		0		13,180,000
Revenue/transfers	0		0		31,180,000		40,000
Total available	0		0		31,180,000		13,220,000
Expenditures	0		0		18,000,000		10,220,000
Ending Balance	0		0		13,180,000		3,000,000

The Centers of Excellence program encourages collaboration between public universities and private businesses as a means of bringing new products and services to the marketplace which ultimately result in the creation of higher paying jobs for the citizens of North Dakota.

PERFORMANCE NARRATIVE

00602 Department of Agriculture

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

The agency performance measures are reflected within the performance measure section for each division.

Program Performance Measures**Program:** Administrative Services**Reporting level:** 00-602-100-00-00-00-00000000

Agriculture in the Classroom: Success is measured by the number of K-12 teachers and students receiving training and education regarding the interrelationship of agriculture, the environment and people.

Agricultural Mediation Service: The achievement measure of mediation is the rate of agreements which avoid formal administrative appeals, foreclosures and bankruptcies, or provide assistance to successfully secure financing for beginning farmers.

Fiscal Management: The achievement measure is the successful oversight of developing and tracking the agency's budget, processing of payroll, paying of bills, and depositing of revenue.

Information Technology: The achievement measure is to ensure the department's information technology plan meets the data tracking and communication needs for all department programs.

Research and Information: The achievement measure is to conduct research and develop information to keep the agriculture and the general public adequately informed regarding issues facing agriculture.

Program: Plant Industries**Reporting level:** 00-602-300-00-00-00-00000000

Noxious Weeds: Success is measured by the number of weed boards and landowners participating in the cost share programs and reductions in weed infestations.

Apiary: Success is measured by the number of bee colonies registered and the number of inspections conducted at the request of the beekeepers for migratory movement. It is also measured by licenses, health certificate and permits issued.

Plant Protection: Success is measured by the number of phytosanitary (export) certificates, in-transit (transit through Canada to U.S. destinations) certificates, cereal leaf beetle (supports wheat and barley shipments to California) certificates, and corn borer (supports corn shipments to west coast) agreements issued. Success is also measured by the number of nursery growers and dealers licensed and inspected and the number of pest surveys conducted.

Program: Livestock Development**Reporting level:** 00-602-400-00-00-00-00000000

PERFORMANCE NARRATIVE**00602** Department of Agriculture**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Wildlife Services . Performance measures for Wildlife Services include reduction in the amount of economic damage caused by wildlife, reduction of wildlife hazards at airports, removal of beaver dams responsible for flooding of roads and cropland, distribution of a number of informational leaflets to the public, the amount of wildlife damage abatement equipment loaned to landowners and homeowners, and the removal of specific wildlife responsible for property damage, economic loss, and threats to human health. There is no single cost-benefit ratio for Wildlife Services activities in North Dakota because each circumstance is variable, including species involved, the extent and cost of the damage, and the cost of the tools selected to solve the problem. However, studies on the cost-benefits of specific Wildlife Services activities at a national level have shown favorable ratios. Wildlife Services also provides indirect benefits to secondary entities, who were not the intended beneficiaries of its programs. Indirect benefits are difficult to quantify but nonetheless exist.

Dairy Division. The department measures its success through federal and state surveys taken at plants and farms and the high quality and high demand of products produced in North Dakota.

Livestock Division. The division measures its success in the fact there has been no major fiscal losses to state livestock producers in the last eight years.

Meat and Poultry Inspection Program. The program measures its success through the development and maintenance of fifteen official establishments, the continual improvement of custom exempt establishments, and the assurance from processors and producers that the program creates for them several benefits and opportunities. The success of additional programs, including beef grading and COOL, is measured through feedback from the federal agency and through feedback received from the industry that is subject to reviews or a recipient of services.

Program: Business Development**Reporting level:** 00-602-600-00-00-00-00-00000000

Business Development : The number of participating companies in events such as Pride of Dakota school lunch day, international trade directory, marketing seminars, North Dakota State Fair, and Pride of Dakota Directory. Success is also measured by sales resulting from projects such as holiday showcases, harvest showcase, ND State Fair, farmers markets statewide and other domestic and international trade shows and number of phyto-sanitary certificates issued.

Program: State Veterinarian**Reporting level:** 00-602-800-00-00-00-00-00000000

Performance is measured by preservation of North Dakota's disease free status with regards to program diseases such as tuberculosis, brucellosis, pseudorabies, and equine infectious anemia and the prevention of emerging and foreign animal diseases, and/or the ability to effectively respond to a potential emerging or foreign animal

PERFORMANCE NARRATIVE**00602** Department of Agriculture**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

disease diagnosed in North Dakota. The veterinarians that work for the Commissioner and for the Board of Animal Health are also responsible daily for dealing with more endemic diseases such as anthrax and helping to minimize the movement and dissemination of diseases through quarantines and movement controls.

The Board of Animal Health is charged in statute with the responsibility for protecting the health and welfare of the entire animal industry of North Dakota. The veterinarians of the Animal Health Division of the Department of Agriculture serve as the Board's designees. The animal industry is comprised of over 2.5 million head of livestock with a valuation of over \$1 billion; an industry that has annually averaged sales exceeding \$849 million dollars. The Animal Health Division monitors regulatory functions of the state's 200 accredited veterinarians. The state veterinarian coordinates and communicates with 49 other states' chief animal health officials and the USDA-APHIS-Veterinary Service.

Program: Pesticide, Feed and Fertilizer**Reporting level:** 00-602-900-00-00-00-00-00000000

Safe Send. Success is measured by pounds of old, unused pesticides collected and the number of farmers and homeowners participating in the program.

Pesticide Registration. Success is measured by the number of pesticides registered and the number of FIFRA Section 18 emergency exemptions granted for pesticide use on unregistered crops and the number of FIFRA Section 24(c) Special Local Needs uses granted for North Dakota. Success is also measured by the reduction of unregistered pesticides sold in North Dakota.

Pesticide Enforcement. Success is measured by rates of compliance and reduction of repeat violations to state and federal statutes and regulations related to pesticide use, storage, and security. Compliance is measured by the number of agricultural pesticide use, non-agricultural use, pesticide producer establishments, market place, pesticide applicator and restricted use pesticide dealer inspections conducted and found in compliance. Success is also measured by the number of formal complaints responded to and successfully resolved.

Feeds/Fertilizers/Livestock Medicine Registration . Success is measured by the number of pet foods, commercial feeds, fertilizers and livestock medicines registered and the number of feed manufacturers, feed dealers, and anhydrous ammonia dealers licensed. Success is also measured by the number of products sampled to ensure that composition matches label claims.

SPECIAL FUND REPORT

00602 Department of Agriculture

Version: 2011R0300602

Agriculture Department Fund 308

	2009 - 2011	2011 - 2013
Beginning Balance	207,307	98,816
Revenue and Net Transfers:		
Tsfr Fm Health & Consolidated	50,000	50,000
Miscellaneous General Revenue	<u>767,610</u>	<u>623,618</u>
Total Revenue and Net Transfers	817,610	673,618
Estimated Expenditures By Line:		
Salaries and Wages	104,629	119,287
Operating Expenses	598,709	560,900
Grants	170,600	30,000
Board of Animal Health	<u>52,163</u>	<u>54,163</u>
Total Estimated Expenditures	<u>926,101</u>	<u>764,350</u>
Ending Balance	<u><u>98,816</u></u>	<u><u>8,084</u></u>

SPECIAL FUND REPORT
00602 Department of Agriculture
Version: 2011R0300602

Anhyd Ammon Stor Insp Fund 264

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Transfer Out	(110,000)	(80,000)
Comm. Feed Reg. & Tonnage	<u>150,000</u>	<u>120,000</u>
Total Revenue and Net Transfers	40,000	40,000
Estimated Expenditures By Line:		
Salaries and Wages	30,000	0
Operating Expenses	<u>10,000</u>	<u>40,000</u>
Total Estimated Expenditures	<u>40,000</u>	<u>40,000</u>
Ending Balance	<u><u>0</u></u>	<u><u>0</u></u>

SPECIAL FUND REPORT

00602 Department of Agriculture

Version: 2011R0300602

Environment & Rangeland Protection

	2009 - 2011	2011 - 2013
Beginning Balance	1,358,407	1,145,620
Revenue and Net Transfers:		
Miscellaneous General Revenue	48,922	48,922
Pesticide Registration	3,885,000	3,885,000
Transfer Out	<u>(272,310)</u>	<u>(422,310)</u>
Total Revenue and Net Transfers	3,661,612	3,511,612
Estimated Expenditures By Line:		
Salaries and Wages	1,278,629	1,621,435
Operating Expenses	1,150,496	1,184,000
Capital Assets	0	17,000
Grants	1,395,274	1,385,274
Crop Harmonization Board	<u>50,000</u>	<u>50,000</u>
Total Estimated Expenditures	<u>3,874,399</u>	<u>4,257,709</u>
Ending Balance	<u><u>1,145,620</u></u>	<u><u>399,523</u></u>

SPECIAL FUND REPORT
00602 Department of Agriculture
Version: 2011R0300602

Game and Fish Funds

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Transfers In	<u>968,800</u>	<u>1,039,430</u>
Total Revenue and Net Transfers	968,800	1,039,430
Estimated Expenditures By Line:		
Board of Animal Health	200,000	199,461
Wildlife Services	<u>768,800</u>	<u>768,800</u>
Total Estimated Expenditures	<u>968,800</u>	<u>968,261</u>
Ending Balance	<u><u>0</u></u>	<u><u>71,169</u></u>

SPECIAL FUND REPORT
00602 Department of Agriculture
Version: 2011R0300602

State Waterbank Fund 236

	2009 - 2011	2011 - 2013
Beginning Balance	106,378	106,227
Revenue and Net Transfers:		
Waterbank Grants	<u>139,658</u>	<u>50,000</u>
Total Revenue and Net Transfers	139,658	50,000
Estimated Expenditures By Line:		
Salaries and Wages	2,433	2,006
Operating Expenses	<u>137,376</u>	<u>134,100</u>
Total Estimated Expenditures	<u>139,809</u>	<u>136,106</u>
Ending Balance	<u><u>106,227</u></u>	<u><u>20,121</u></u>

Continuing Appropriation

602 Department of Agriculture

Version: 2011-R03-00602

Project: 1 Honey Promotion Fund

Date: 01/04/2011

Time: 14:06:49

Version 2011R0300602 Number 1

Description Honey Promotion Fund

Statutory Authority 4.1-08-05

Special Fund number and name 223 Honey Promotion Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	12,994	7,916	21,448	26,448
Revenue/transfers	35,567	38,652	40,000	40,000
Total available	48,561	46,568	61,448	66,448
Expenditures	40,645	25,120	35,000	35,000
Ending Balance	7,916	21,448	26,448	31,448

The honey industry supports continuing this self-funded program.

Continuing Appropriation

Date: 01/04/2011

602 Department of Agriculture

Time: 14:06:49

Version: 2011-R03-00602

Project: 2 Turkey Fund

Version 2011R0300602 Number 2

Description Turkey Fund

Statutory Authority 4.1-12-08

Special Fund number and name 221 Turkey Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	11,632	35,063	29,355	19,355
Revenue/transfers	58,795	43,686	45,000	45,000
Total available	70,427	78,749	74,355	64,355
Expenditures	35,364	49,394	55,000	55,000
Ending Balance	35,063	29,355	19,355	9,355

The turkey industry supports continuing this self-funded program.

Continuing Appropriation

Date: 01/04/2011

602 Department of Agriculture

Time: 14:06:49

Version: 2011-R03-00602

Project: 3 Minor Use Pesticide Fund

Version 2011R0300602 Number 3

Description Minor Use Pesticide Fund

Statutory Authority 4-35-06.2; 4-35-06.3

Special Fund number and name 260 Minor Use Pesticide Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	138,902	169,640	240,730	0
Revenue/transfers	200,000	200,000	0	150,000
Total available	338,902	369,640	240,730	150,000
Expenditures	169,262	128,910	240,730	150,000
Ending Balance	169,640	240,730	0	0

The agriculture industry supports continuing this program funded by pesticide registration fees.

PERFORMANCE NARRATIVE**00616** State Seed Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Biennial audit.

Planned: Biennial satisfaction/needs survey of customers in all program service areas.

Acres applied for certification

Bushels/Lbs. of seed final certified upon lab analysis

*Both areas are jointly dependent on climactic, economic and industry factors and agency performance.

Annual AOSCA compliance audit

Ring/Referee tests: Validation tests performed cooperatively with accredited laboratories nationwide. NDSSD participates in referee tests in both Seed and Diagnostic labs, validating the expertise in each area.

Professional development: Accreditation of individual staff in Certified and/or Registered Seed Technologist categories (among other accreditation programs at national level). Professional and laboratory accreditations are granted through a process of proficiency testing and auditing.

USDA/other federal program accreditations: Testing laboratories are provided opportunity to comply with standards developed by the federal government to prove service quality (see above). Accredited laboratories are listed for use in certain federal testing schemes, often on issues of quarantined pests that affect entire industry segments (ex. Karnal Bunt, Potato Mop Top Virus).

Cwt. of seed and commercial potatoes provided shipping point (grade) inspections.

Lbs. of seed produced and sold through NDSSD Potato Seedstocks enterprise area.

*All areas are jointly dependent on climactic, economic and industry factors and agency performance

Number of seed samples procured and tested.

Number of seed samples found out of compliance with state and federal seed laws.

*This area also provides an indication of the success of Field Seed program certification efforts. Number of complaints related to seed performance and quality.

Program Performance Measures**Program:** Administration**Reporting level:** 00-616-100-00-00-00-00000000

Biennial audit. Planned: Biennial satisfaction/needs survey of customers in all program service areas

Program: Seed Regulatory Program**Reporting level:** 00-616-200-00-00-00-00000000

PERFORMANCE NARRATIVE**00616** State Seed Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Number of seed samples procured and tested.

Number of seed samples found out of compliance with state and federal seed laws.

This area also provides an indication of the success of Field Seed program certification efforts.

Number of complaints related to seed performance and quality.

Program: Potato Program	Reporting level: 00-616-310-00-00-00-00-00000000
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Acres applied for certification. Cwt. of seed and commercial potatoes provided shipping point (grade) inspections. Lbs. of seed produced and sold through NDSSD Potato Seedstocks enterprise area. Annual USDA/AMS performance audit for compliance relating to USDA-NDSSD Cooperative Agreement. *All areas are jointly dependent on climatic, economic and industry factors and agency performance.

Program: Field Seeds	Reporting level: 00-616-340-00-00-00-00-00000000
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Acres applied for certification

Bushels/Lbs. of seed final certified upon lab analysis

*Both areas are jointly dependent on climatic, economic and industry factors and agency performance.

Annual AOSCA compliance audit

Program: Lab Services	Reporting level: 00-616-350-00-00-00-00-00000000
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Ring/Referee tests: Validation tests performed cooperatively with accredited laboratories nationwide. NDSSD participates in referee tests in both Seed and Diagnostic labs, validating the expertise in each area.

Professional development: Accreditation of individual staff in Certified and/or Registered Seed Technologist categories (among other accreditation programs at national level). Professional and laboratory accreditations are granted through a process of proficiency testing and auditing.

USDA/other federal program accreditations: Testing laboratories are provided opportunity to comply with standards developed by the federal government to prove service

PERFORMANCE NARRATIVE**00616** State Seed Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

quality (see above). Accredited laboratories are listed for use in certain federal testing schemes, often on issues of quarantined pests that affect entire industry segments (ex. Karnal Bunt, Potato Mop Top Virus).

SPECIAL FUND REPORT

00616 State Seed Department

Version: 2011R0300616

Seed Department Fund 329

	2009 - 2011	2011 - 2013
Beginning Balance	4,195,318	2,779,452
Revenue and Net Transfers:		
Potato Seed Cert Fees	910,000	980,000
Inspection Fees-Not Class	612,000	620,000
Seed Certification Fees	700,000	725,000
Potato Seedstocks	420,000	425,000
Final Cert Fees - Field	1,260,000	1,280,000
Non Business-Commodity Assessm	200,000	200,000
Cash/Investment Earnings	60,000	60,000
Leases, Rents, and Royalties	11,440	11,440
Potato Deal. Lic. - Whole	14,400	14,400
Grain Inspection Fees	813,789	906,500
Potato Inspection Fees	410,000	415,000
Total Revenue and Net Transfers	5,411,629	5,637,340
Estimated Expenditures By Line:		
Seed Operations	6,827,495	6,894,011
Total Estimated Expenditures	6,827,495	6,894,011
Ending Balance	<u>2,779,452</u>	<u>1,522,781</u>

PERFORMANCE NARRATIVE**00627** Upper Great Plains Transportation Inst.**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Performance measures reporting are not currently used by the UGPTI.

Program Performance Measures**Program:** Core Program**Reporting level:** 00-627-100-00-00-00-00000000

The agency has not established a formal performance measure and results oriented reporting system at this time because over 95 percent of the budget is contract in nature. As a result of this, the terms of the contracts become the performance measures for the UGPTI.

SPECIAL FUND REPORT

00627 Upper Great Plains Transportation Inst.

Version: 2011R0300627

Transportation Institute Fund 352

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	<u>4,645,402</u>	<u>4,553,246</u>
Total Revenue and Net Transfers	4,645,402	4,553,246
Estimated Expenditures By Line:		
Transportation Institute	<u>4,645,402</u>	<u>4,484,820</u>
Total Estimated Expenditures	<u>4,645,402</u>	<u>4,484,820</u>
Ending Balance	<u><u>0</u></u>	<u><u>68,426</u></u>

PERFORMANCE NARRATIVE**00628** Branch Research Centers**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program Performance Measures**Program:** Dickinson Research Center**Reporting level:** 00-628-100-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program: Central Grasslands Research Center**Reporting level:** 00-628-200-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program: Hettinger Research Center**Reporting level:** 00-628-300-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

PERFORMANCE NARRATIVE**00628** Branch Research Centers**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Langdon Research Center**Reporting level:** 00-628-400-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program: North Central Research Center**Reporting level:** 00-628-500-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program: Williston Research Center**Reporting level:** 00-628-600-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program: Carrington Research Center**Reporting level:** 00-628-700-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Carrington Expr. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	996,977	996,977
Revenue and Net Transfers:		
Sale Of Agriculture Produ	2,500,000	2,500,000
Grant/Contrib--Non-Profit/Priv	<u>1,676,093</u>	<u>1,676,093</u>
Total Revenue and Net Transfers	4,176,093	4,176,093
Estimated Expenditures By Line:		
Carrington Research Center	<u>4,176,093</u>	<u>4,212,822</u>
Total Estimated Expenditures	<u>4,176,093</u>	<u>4,212,822</u>
Ending Balance	<u><u>996,977</u></u>	<u><u>960,248</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Central Grasslands Expr. Station

	2009 - 2011	2011 - 2013
Beginning Balance	43,381	43,381
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	374,174	374,174
Sale Of Agriculture Produ	<u>700,000</u>	<u>700,000</u>
Total Revenue and Net Transfers	1,074,174	1,074,174
Estimated Expenditures By Line:		
Central Grasslands Research Center	<u>1,074,174</u>	<u>1,076,058</u>
Total Estimated Expenditures	<u>1,074,174</u>	<u>1,076,058</u>
Ending Balance	<u><u>43,381</u></u>	<u><u>41,497</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Dickinson Exper. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	576,755	576,755
Revenue and Net Transfers:		
Mineral Royalties	1,200,000	1,200,000
Sale Of Agriculture Produ	500,000	700,000
Grant/Contrib--Non-Profit/Priv	1,308,809	1,308,809
Transfers In	<u>925,000</u>	<u>0</u>
Total Revenue and Net Transfers	3,933,809	3,208,809
Estimated Expenditures By Line:		
Dickinson Research Center	<u>3,933,809</u>	<u>3,229,803</u>
Total Estimated Expenditures	<u>3,933,809</u>	<u>3,229,803</u>
Ending Balance	<u><u>576,755</u></u>	<u><u>555,761</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Hettinger Expr. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	334,594	334,594
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	845,506	845,506
Sale Of Agriculture Produ	<u>800,000</u>	<u>850,000</u>
Total Revenue and Net Transfers	1,645,506	1,695,506
Estimated Expenditures By Line:		
Hettinger Research Center	<u>1,645,506</u>	<u>1,714,157</u>
Total Estimated Expenditures	<u>1,645,506</u>	<u>1,714,157</u>
Ending Balance	<u><u>334,594</u></u>	<u><u>315,943</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Langdon Expr. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	331,939	331,939
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	374,393	374,393
Sale Of Agriculture Produ	<u>500,000</u>	<u>600,000</u>
Total Revenue and Net Transfers	874,393	974,393
Estimated Expenditures By Line:		
Langdon Research Center	<u>874,393</u>	<u>983,243</u>
Total Estimated Expenditures	<u>874,393</u>	<u>983,243</u>
Ending Balance	<u><u>331,939</u></u>	<u><u>323,089</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

North Central Expr. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	669,709	669,709
Revenue and Net Transfers:		
Sale Of Agriculture Produ	1,200,000	1,300,000
Grant/Contrib--Non-Profit/Priv	<u>1,287,841</u>	<u>1,287,841</u>
Total Revenue and Net Transfers	2,487,841	2,587,841
Estimated Expenditures By Line:		
North Central Research Center	<u>2,487,841</u>	<u>2,609,566</u>
Total Estimated Expenditures	<u>2,487,841</u>	<u>2,609,566</u>
Ending Balance	<u><u>669,709</u></u>	<u><u>647,984</u></u>

SPECIAL FUND REPORT
00628 Branch Research Centers
Version: 2011R0300628

Williston Expr. Station Fund

	2009 - 2011	2011 - 2013
Beginning Balance	276,787	276,787
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	350,000	350,000
Sale Of Agriculture Produ	<u>650,000</u>	<u>700,000</u>
Total Revenue and Net Transfers	1,000,000	1,050,000
Estimated Expenditures By Line:		
Williston Research Center	<u>1,000,000</u>	<u>1,051,932</u>
Total Estimated Expenditures	<u>1,000,000</u>	<u>1,051,932</u>
Ending Balance	<u><u>276,787</u></u>	<u><u>274,855</u></u>

PERFORMANCE NARRATIVE**00630** NDSU Extension Service**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Per North Dakota Century Code 4-05.1-19, the State Board of Agricultural Research and Education (SBARE) presents a status report to the budget section of the Legislative Council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the Legislative Council office.

Program Performance Measures**Program:** COOP Extension Service**Reporting level:** 00-630-100-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Education (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

SPECIAL FUND REPORT

00630 NDSU Extension Service

Version: 2011R0300630

Extension Division Fund 357

	2009 - 2011	2011 - 2013
Beginning Balance	151,691	165,207
Revenue and Net Transfers:		
Revenue From Counties	4,000,000	4,000,000
Grant/Contrib--Non-Profit/Priv	<u>14,800,000</u>	<u>13,377,624</u>
Total Revenue and Net Transfers	18,800,000	17,377,624
Estimated Expenditures By Line:		
NDSU Extension Service	<u>18,786,484</u>	<u>16,395,081</u>
Total Estimated Expenditures	<u>18,786,484</u>	<u>16,395,081</u>
Ending Balance	<u><u>165,207</u></u>	<u><u>1,147,750</u></u>

PERFORMANCE NARRATIVE**00638** Northern Crops Institute**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

NCI provides status reports to the Northern Crops Council three times annually at Council meetings (per North Dakota Century Code Section 4-14.2-02 and 4-14.2-03). On June 29, 2010, presentations and written documentation on accomplishments during Fiscal Year 2010 and plans for the next fiscal year were provided to the Council. Copies are on file at the NCI office and with Council members. NCI provided verbal and written status reports to the South Dakota Appropriations Committees in January 2010. NCI also gives regular status reports on NCI accomplishments to other agencies that provide Special/Other funds.

At the June 29, 2010 Northern Crops Council (NCC) meeting, a long-range strategy session was conducted, providing input on the direction of NCI programs and activities. Again the NCC reaffirmed NCI's direction and mission for the future. A new strategic plan is being developed in FY2011. NCI's direction for the future is to provide programs that educate the world about the broad spectrum of crops produced in our region.

Program Performance Measures**Program:** Farm Product Development, Mktg. and Util**Reporting level:** 00-638-300-00-00-00-00000000

See Agency Major Accomplishments.

SPECIAL FUND REPORT

00638 Northern Crops Institute

Version: 2011R0300638

Northern Crops Institute Fund 243

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	1,598,265	1,800,185
Total Revenue and Net Transfers	1,598,265	1,800,185
Estimated Expenditures By Line:		
Northern Crops Institute	1,598,265	1,654,725
Total Estimated Expenditures	1,598,265	1,654,725
Ending Balance	0	145,460

PERFORMANCE NARRATIVE**00640** NDSU Main Research Center**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program Performance Measures**Program:** Agricultural Research**Reporting level:** 00-640-100-00-00-00-00000000

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Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

SPECIAL FUND REPORT
00640 NDSU Main Research Center
Version: 2011R0300640

Ag Research Fund 338

	2009 - 2011	2011 - 2013
Beginning Balance	595,485	595,485
Revenue and Net Transfers:		
Oil & Gas Production Tax	<u>2,000,000</u>	<u>2,000,000</u>
Total Revenue and Net Transfers	2,000,000	2,000,000
Estimated Expenditures By Line:		
Main Research Center	<u>2,000,000</u>	<u>2,000,000</u>
Total Estimated Expenditures	<u>2,000,000</u>	<u>2,000,000</u>
Ending Balance	<u><u>595,485</u></u>	<u><u>595,485</u></u>

SPECIAL FUND REPORT

00640 NDSU Main Research Center

Version: 2011R0300640

Main Experiment Station Fund 358

	2009 - 2011	2011 - 2013
Beginning Balance	3,314,335	1,244,965
Revenue and Net Transfers:		
Grant/Contrib--Non-Profit/Priv	29,840,918	29,412,651
Sale Of Agriculture Produ	8,500,000	9,000,000
Total Revenue and Net Transfers	38,340,918	38,412,651
Estimated Expenditures By Line:		
Main Research Center	40,410,288	36,627,159
Total Estimated Expenditures	40,410,288	36,627,159
Ending Balance	1,244,965	3,030,457

PERFORMANCE NARRATIVE**00649** Agronomy Seed Farm**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

Program Performance Measures**Program:** Agricultural Research**Reporting level:** 00-649-200-00-00-00-00-00000000

> > >

Per North Dakota Century Code 4-05.1-19 the State Board of Agricultural Research and Extension (SBARE) presents a status report to the budget section of the legislative council. SBARE's most recent presentation to the budget section was on March 11, 2010. The report they gave and provided in written form included the status of the North Dakota Agricultural Experiment Station and the NDSU Extension Service. A copy of the information is on file in the legislative council office.

SPECIAL FUND REPORT

00649 Agronomy Seed Farm

Version: 2011R0300649

Agronomy Seed Farm Fund 226

	2009 - 2011	2011 - 2013
Beginning Balance	1,037,974	1,037,974
Revenue and Net Transfers:		
Sale Of Agriculture Produ	1,275,238	1,419,881
Total Revenue and Net Transfers	1,275,238	1,419,881
Estimated Expenditures By Line:		
Agronomy Seed Farm	1,275,238	1,435,168
Total Estimated Expenditures	1,275,238	1,435,168
Ending Balance	1,037,974	1,022,687

PERFORMANCE NARRATIVE

00665 ND State Fair

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

See major accomplishments.

Program Performance Measures

Program: State Support

Reporting level: 00-665-100-00-00-00-00000000

See agency performance measures.

SPECIAL FUND REPORT

00665 ND State Fair

Version: 2011R0300665

State Fair Enterprise Fund 601

	2009 - 2011	2011 - 2013
Beginning Balance	2,035,576	1,835,576
Revenue and Net Transfers:		
Estimated Expenditures By Line:		
Capital Assets	3,000,000	0
Unexpended Appropriations	<u>(2,800,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>200,000</u>	<u>0</u>
Ending Balance	<u><u>1,835,576</u></u>	<u><u>1,835,576</u></u>

PERFORMANCE NARRATIVE

00670 ND Horse Racing Commission

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Agency Performance Measures

A formal performance measures system is not utilized by this agency.

Program Performance Measures

Program: Horse Racing Administration

Reporting level: 00-670-100-00-00-00-00000000

Performance measures and results reporting are not currently used by this agency.

SPECIAL FUND REPORT

00670 ND Horse Racing Commission

Version: 2011R0300670

Horse Racing Operating Funds 334

	2009 - 2011	2011 - 2013
Beginning Balance	53,268	17,000
Revenue and Net Transfers:		
Business	<u>68,732</u>	<u>113,000</u>
Total Revenue and Net Transfers	68,732	113,000
Estimated Expenditures By Line:		
Racing Commission	<u>105,000</u>	<u>130,000</u>
Total Estimated Expenditures	<u>105,000</u>	<u>130,000</u>
Ending Balance	<u><u>17,000</u></u>	<u><u>0</u></u>

Continuing Appropriation
670 ND Horse Racing Commission
Version: 2011-R03-00670
Project: 1 Breeders Fund

Date: 01/04/2011
Time: 14:21:39

Version 2011R0300670 **Number** 1
Description Breeders Fund
Statutory Authority 53-06.2-11
Special Fund number and name 278 Breeders Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,594,010	1,127,162	678,338	670,941
Revenue/transfers	202,628	173,403	191,956	371,875
Total available	1,796,638	1,300,565	870,294	1,042,816
Expenditures	669,476	622,227	199,353	209,321
Ending Balance	1,127,162	678,338	670,941	833,495

The Breeders' Fund is established in North Dakota Century Code Section 53-06.2-11 to financially reward owners and breeders of North Dakota bred horses. The ND Racing Commission maintains a registry of North Dakota bred horses. Because of the success of this fund, the registry has gone from zero to over 3,900 North Dakota horses. The monies awarded from this fund are the integral part in encouraging the agricultural community to increase the number of horses bred in North Dakota. This program has been so successful that the State of North Dakota ranks high in the United States in the growth of its' horse industry.

Continuing appropriations are required because it is very difficult to project future revenue and expenditure activity of the fund.

Continuing Appropriation
670 ND Horse Racing Commission
Version: 2011-R03-00670
Project: 2 Purse Fund

Date: 01/04/2011
Time: 14:21:39

Version 2011R0300670 **Number** 2
Description Purse Fund
Statutory Authority 53-06.2-11
Special Fund number and name 290 Purse Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,664,014	1,312,387	109,258	99,460
Revenue/transfers	207,385	141,587	236,174	460,675
Total available	1,871,399	1,453,974	345,432	560,135
Expenditures	559,012	1,344,716	245,972	470,135
Ending Balance	1,312,387	109,258	99,460	90,000

The Purse Fund, authorized in North Dakota Century Code Section 53-06.2-11, is administered by the Racing Commission and grants money to race tracks in the state, which then allows this money to be directly presented to the horsemen who own horses that run at only North Dakota race tracks. This fund is merely a pass-through fund where the money goes from the ND Racing Commission directly to the agricultural community of horsemen. This continuing appropriation has resulted in substantial economic development in the communities of Belcourt and Fargo. Horsemen from all over the Midwest have brought their horses to these meets to compete for the purses awarded from this fund.

Continuing appropriations are required, because it is very difficult to project future revenue and expenditure activity of the fund.

Continuing Appropriation
670 ND Horse Racing Commission
Version: 2011-R03-00670
Project: 3 Promotion Fund

Date: 01/04/2011
Time: 14:21:39

Version 2011R0300670 **Number** 3
Description Promotion Fund
Statutory Authority 53-06.2-11
Special Fund number and name 339 Promotion Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	1,052,502	560,280	269,780	165,000
Revenue/transfers	421,353	451,517	264,728	327,475
Total available	1,473,855	1,011,797	534,508	492,475
Expenditures	913,575	742,017	369,508	392,475
Ending Balance	560,280	269,780	165,000	100,000

The purpose of the Promotion Fund is to promote the sport of horse racing, however, a significant amount of funds were used in 2003 to build the first major racing facility in the state.

Continuing appropriations from this fund, authorized in North Dakota Century Code Section 53-06.2-11, allows the operation of race meets in Belcourt and Fargo, and assures the validity and growth of the horse industry in North Dakota. Current state law allows up to 25% of the fund to be used for administrative costs for the promotion and regulation of the racing industry.

Continuing appropriations are required because it is very difficult to project future revenue and expenditure activity of the fund.

PERFORMANCE NARRATIVE**00701** Historical Society**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

The society is committed to increasing services provided to the general public. This is demonstrated by improvements in the agencies web site and the large number of users accessing the site, increase in the number and amount of Cultural Heritage Grants provided to local historical agencies, improvements made to several historic sites, construction of Fort Abercrombie Interpretive Center, expansion of the Chateau Interpretive Center, and expansion of State Archives, and expansion of the North Dakota Heritage Center.

Program Performance Measures**Program:** Support Services**Reporting level:** 00-701-100-00-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

Program: Museum**Reporting level:** 00-701-200-00-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

Program: Communication and Education**Reporting level:** 00-701-300-00-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

PERFORMANCE NARRATIVE**00701** Historical Society**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** SA & HRL**Reporting level:** 00-701-400-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

Program: Historic Sites**Reporting level:** 00-701-500-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

Program: Historic Preservation Division**Reporting level:** 00-701-600-00-00-00-00000000

Program performance measures relate to activities enumerated in work plans based on the agency Strategic Long Range Plan, and include quantitative measures of services provided and time of response.

SPECIAL FUND REPORT

00701 Historical Society

Version: 2011R0300701

State Hist. Revolving Fund - 327

	2009 - 2011	2011 - 2013
Beginning Balance	90,374	0
Revenue and Net Transfers:		
Tsfr Fm Historical Impact Emer	15,205	0
Donations	12,000,000	0
Total Revenue and Net Transfers	12,015,205	0
Estimated Expenditures By Line:		
Capital Assets	58,333	0
Capital Construction Carryover	47,246	0
Heritage Center Addition	12,000,000	0
Total Estimated Expenditures	12,105,579	0
Ending Balance	0	0

Continuing Appropriation

701 Historical Society

Version: 2011-R03-00701

Project: 1 Archeological Permits

Date: 01/04/2011

Time: 14:22:26

Version 2011R0300701 Number 1

Description Archeological Permits

Statutory Authority NDCC 55-03-04

Special Fund number and name 327 State Historical Revolving Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	28,454	37,048	43,126	43,126
Revenue/transfers	44,650	45,910	45,000	45,000
Total available	73,104	82,958	88,126	88,126
Expenditures	36,056	39,832	45,000	45,000
Ending Balance	37,048	43,126	43,126	43,126

Continuing Appropriation

Date: 01/04/2011

701 Historical Society

Time: 14:22:26

Version: 2011-R03-00701

Project: 2 Historical Society Gifts and Bequests

Version 2011R0300701 Number 2

Description Historical Society Gifts and Bequests

Statutory Authority NDCC 55-01-04

Special Fund number and name 415 Historical Society Gifts and Bequests

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	124,939	169,667	176,693	136,693
Revenue/transfers	142,260	61,759	50,000	50,000
Total available	267,199	231,426	226,693	186,693
Expenditures	97,532	54,733	90,000	75,000
Ending Balance	169,667	176,693	136,693	111,693

Continuing Appropriation

Date: 01/04/2011

701 Historical Society

Time: 14:22:26

Version: 2011-R03-00701

Project: 3 Concession Fund

Version 2011R0300701 Number 3

Description Concession Fund

Statutory Authority NDCC 55-02-04

Special Fund number and name 603 Concession Fund

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	329,728	446,678	363,320	263,320
Revenue/transfers	976,680	1,077,000	1,000,000	1,000,000
Total available	1,306,408	1,523,678	1,363,320	1,263,320
Expenditures	859,730	1,160,358	1,100,000	1,100,000
Ending Balance	446,678	363,320	263,320	163,320

PERFORMANCE NARRATIVE**00709** Council on the Arts**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The performance measurements that will be used by the NDCA includes tracking the:

1. number of grant applications received in each grant cycle.
2. number of dollars each grant dollar generates as stated in grantee's final reports.
3. numbers benefitting from each grant program with a subset of a) children, b) artists.
4. number of grant dollars given by the NDCA.
5. final reports to determine any underserved areas of the state & any increase in counties served.
6. number of phone and e-mail inquiries by zip code responded to by NDCA staff with comparison to #5.
7. increases in number of newsletter subscriptions(both hard copy and e-news).

Program Performance Measures**Program:** Council on the Arts**Reporting level:** 00-709-100-00-00-00-00000000

Performance measures are the same for all program areas.

The performance measurements that will be used by the NDCA includes tracking the

- 1) number of grant applications received in each grant cycle.
- 2) through the grantee's final reports the number of dollars each grant dollar generates.
- 3) numbers benefitting from each grant program with a subset of a) children and b) artists.
- 4) number of grant dollars given by the NDCA.
- 5) final reports to determine any underserved areas of the state.
- 6) number of phone and e-mail inquiries by zip code responded to by NDCA staff and compare to results of measurement number 5.
- 7) number of individuals and businesses who access the on-line and hard copy newsletters.

SPECIAL FUND REPORT

00709 Council on the Arts

Version: 2011R0300709

Arts & Humanities Fund - 399

	2009 - 2011	2011 - 2013
Beginning Balance	99,245	50,730
Revenue and Net Transfers:		
Miscellaneous General Revenue	<u>15,000</u>	<u>50,000</u>
Total Revenue and Net Transfers	15,000	50,000
Estimated Expenditures By Line:		
Operating Expenses	43,515	43,515
Grants	<u>20,000</u>	<u>20,000</u>
Total Estimated Expenditures	<u>63,515</u>	<u>63,515</u>
Ending Balance	<u><u>50,730</u></u>	<u><u>37,215</u></u>

Continuing Appropriation

Date: 01/04/2011

709 Council on the Arts

Time: 14:22:42

Version: 2011-R03-00709

Project: 1 Cultural Endowment

Version 2011R0300709 Number 1

Description Cultural Endowment

Statutory Authority 54-54.08.2

Special Fund number and name 0 CE

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	193,083	274,568	204,223	253,223
Revenue/transfers	128,002	19,226	60,000	50,000
Total available	321,085	293,794	264,223	303,223
Expenditures	46,517	89,571	11,000	11,000
Ending Balance	274,568	204,223	253,223	292,223

This is a fund set up in 1979 by the state Legislature with the following purpose:

The endowment fund was established "to improve the intrinsic quality of the lives of the state's citizens now and in the future through programs approved by the council on the arts. Such programs must:

1. Increase cultural awareness by the state's citizens through programs in arts, crafts, theatre, ethnic and folk arts, literature, journalism, public media, historic preservation and interpretation, visual arts, and architecture.
2. Make the items named in subsection 1 more available to the state's citizens.
3. Encourage the development of talent in the areas named in subsection 1 within the state.
4. Preserve and increase understanding of North Dakota's heritage and future.

The Council continues toward these goals through grants, projects, traditional art apprenticeships, and partnerships with state and local agencies.

PERFORMANCE NARRATIVE**00720** Game and Fish Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

Only program level performance measures have been established. See the Division narratives for this information. The Department also has a strategic planning document that includes extensive information on this.

Program Performance Measures**Program:** Administrative Services**Reporting level:** 00-720-100-00-00-00-00000000

1. Successful completion of state and federal audits.
2. Maximum use of available federal funding for department programs.
3. Adequate office, shop and office facilities at all major headquarters.
4. Efficient, up to date computer systems for the agency.
5. Adequate secretarial and telephone support for the agency.
6. Efficiently issue hunting, fishing and boating licenses in North Dakota.

Program: Fisheries**Reporting level:** 00-720-200-00-00-00-00000000

The Fisheries Division planning objectives are listed below. These are reviewed periodically and compared to actual values based upon questionnaire results.

1. Lakes/Reservoirs - Angler-Days objective is 750,000, angler number is 75,000.
2. Devils Lake - Angler-Days objective is 350,000, angler number is 30,000.
3. Missouri River System - Angler-Days objective is 500,000, angler number is 35,000.
4. Rivers and Streams - Angler-Days objective is 165,000 and angler number are 16,000.

Program: Enforcement**Reporting level:** 00-720-300-00-00-00-00000000

1. Maintain an enforcement data base to track calls for service and violations.
2. All calls reporting violations are responded to in less than two hours and documented.
3. Accidents resulting in fatalities will be less than 5 per 100,000 registered boats per year, the annual reported accident rate of less than .5% of registered watercraft, and more than 5,000 boats will be inspected per year.

Program: Communications and Conservation**Reporting level:** 00-720-400-00-00-00-00000000

PERFORMANCE NARRATIVE**00720** Game and Fish Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

1. Increase public awareness about wildlife management, conservation, wildlife species and the relationships of important habitats to these species. Develop in the public a wildlife stewardship mindset and the ability to make informed decisions which benefit wildlife and habitat in North Dakota.

A. **Media** – Utilize four outreach biologists in the four major cities of Minot, Grand Forks, Fargo and Bismarck to produce radio broadcasts, write newspaper columns and interviews for TV, radio and paper. Produce webcasts and public service announcements.

B. **Publications and Materials** – Offer a wide variety of publications to the public that focus on wildlife, conservation and habitat. Publications include those that identify wildlife, identify habitats, enhancing habitats, and protecting habitat. Provide these publications via order through internet and other requests. Publish ND OUTDOORS magazine to provide Game and Fish conservation, wildlife, habitat, hunting, fishing an outdoor activity updates to a wide variety of subscribers.

C. **Conservation Education** – Promote the important of five major habitats and the wildlife associated with them, threats to the habitats and what can be done to protect them by using the 4th grade habitat curriculum developed by the Department and ND Center for Distance Education. Utilize all Department personnel and volunteers.

D. **Headquarters Outdoor Wildlife Learning Site** – using the large outdoor complex to train teachers, volunteers and public about wildlife and conservation. Promote fishing at the Pond. Use the site as a demonstration for other potential outdoor conservation complexes.

E. **Hooked on Fishing** – Teach students about the importance of aquatic habitats as well as use volunteers and staff to teach basic fishing.

F. **Fur Harvester Education** – Promote and teach about furbearer ID, importance of habitat, furbearer management and the use of trapping as a tool for population control.

G. **Sport Shows** – Provide a variety of Department materials and messages to a unique audience.

H. **State Fair** – Showcase the Department and the variety of its programs as well as introduce kids and adults to firearms, archery, trapping and fishing.

2. Introduce and inform North Dakotans about rules, regulations, management and ethics for safe hunting, fishing and boating.

A. **Hunter Education** – Recruit and train hunter education instructors. Teach and certify approximately 5,000 students per year.

B. **Shooting Range Development** – Provide annual grants to local communities for maintaining shooting ranges. Provide funding for larger communities to construct shooting facilities for public use.

C. **Boat and Water Safety** – Promote and provide the Home Study ND Boating Basics Course to educate citizens on water rules and safety. Teach water safety to youth and adults using staff and volunteers.

D. **National Archery in the Schools (NASP)** – Introduce youth to target archery knowing a portion will become archery hunters.

3. Manage nongame species in a manner that ensures populations will remain healthy and viable by working with private landowners, conservation agencies/groups and the general public

A. **Wildlife Action Plan** – Staff has developed a strategic level plan to guide the management of rare and declining species in the state. This includes a list of those species in greatest need of conservation as well as information on required conservation actions and greatest threats.

B. **Survey and Research** – Staff has solicited, reviewed and administer prospective research grants with conservation partners to further our understanding of nongame populations.

4. Coordinate resource planning activities with other state and federal agencies and the private sector in order to minimize fish and wildlife habitat losses associated with development or construction activities on public and private land.

PERFORMANCE NARRATIVE**00720** Game and Fish Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

A. Liaison with agencies and industry – Staff coordinate with other resource agencies in developing long-range guidelines, rules and regulations which serve to protect the fish and wildlife resources of the state. This work involves participation in various councils, advisory boards, work groups, and as hoc committees, as well as occasional involvement in public hearings.

B. Evaluation of programs – Staff attempt to investigate probable impacts of construction projects that had the potential to affect fish and wildlife resources. This usually involves a field review of the project area or discussions with the project proponent or permitting agency.

C. Reduction or prevention of losses – Staff provide recommendations to the project sponsor on opportunities or methods to reduce or prevent fish and wildlife habitat losses. These consist of major or minor changes in design plans which result in little or no additional cost to the sponsor. Alternate plans are recommended if the project's original environmental impacts were too great.

D. Enhancement, compensation or mitigation – Staff recommend compensation or replacement for project-induced habitat losses in those instances where no feasible alternative are available on federally funded construction projects where NEPA or the Fish and Wildlife coordination Act apply.

Program: Wildlife**Reporting level:** 00-720-700-00-00-00-00-00000000

1. High hunter satisfaction and hunting quality as a result of sufficient populations of big game, waterfowl, upland game, and furbearer species.
2. Reasonable access to quality hunting opportunities on both private and public lands of North Dakota.
3. High hunter recruitment and retention with relatively low level of conflicts with private landowners associated with wildlife damages, noxious weeds, and hunters.

SPECIAL FUND REPORT

00720 Game and Fish Department

Version: 2011R0300720

Game & Fish Department Fund 222

	2009 - 2011	2011 - 2013
Beginning Balance	30,185,573	26,320,279
Revenue and Net Transfers:		
Donations	400,000	800,000
Motor Fuel Tax	220,000	220,000
Motorboat License Fees	800,000	800,000
Game & Fish-Fishing Licen	3,200,000	3,200,000
Game & Fish-Hunting Licen	21,000,000	21,000,000
Tsfr Fm Water Comm Fund (397)	100,000	200,000
Total Revenue and Net Transfers	25,720,000	26,220,000
Estimated Expenditures By Line:		
Salaries and Wages	13,361,472	15,442,395
Operating Expenses	7,916,533	4,061,026
Capital Assets	2,461,094	2,448,500
Capital Construction Carryover	311,305	0
Grants-Game and Fish	2,512,500	2,692,000
Land Habitat & Deer Depredation	3,953,590	3,774,590
Noxious Weed Control	100,000	162,774
Missouri River Enforcement	0	200,000
Grant-Gift-Donation	400,000	800,000
Wildlife Services	768,800	768,800

SPECIAL FUND REPORT
00720 Game and Fish Department
Version: 2011R0300720

Unexpended Appropriations	<u>(2,200,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>29,585,294</u>	<u>30,350,085</u>
Ending Balance	<u>26,320,279</u>	<u>22,190,194</u>

SPECIAL FUND REPORT
00720 Game and Fish Department
Version: 2011R0300720

Habitat and Depredation Fund 488

	2009 - 2011	2011 - 2013
Beginning Balance	31,112	291,088
Revenue and Net Transfers:		
Wildlife Habitat Stamp	3,500,000	3,500,000
Game & Fish-Hunting Licen	220,000	220,000
Interest Income	<u>600,000</u>	<u>600,000</u>
Total Revenue and Net Transfers	4,320,000	4,320,000
Estimated Expenditures By Line:		
Land Habitat & Deer Depredation	<u>4,060,024</u>	<u>4,430,428</u>
Total Estimated Expenditures	<u>4,060,024</u>	<u>4,430,428</u>
Ending Balance	<u><u>291,088</u></u>	<u><u>180,660</u></u>

SPECIAL FUND REPORT
00720 Game and Fish Department
Version: 2011R0300720

Non-Game Wildlife Fund 216

	2009 - 2011	2011 - 2013
Beginning Balance	161,234	126,234
Revenue and Net Transfers:		
Non-Game Contributions	<u>35,000</u>	<u>35,000</u>
Total Revenue and Net Transfers	35,000	35,000
Estimated Expenditures By Line:		
Nongame Wildlife Conservation	120,000	120,000
Unexpended Appropriations	<u>(50,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>70,000</u>	<u>120,000</u>
Ending Balance	<u><u>126,234</u></u>	<u><u>41,234</u></u>

PERFORMANCE NARRATIVE**00750** Parks and Recreation Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Agency Performance Measures**

The North Dakota Parks and Recreation Department focuses on four main measures.

1. Engaging visitors in meaningful outdoor recreation opportunities and educational programs and events to instill the intrinsic value of these resources.
2. Stewardship of the State's scenic, natural, cultural, historic, and recreational resources.
3. Provide visitors affordable, quality services and facilities in a safe and positive environment.
4. Creating a significant and positive connection between the department, the community and local legislators.

The following items are included in management directives through performance goals and are accomplished through various best practices:

- Protecting Natural Resources
- Natural Resource Plans
- Noxious Weed Control
- Forestry Management
- Watershed Planning
- Interagency Collaboration
- Biological Data Management
- Facility Maintenance
- Fostering Quality Management
- Internal Communication
- Human Resources
- Administration & Support Services
- Employee Recognition
- Marketing & Visitor Profile Database
- External Communication
- Outdoor Recreation Planning
- Visitor Safety and Risk Management
- Building Partnerships
- Community Involvement
- Legislative Liaisons
- Media Relationships
- Estate Brochure
- Alliances with Foundations and Support Groups

Program Performance Measures**Program:** Administration**Reporting level:** 00-750-100-00-00-00-00000000

Maintain effective internal communication through continued use of the department Parks and Recreation internal newsletter. The publication contains employee information on policy, division and park news, healthy lifestyle tips, IT updates and other pertinent department information.

PERFORMANCE NARRATIVE**00750** Parks and Recreation Department**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Maintain effective external communication through continual updates to the department external website. Our site is 100% ADA compliant, and we continue to run regular checks as new pages are added or updated. Responding to department informational requests is much more efficient utilizing e-mail through the department info account.

Maintain internal and external customer satisfaction surveys biennially to monitor user needs and changes in expectation levels. This is accomplished through staff surveys and use of the Discover newsletter periodically, as well as hands-on park visitor questionnaires.

High speed broadband connections into state park systems remain a high priority. We now have all state parks connected through DSL/wireless. We are continually testing the effectiveness of storing files on the state system and the ability to share information with outlying field locations. With the onslaught of web-based applications and the knowledge of applications that could be available in the near future, coupled with the Enterprise Resource Plan functions, steady, reliable broadband connections are crucial.

Maintain annual training efforts to keep staff up to speed on changing federal mandates, risk management program requirements and issues, computer level upgrades, employee assistance program information, and overall department initiatives.

Program: Recreation**Reporting level:** 00-750-200-00-00-00-00000000**Grant Programs:**

Maintain public access to federal grant programs information and applications through web-based application development.

Maintain the Recreational Trails Program Task Force through revising members, organizing annual meetings, reviewing the statewide outdoor recreation plan, and producing an annual report.

Maintain and continue to effectively administer the Land & Water Conservation Fund (LWCF) and Recreational Trails Program (RTP) through appropriating federal dollars, complete inspections of approved and developed projects.

Maintain and continue to effectively administer the Scenic Byway Program through coordination of state-federal grant application process and byway designation process, providing technical assistance to North Dakota Byways and marketing support.

Trail Programs:

Maintain efficient and appropriate use of Off-Highway Vehicle (OHV) fund and expenditures through communication with recreation users, safety programs and facility development.

Maintain efficient and appropriate use of Snowmobile fund and expenditures through regular attendance at Snowmobile North Dakota Board of Director meetings, monitoring of the Snowmobile North Dakota contract, trail enforcement, equipment fleet management and trail planning.

Increase the number of children who receive an OHV or snowmobile safety certification through increased access to classes and delivery of multiple format classes.

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Maintain and/or increase public access to recreational trail use opportunities through development of informational materials (maps, brochures, etc,) and assist with development of trail guides as needed.

Provide technical assistance to the National Park Service on development of the North Country Trail in ND and the USDA Forest Service on maintaining and further developing the Maah Daah Hey Trail.

General Recreation Programs:

Monitor Prairie Rose State Games progress and guide appropriate enhancements through continued communication with the host city and Board of Directors.

Represent outdoor recreation interests in other agencies planning processes and provide technical assistance on statewide outdoor recreation planning efforts.

Maintain and continue to effectively administer North Dakota's Take Pride in America Program through volunteer recognition and annual Governors Awards Ceremony.

Program: Natural Resources**Reporting level:** 00-750-300-00-00-00-00000000

Parks & Recreation Department provides users with a quality family oriented experience in the state parks and state recreation areas around the state. Customer satisfaction remains our main focus. The absence of complaints speaks to the effectiveness of our efforts. We continue to monitor park users and the general public for input into park appearance, customer service, and overall quality of the experience.

P&RD continues to monitor revenues generated by user fees to meet budget expectations and we report visitation and camper numbers for comparison to department goals. Parks & Recreation represent natural resource/outdoor recreation interests in multi agency/organization planning meetings for statewide outdoor recreation initiatives, issues and proposals. Implement and maintain a comprehensive facility/infrastructure inspection, recording and data base on all state park buildings and infrastructure.

Maintain building value index information and insurance coverage on 288 buildings across the park system totaling over \$19 million dollars of investments.

Implement energy efficiency in buildings/infrastructure when renovation/remodel projects are undertaken.

Adjust buildings/sidewalks/parking to meet ADA during renovations.

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Develop biennial extraordinary repairs / Capital Improvement budgets based on identified needs and oversee the implementation of each budget which total an overall \$3.6 million budget.

Develop safety and risk management programs, oversee ADA requirements in building/infrastructure design and construction.

Design park improvements to include park trail developments, roads/parking, building renovations or replacement.

Perform skilled carpentry repairs/improvements to park buildings, supervise construction contractors, engineers and architects.

State parks and state nature preserves, natural areas or recreational areas complete vegetation inventories, resource assessments, natural resource plans, tree risk assessments, and develop grassland management strategies . Monitor grasslands, noxious weeds, and restoration sites.

Program: Peace Garden	Reporting level: 00-750-500-00-00-00-00000000
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Conservatory Extension

Peace Garden CEO, Doug Hevenor has over 30-years experience in the development and construction of greenhouses and conservatories in North America. The IPG Board has also consulted Mr. Phil Lowe of Lowes Gardens in Minot North Dakota concerning forecasted costs as they relate to construction with North Dakota. Both individuals have reviewed and the needs of the facility with the collections owner Mr. Don Vitko and worked to develop a construction and facility strategy that benefits the needs of this unique collection of plants.

All work will be done through a qualified bid process to ensure that technical expertise is solicited for the project. The IPG Board and CEO have extensive project management experience and can rely on a network of peers to assist in bringing this project in within the determined budget. Recent Capital work at the IPG has allowed the Board and Staff to understand current building and contractor trends in the industry this experience will be paramount in the projects success.

Peace & Conflict Resolution Center

The development of a large interrelated set of facilities like the Complex requires a process. As described within this document, the IPG Board has already been formally working on the planning and delivery of this project for more than eight years.

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True to the IPG's mandate, the Board of Directors is utilizing a process that engages professional from both sides of the border working under a Project Manager. This process requires the Board of Directors to make informed decisions at a number of points in the overall development, with each stage having more information than the previous stage.

To manage the development risks of a project of this size, the IPG Board has been progressively investing time and money, on a realistic and cautiously planned basis, to maintain steady progress in developing new, more detailed information that allows progressively more detailed decisions to be made on a sound basis.

This is the proven concept for a successful process. It helps avoid going too far on one "track", without doing sufficient research and analysis on the other "tracks". E.g. avoid going too far with detailed design/engineering of the new facilities without doing the necessary level of detailed market research or financial analysis. This is the sound basis that the IPG Board has utilized, and will continue to utilize, as IPG proceeds with the project to build the Complex.

With this conceptual overview of the process, the key elements of the development plan for the International Centre for Peace and Conflict Resolution project are being carefully coordinated to maintain an appropriate balance between the "three development tracks."

These three "tracks" are:

- Track 1 – Concept development, architectural design leading to detailed plans for construction
- Track 2 – Market research and development
- Track 3 – Financial analysis and funding

After each Stage, the IPG Board of Directors are required to make a Go/No Go decision. This decision is a decision on whether to proceed to the next stage. The process outlined is a logical process that ensures input received (from the strategic allies and the target market customers) will be incorporated into the final design, the final Business Plan and the final Program and Marketing Plans. This ensures that the new facilities are suited to the programs that will be operated and suited to the customers that will attend the new complex.

An example of the need for the appropriate level of market research is shown by the recent initial discussions with mediators and other peace and conflict resolution practitioners that has identified some examples of specific features that should be incorporated into the designs, including:

- Very good acoustics in the meeting/breakout rooms that will ensure conversations can be held using normal speaking voices, thereby avoiding the need for microphones and sound systems;
- Ample wall space with a surface that can be used to hold flipchart sheets and similar items;

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- Windows that provide views of peaceful, tranquil scenes; and
- A room design that is conducive to participants easily interacting with one another.

This example shows why the IPG Board has developed and adopted this development process.

Project Delivery Process

The Board of Directors of IPG will manage the overall project by retaining professional services that will represent the interests of IPG to manage the overall construction project, by utilizing the expertise of the IPG management team, and by utilizing the construction and project management experience of board members. Members of the IPG Board of Directors include individuals with legal, business, educational horticultural, architectural, construction and other types of relevant project management experience. The Board of Directors is confident that the combined experience of the board and the staff, supplemented by the expertise to be provided by the architect (GPPa), will be sufficient to oversee the management of this large project.

Upon approval of government funding, IPG retained the expertise and resources of the architect, Gaboury, Préfontaine, Perry architect.e.s (GPPa) to represent IPG's interests during the development process for this project. In subsequent phases, GPPa was engaged to prepare the Terms of Reference which the IPG used to define the project as well as engage the Architectural team, composed of Canadian, American and Internationally licensed professionals. In order to control both the budget and the participation of suppliers from both countries, the American professionals on the team are acting as Construction Managers, which is allowable under the American system. A situation that benefits the IPG by reducing redundancy of professionals, while meeting their mandated Canadian/American participation. Under the guidance of the Project Managers, the IPG entered into contracts with all members of the professional team. The IPG is further protected by the fact that all members of the team are bound by their individual licenses to deliver the contract and to carry Errors and Omissions (E & O) Insurance for the scale of the project. This process has proven effective and superior to all other forms of Project Development entered into by the IPG in the past.

Services provided by the team, include, but are not limited to including:

- o Schedule, cost and quality control;
- o Review and certification of works in progress;
- o Document work progress;
- o Manage proposed change notices;
- o Administer progress payments;

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- o Certify substantial performance;
- o Assess deficiencies and follow to completion;
- o Certify completion; and
- o Undertake warranty items follow-up one year following substantial completion certification.

Schedule, Quality and Cost Control

The Project Manager, the Architects and the Construction Managers have instituted a live project progress tracking system, which not only regularly reviews a project's progress, but also simultaneously updates the interrelated issues of schedule, cost and quality control. It identifies and flags the effect of one on the other and calls for rapid resolution. Each consultant member of the project team prepares bi-weekly progress reviews, which trips this system and highlights any conflicting issues. This assures that all of the separate items create an interlocking control mechanism. The mechanism uses a number of control tools including the Critical Path Method, in-house evaluation using Hanscombe's Yardsticks to Pricing 2008.

The responsibility for set-up and maintenance of the controls system is led by the quality control director, who concerts and directs the effort of the project director, the job captain and the construction site manager. The Issue of Value Design is foremost on the architects' list of project priorities. Value Design is achieved when the project team successfully combines Client Communication, Quality Control, Cost Control, and Schedule Control. These are the four pillars of Value Design. When the needs and wishes of the Client are successfully integrate into this process, the result is the delivery of a very highly refined project, which is delivered on time as well as on or below budget.

To achieve this goal, the architects begin by analyzing the established budget, schedule (everything from design delivery to proposed seasonal staging of the works) and quality of construction as they relate to each other in the delivery of this project. The architects recognize that any anomaly or change in any of these can have a severe effect on the others. The architectural firm's analysis and findings will be presented to the client at the first regular project meeting; the staff will also establish a regular schedule of meeting to assure continuity of communication. Should they discover any conflicts in budget or schedule, these will be immediately highlighted and resolved with the client. The architects will review the proposed schedule and confirm expected milestones, presentations and deliverables at each of the presentations or milestones. This proactive approach helps to assure that the project stays on track.

To assure continuity, the project architect and quality control director will lead the overall control measures and verify specific quality, cost and schedule control performance. These team senior members offer continuity from the very beginning to the warranty period of the project.

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The staff and consultants are tasked with identifying such individual items as costly detailing, construction materials or components that have sharply risen in cost on current projects and reporting any and all of these to the Project Architect. This information is then confirmed to be accurate, analyzed for its potential effect on the project, studied for possible mitigating measures and once a solution is found, the issue, if of concern, is presented to the client for comment and disposition. This exercise is repeated throughout the course of the project. While the following pages identify some of the duties undertaken at the stage of project evolution, it is critical to note that every member of GPPa's team monitors each aspect of Value Design throughout their involvement in the process.

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00750 Parks and Recreation Department

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Parks & Recreation Fund - 398

	2009 - 2011	2011 - 2013
Beginning Balance	694,331	372,198
Revenue and Net Transfers:		
Culture and Recreation	3,500,000	4,000,000
Tsfr Fm Game & Fish Fund	<u>222,000</u>	<u>522,000</u>
Total Revenue and Net Transfers	3,722,000	4,522,000
Estimated Expenditures By Line:		
Capital Construction Carryover	186,984	0
Administration	118,534	127,534
Natural Resources	4,208,030	4,109,147
Recreation	280,585	280,585
Unexpended Appropriations	<u>(750,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>4,044,133</u>	<u>4,517,266</u>
Ending Balance	<u><u>372,198</u></u>	<u><u>376,932</u></u>

SPECIAL FUND REPORT
00750 Parks and Recreation Department
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Snowmobile Fund - 261

	2009 - 2011	2011 - 2013
Beginning Balance	167,805	217,805
Revenue and Net Transfers:		
Culture and Recreation	700,000	600,000
Total Revenue and Net Transfers	700,000	600,000
Estimated Expenditures By Line:		
Recreation	750,000	780,240
Unexpended Appropriations	(100,000)	0
Total Estimated Expenditures	650,000	780,240
Ending Balance	217,805	37,565

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State Parks Gift Fund - 265

	2009 - 2011	2011 - 2013
Beginning Balance	94,721	130,030
Revenue and Net Transfers:		
Donations	<u>100,000</u>	<u>100,000</u>
Total Revenue and Net Transfers	100,000	100,000
Estimated Expenditures By Line:		
Administration	189,691	189,691
Unexpended Appropriations	<u>(125,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>64,691</u>	<u>189,691</u>
Ending Balance	<u><u>130,030</u></u>	<u><u>40,339</u></u>

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Trail Tax Transfer Fund - 441

	2009 - 2011	2011 - 2013
Beginning Balance	319,126	254,126
Revenue and Net Transfers:		
Culture and Recreation	<u>250,000</u>	<u>250,000</u>
Total Revenue and Net Transfers	250,000	250,000
Estimated Expenditures By Line:		
Natural Resources	15,000	15,000
Recreation	400,000	410,000
Unexpended Appropriations	<u>(100,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>315,000</u>	<u>425,000</u>
Ending Balance	<u><u>254,126</u></u>	<u><u>79,126</u></u>

Continuing Appropriation

750 Parks and Recreation Department

Version: 2011-R03-00750

Project: 1 Concession revolving account

Date: 01/04/2011

Time: 14:23:05

Version 2011R0300750 Number 1

Description Concession revolving account

Statutory Authority 55-08-07.1

Special Fund number and name 602 State Parks & Recreation concession revolving fund.

	Actual 2005-2007	Actual 2007-2009	Estimated 2009-2011	Estimated 2011-2013
Beginning Balance	2,445	5,225	38,801	13,801
Revenue/transfers	459,627	474,029	475,000	480,000
Total available	462,072	479,254	513,801	493,801
Expenditures	456,847	440,453	500,000	480,000
Ending Balance	5,225	38,801	13,801	13,801

This fund is necessary to maintain department concessions function. Used primarily for purchase and sales of fishing supplies and concession store items. Also used for repair, replacement, construction and maintenance of concession buildings, facilities, and properties contained therein.

PERFORMANCE NARRATIVE

00770 Water Commission

Date: 01/06/2011

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Agency Performance Measures

- Develop Missouri River water in ways that will secure North Dakota's share of Missouri River flows for our current and future needs.
- Implement plans for the distribution of Missouri River water through regional water supply systems such as the Southwest Pipeline project, the Northwest Area Water Supply project, and the Red River Valley Water Supply project.
- Manage and develop North Dakota's water resources to facilitate economic development and improve quality of life for current and future generations.
- Promote and provide water supplies needed for the expansion and diversification of North Dakota's agricultural industry.
- Complete detailed studies and research that more precisely define the nature and occurrence of water to optimize its conservation and development throughout the state.
- Maintain a water management plan to promote efficiency in meeting North Dakota's future water development and funding needs.
- Continue to implement the state's three-pronged approach to solving the Devils Lake area's flooding problems.
- Develop policies and initiatives that will stimulate progress toward developing flood control measures wherever feasible.
- Pursue cooperative efforts with neighboring states and provinces to plan for beneficial water management of shared water resources.
- Cooperate with agencies that have regulatory authority over North Dakota's waters to protect and enhance the quality of North Dakota's water resources and related ecosystems.
- Enforce weather modification standards, conduct research, and supervise operational cloud seeding programs for hail suppression and rainfall enhancement.
- Provide water education for North Dakota's teachers, youth, and general public.
- Promote expanded development of North Dakota's water-based recreation resources.
- Collect water resource data for the purpose of identifying the location, condition, and temporal changes of the water resources of the state.
- Disseminate water resource information to the general public, businesses, and government agencies.
- Manage the water resource database so that it is accessible to interested parties.
- Manage state water resources and sovereign lands within the framework of North Dakota's Century and Administrative Codes.

Program Performance Measures

Program: Administrative and Support Services	Reporting level: 00-770-750-00-00-00-00000000
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The Administrative and Support Services will provide the overall guidance for the agency by identifying funding priorities. This division will also provide the other divisions with their technology equipment and support, accounting services, legal support, and human resource services. As a more specific measure of performance, this division will:

- Prepare and submit the agency's budget.
- Coordinate the timing of agency bonding.
- Coordinate development of agency testimony for legislative appropriations hearings.
- Maintain accounting records, and provide information technology and records management services.
- Bill federal, state, and local entities for their share of project costs.
- Provide legal support, including research and contract development.
- Maintain an agency IT strategic plan, and coordinate agency IT efforts with external and statewide initiatives.
- Support, maintain, and evolve agency IT infrastructure.

PERFORMANCE NARRATIVE**00770** Water Commission**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08**Program:** Water and Atmospheric Resources**Reporting level:** 00-770-760-00-00-00-00-00000000

With the state and federal funding included in this budget request the State Water Commission will strive to achieve the following project and program efforts during the 2011-2013 biennium as a measure of performance.

Southwest Pipeline Project

- Complete the Oliver, Mercer, North Dunn Water Treatment Plant.
- Construct main transmission line facilities in the Zap and Center Service Area.
- Construct the Zap Service Area rural distribution pipeline.
- Design and bid the Center Service Area rural distribution pipeline.
- Begin construction on transmission facilities in the Dunn Service Area.

Northwest Area Water Supply

- Complete construction of the pipeline between Minot and the Air Force base.
- Complete construction of the pipeline between the Air Force base and Upper Souris District.
- Assist Reclamation with preparation of a supplemental EIS to address the courts May 2009 order.
- Complete court filings to lift injunction.
- Initiate design work on a biota treatment plant and intake, and remaining contracts to move water from Lake Sakakawea to Minot.
- Develop plans and manuals as required by EIS commitments.

Devils Lake Flood Control

- Maintain and operate the state's emergency Devils Lake Outlet.
- Develop discharge monitoring reports for outlet operation as needed.
- Work with local and federal entities to remove additional water from the lake on an ongoing basis.

Regulatory Program

- Process all incoming construction, drainage, and sovereign land permit applications.
- Provide technical assistance to water resource districts (WRDs) as requested.
- Address all incoming WRD decision appeals.
- Digitally map all permitted assessment drains and dams that are currently in the 4-D database.
- Provide flow determinations requested per North Dakota Century Code 24-03-08.
- Review all incoming Public Service Commission and U.S. Army Corps's Section 404 permits.

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- Implement Sovereign Land Management Plan recommendations and complete ordinary high water mark determinations where needed.

Municipal, Rural, and Industrial (MR&I) Water Supply Program

- Implement a five-year plan for MR&I project funding requests.
- Participate in meetings with communities and rural water districts to provide technical and planning assistance.
- Provide MR&I budget estimates for project development.
- Coordinate meetings with various funding entities to discuss projects.
- Work with North Dakota's Congressional Delegation to increase federal MR&I appropriations.
- Coordinate with the Garrison Conservancy District in the prioritization and allocation of MR&I funds to projects.

Floodplain Management

- Monitor community floodplain management compliance under the Community Assistance Program (CAP) and provide technical assistance regarding the National Flood Insurance Program.
- Conduct floodplain management training workshops and participate in related training workshops under CAP.
- Promote the availability of mapping products produced as part of Map Modernization and its successor program &ndash Risk Map.
- Conduct floodplain determinations for the Bank of North Dakota.

Dam Safety Program

- Conduct partial inspections of 144 dams each spring.
- Conduct full inspections of about 21 dams per year, and report findings to owners.
- Maintain and update North Dakota's dam inventory and submit data to the National Inventory of Dams (NID).
- Assist dam owners with developing Emergency Action Plans (EAPs), and review and approve EAPs as they are submitted.
- Manage federal grant funds used for the development of EAPs as a supplement to the state cost-share program.

Cost-share Program

- Review approximately 65-75 cost-share inquiries/applications for cost-share eligibility and assistance each year.
- Present 45-55 cost-share proposals for approval and authorization annually.
- Develop agreements/contracts for 60-70 approved and authorized projects each year.
- Process requests for payment, monitor agreement/contract compliance, and review and inspect work for approximately 90 active projects.

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Design and Construction

- Assist dam owners with design and repairs of existing water facilities.
- Repair and maintain North Dakota's stream gage network through cooperative efforts with the U.S. Geological Survey.
- Conduct general construction projects.

State Water Management Plan

- Coordinate recommendations included in the 2009 SWMP.
- Develop a 2013-2015 Water Development Report to supplement the 2009 Water Management Plan.

Water Education for Teachers (WET) Program

- Maintain Project WET classroom-ready teaching aids and service contracts in support of educational efforts.
- Provide in-service and pre-service credit and non-credit educational programs for K-12 educators.
- Provide varying educational programs for K-12 students, communities, and the general public.
- Complete facilitator leadership training.
- Provide funds for the Keep North Dakota Clean Water education poster contest.
- Maintain the Project WET facilitator network and complete all Section 319 EPA grant development and reporting requirements.
- Complete two Project WET watershed institutes.

Watershed Plan Coordination

- Provide technical assistance toward the implementation of the Red River Basin Commission's Natural Resource Framework Plan.
- Provide technical assistance toward the implementation of joint water board, water management plans.
- Continue to participate as board members and technical advisors for international and national watershed planning and coordination efforts.

North Dakota Cloud Modification Project (NDCMP)

- Conduct a RFB process and award an aircraft service contract.
- Hire NDCMP field personnel by the end of May, annually.
- Conduct pre-project ground school by the end of May, annually.
- Conduct NDCMP operations during June through August.
- Conduct data analysis and final reporting to participating counties during the winter, annually.
- Report cloud seeding activities to the National Oceanic and Atmospheric Administration during every spring and fall.

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Atmospheric Resource Board &ndash Cooperative Research Program

- Work with the North American Interstate Weather Modification Council and Weather Modification Association to establish a federally-funded research program.
- Continue the Polarimetric Cloud Analysis and Seeding Test (POLCAST) hygroscopic seeding research program.

Atmospheric Resource Board &ndash Cooperative Observe Network

- Manage the program for daily observation of rainfall and hail, including data entry, quality control, and GIS mapping during April-September, annually.
- Conduct growing-season mapping and manage volunteer renewal for following years each fall.
- Recruit new volunteers each spring.
- Send instructions and postage-paid reporting cards and rain gauges for reporting seasons every year in March.
- Expand the online reporting program and snowfall measurements in critical areas.

Administration, Adjudication, and Evaluation of Water Rights

- Gather data on shared resources.
- Discuss possible actions regarding water resources.
- Negotiate management decisions.
- Conduct water resource investigations.
- Prepare recommendations for the state engineer.

Community Water Supply Studies

- Conduct water supply studies for communities as requested.

Water Resource Monitoring Program

- Install test holes and plug obsolete observation wells.
- Install 125-175 monitoring wells annually.
- Install 20-30 staff gages per year, and monitor water levels and flows.
- Measure 25,000-30,000 water levels in wells and surface-water bodies annually.
- Collect data from 60-70 continuous water level recorders annually.
- Collect 1,500-2,000 samples from wells and surface-water bodies annually.

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- Analyze samples for various chemical constituents.
- Repair and maintain 3,500-4,000 measurement and sampling locations annually.
- Enter data into database.
- Coordinate with the USGS cooperative water resource monitoring program.
- Conduct aquifer tests.

Water Resource-related Economic Development

- Produce "synopsis" reports on water supplies for interested entities.
- Produce or provide water resource interpretive reports.
- Administer the AgPACE program.
- Support the North Dakota Irrigation Association's efforts to expand irrigation development.

Water Resource Data Information Dissemination

- Anticipate uses for which the data would be needed.
- Educate staff on the use of Water Resources Information Management Systems WRIMS as improvements are implemented.
- Communicate with interested parties to determine their informational needs.
- Write unique programs to meet needs of requests of an unanticipated nature.
- Image and store well drilling completion reports.

Water Resource Research Program

- Produce water quality assessments of the National Guard Camp Grafton South Unit.
- Conduct annual reviews and decisions for graduate Water Resource Investigations through the Water Resources Institute.
- Cooperate with the USGS to develop a "Stream Stats" website in North Dakota.
- Conduct an evaluation of nitrate contamination and remediation in the Karlsruhe Aquifer.
- Assist with a study of irrigation through tile drains in Richland County.
- Report on water quality and water levels in the Tolna Coulee.

Investigations

- Provide technical reviews as needed of Missouri River management issues, especially the Missouri River Authorized Purpose Study.
- Manage government survey information.
- Conduct water resource investigations.
- Provide technical support in response to flooding and other disasters.

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- Review proposals for modifications of regulatory floodways.

Silver Jackets Program

- Promote awareness of North Dakota's new Silver Jackets Program.
- Assist communities with FEMA's levee recertification requirement.
- Assist communities with flood control and long-term flood mitigation project requests.
- Assist selected counties and communities with Flood Emergency Operation Plan development and maintenance.
- Coordinate with Silver Jackets Program charter agencies.

SPECIAL FUND REPORT

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NAWS Operations Fund

	2009 - 2011	2011 - 2013
Beginning Balance	5,380	19,910
Revenue and Net Transfers:		
Revenue From Cities	<u>175,000</u>	<u>730,000</u>
Total Revenue and Net Transfers	175,000	730,000
Estimated Expenditures By Line:		
Water and Atmospheric Resources	1,110,470	735,089
Unexpended Appropriations	<u>(950,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>160,470</u>	<u>735,089</u>
Ending Balance	<u><u>19,910</u></u>	<u><u>14,821</u></u>

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NAWS Project Reserve Fund 413

	2009 - 2011	2011 - 2013
Beginning Balance	225,301	290,301
Revenue and Net Transfers:		
Revenue From Cities	<u>65,000</u>	<u>65,000</u>
Total Revenue and Net Transfers	65,000	65,000
Estimated Expenditures By Line:		
Water and Atmospheric Resources	186,000	150,000
Unexpended Appropriations	<u>(186,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>0</u>	<u>150,000</u>
Ending Balance	<u><u>290,301</u></u>	<u><u>205,301</u></u>

SPECIAL FUND REPORT

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Oil Tax Resources Trust Fund 469

	2009 - 2011	2011 - 2013
Beginning Balance	87,378,167	128,447,646
Revenue and Net Transfers:		
Transfer Out	(94,600,000)	(332,400,000)
Rev From Other Political	2,500,000	2,500,000
Interest On Investment Co	2,217,604	1,101,820
Interest Income From Loans	265,000	265,000
Receipt Of Loan Principal Pymt	730,000	730,000
Mineral Lease Royalties	9,000	14,000
Tsfr Fm Oil Ext. Tax Dev. Trus	<u>129,947,875</u>	<u>199,812,353</u>
Total Revenue and Net Transfers	41,069,479	(127,976,827)
Estimated Expenditures By Line:		
Ending Balance	<u><u>128,447,646</u></u>	<u><u>470,819</u></u>

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00770 Water Commission

Version: 2011R0300770

Water Commission Fund 397

	2009 - 2011	2011 - 2013
Beginning Balance	0	86,001
Revenue and Net Transfers:		
Water Rights Filling Fee	55,350	41,850
Revenue From Counties	921,000	2,989,680
Revenue From Cities	10,200,000	16,030,585
Rev From Other Political	66,000	60,000
Grant/Contrib--Non-Profit/Priv	21,000	21,000
Tsfr Fm Oil Res. Trust	94,600,000	332,400,000
Total Revenue and Net Transfers	105,863,350	351,543,115
Estimated Expenditures By Line:		
Grants - Local Cost Share	2,526,445	500,000
Beaver Bay Feasibility Study	342,000	0
Administrative and Support Services	9,000	9,000
Water and Atmospheric Resources	208,199,904	351,120,116
Unexpended Appropriations	(105,300,000)	0
Total Estimated Expenditures	105,777,349	351,629,116
Ending Balance	86,001	0

SPECIAL FUND REPORT

00770 Water Commission

Version: 2011R0300770

Water Development Trust Fund 267

	2009 - 2011	2011 - 2013
Beginning Balance	21,010,583	25,614,113
Revenue and Net Transfers:		
Tsfr Fm Tobacco Settlement Tru	<u>19,679,606</u>	<u>20,624,034</u>
Total Revenue and Net Transfers	19,679,606	20,624,034
Estimated Expenditures By Line:		
Water and Atmospheric Resources	32,376,076	37,189,734
Unexpended Appropriations	<u>(17,300,000)</u>	<u>0</u>
Total Estimated Expenditures	<u>15,076,076</u>	<u>37,189,734</u>
Ending Balance	<u><u>25,614,113</u></u>	<u><u>9,048,413</u></u>

PERFORMANCE NARRATIVE

00801 Dept of Transportation

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08**Agency Performance Measures**

An externally focused Performance Measure “Outcomes” summary was developed and published. It is called the NDDOT Performance Measures Report Card.

Outcome performance measures include:

- Overall Customer Satisfaction
- Overall Safety - Highway and Worker Elements
- The Highway Performance Classification System Status
- Product and Service Delivery - Resource Management Elements
- Organizational Excellence - Overall Employee Satisfaction

Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota’s transportation systems and services.
- Enhance customer satisfaction.
- Improve traveler and workforce safety.
- Enhance employee recruitment, development, and well being.
- Strengthen stakeholder relationships.

Program Objectives: Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

Program Performance Measures**Program:** Administration**Reporting level:** 00-801-100-00-00-00-00-00000000

Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota’s transportation systems and services
- Enhance customer satisfaction
- Improve traveler and workforce safety
- Enhance employee recruitment, development, and well being
- Strengthen stakeholder relationships

Program Objectives:

Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

PERFORMANCE NARRATIVE

00801 Dept of Transportation

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Program: Drivers License**Reporting level:** 00-801-250-10-00-00-00-00000000Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota's transportation systems and services
- Enhance customer satisfaction
- Improve traveler and workforce safety
- Enhance employee recruitment, development, and well being
- Strengthen stakeholder relationships

Program Objectives:

Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

Program: Motor Vehicle**Reporting level:** 00-801-250-20-00-00-00-00000000Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota's transportation systems and services
- Enhance customer satisfaction
- Improve traveler and workforce safety
- Enhance employee recruitment, development, and well being
- Strengthen stakeholder relationships

Program Objectives:

PERFORMANCE NARRATIVE

00801 Dept of Transportation

Date: 01/06/2011

Version 2011R0300504

Time: 08:03:08

Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

Program: Highways	Reporting level: 00-801-400-00-00-00-00-00000000
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Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota's transportation systems and services
- Enhance customer satisfaction
- Improve traveler and workforce safety
- Enhance employee recruitment, development, and well being
- Strengthen stakeholder relationships

Program Objectives:

Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

Program: Fleet Services	Reporting level: 00-801-500-00-00-00-00-00000000
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Departmental/Program Goals:

- Improve the quality and efficiency of North Dakota's transportation systems and services
- Enhance customer satisfaction
- Improve traveler and workforce safety
- Enhance employee recruitment, development, and well being
- Strengthen stakeholder relationships

PERFORMANCE NARRATIVE**00801** Dept of Transportation**Date:** 01/06/2011**Version** 2011R0300504**Time:** 08:03:08

Program Objectives:

Each Objective has an action plan that outlines specific activities and timelines which can be found in the NDDOT Strategic Plan.

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Dealer Enforcement Fund

	2009 - 2011	2011 - 2013
Beginning Balance	348,613	353,613
Revenue and Net Transfers:		
New & Used Dealer Fees	<u>500,000</u>	<u>500,000</u>
Total Revenue and Net Transfers	500,000	500,000
Estimated Expenditures By Line:		
Salaries and Wages	450,000	479,120
Operating Expenses	<u>45,000</u>	<u>154,951</u>
Total Estimated Expenditures	<u>495,000</u>	<u>634,071</u>
Ending Balance	<u><u>353,613</u></u>	<u><u>219,542</u></u>

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Fleet Services Fund - 700

	2009 - 2011	2011 - 2013
Beginning Balance	6,608,921	4,130,831
Revenue and Net Transfers:		
Intergovernmental Reimbursemen	65,300,000	65,600,000
Total Revenue and Net Transfers	65,300,000	65,600,000
Estimated Expenditures By Line:		
Salaries and Wages	3,693,175	3,916,346
Operating Expenses	33,162,661	33,662,228
Capital Assets	28,507,200	28,007,633
Capital Improvements-Carryover	2,415,054	0
Total Estimated Expenditures	67,778,090	65,586,207
Ending Balance	4,130,831	4,144,624

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Highway Fund - 200

	2009 - 2011	2011 - 2013
Beginning Balance	137,195,499	109,579,895
Revenue and Net Transfers:		
Motor Vehicle Excise Tax	30,500,000	0
Tsfr Fm Permanent Oil Tax Trus	0	370,600,000
Tsfr Fm General Fund	4,600,000	0
Sale Of Salvage & Scrap	5,100,000	5,900,000
Revenue Prior Biennium	7,500,000	0
Interest Income	3,200,000	1,500,000
Rev From Hwy Tax Distribu	239,670,000	286,700,000
Overload Fees	10,000,000	14,400,000
Pro-Rata Fee - Lieu Mi. T	13,600,000	7,900,000
Drivers License	8,500,000	8,700,000
Revenue From Counties	19,930,000	19,930,000
Revenue From Cities	28,670,000	28,670,000
Reimbursement From Other State	1,500,000	2,000,000
Total Revenue and Net Transfers	372,770,000	746,300,000
Estimated Expenditures By Line:		
Salaries and Wages	114,369,956	130,938,644
Operating Expenses	128,619,838	120,494,685
Capital Assets	147,520,698	376,968,450

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Capital Improvements-Carryover	8,829,112		0
Road Reconstruction Program	0		142,000,000
Grants	<u>1,046,000</u>		<u>859,813</u>
Total Estimated Expenditures		<u>400,385,604</u>	<u>771,261,592</u>
Ending Balance		<u><u>109,579,895</u></u>	<u><u>84,618,303</u></u>

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Highway Rail Grade Crossing Safety

	2009 - 2011	2011 - 2013
Beginning Balance	0	0
Revenue and Net Transfers:		
Special Fuels Tax	1,600,000	0
Total Revenue and Net Transfers	1,600,000	0
Estimated Expenditures By Line:		
Grants	1,600,000	0
Total Estimated Expenditures	1,600,000	0
Ending Balance	0	0

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Motor Vehicle Operating - 201

	2009 - 2011	2011 - 2013
Beginning Balance	162,011	31,996
Revenue and Net Transfers:		
Misc. License/Fees	11,900,000	12,900,000
Total Revenue and Net Transfers	11,900,000	12,900,000
Estimated Expenditures By Line:		
Salaries and Wages	4,856,080	5,366,769
Operating Expenses	7,136,063	7,055,598
Capital Assets	37,872	0
Total Estimated Expenditures	12,030,015	12,422,367
Ending Balance	31,996	509,629

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Motorcycle Safety Fund - 205

	2009 - 2011	2011 - 2013
Beginning Balance	420,003	120,003
Revenue and Net Transfers:		
Misc. License/Fees	<u>450,000</u>	<u>500,000</u>
Total Revenue and Net Transfers	450,000	500,000
Estimated Expenditures By Line:		
Grants	<u>750,000</u>	<u>580,680</u>
Total Estimated Expenditures	<u>750,000</u>	<u>580,680</u>
Ending Balance	<u><u>120,003</u></u>	<u><u>39,323</u></u>

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Public Transportation Fund - 232

	2009 - 2011	2011 - 2013
Beginning Balance	1,647,855	1,627,855
Revenue and Net Transfers:		
Rev From Hwy Tax Distribu	5,730,000	6,900,000
Revenue Prior Biennium	<u>1,000,000</u>	<u>0</u>
Total Revenue and Net Transfers	6,730,000	6,900,000
Estimated Expenditures By Line:		
Grants	<u>6,750,000</u>	<u>6,900,000</u>
Total Estimated Expenditures	<u>6,750,000</u>	<u>6,900,000</u>
Ending Balance	<u><u>1,627,855</u></u>	<u><u>1,627,855</u></u>

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

Special Road Fund - 230

	2009 - 2011	2011 - 2013
Beginning Balance	1,729,856	1,804,506
Revenue and Net Transfers:		
Interest Income	<u>1,700,000</u>	<u>2,670,000</u>
Total Revenue and Net Transfers	1,700,000	2,670,000
Estimated Expenditures By Line:		
Salaries and Wages	10,000	0
Capital Assets	200,000	0
Grants	<u>1,415,350</u>	<u>2,670,000</u>
Total Estimated Expenditures	<u>1,625,350</u>	<u>2,670,000</u>
Ending Balance	<u><u>1,804,506</u></u>	<u><u>1,804,506</u></u>

SPECIAL FUND REPORT

00801 Dept of Transportation

Version: 2011R0300801

State Rail Fund - 277

	2009 - 2011	2011 - 2013
Beginning Balance	1,667,230	1,230,230
Revenue and Net Transfers:		
Interest Income	<u>150,000</u>	<u>150,000</u>
Total Revenue and Net Transfers	150,000	150,000
Estimated Expenditures By Line:		
Grants	<u>587,000</u>	<u>1,358,350</u>
Total Estimated Expenditures	<u>587,000</u>	<u>1,358,350</u>
Ending Balance	<u><u>1,230,230</u></u>	<u><u>21,880</u></u>