



STATUS OF THE GENERAL FUND

Presented to the

BUDGET SECTION

TUESDAY, JUNE 22, 2021

JOE MORRISSETTE, OFFICE OF MANAGEMENT AND BUDGET DIRECTOR

**GENERAL FUND STATUS STATEMENT
2019-21 BIENNIUM
AS OF MAY 31, 2021**

Beginning balance:		
Beginning unobligated balance - July 1, 2019	\$65,000,000	
Balance obligated for authorized carryover of appropriations	<u>130,404,813</u>	
 Total beginning balance		 \$195,404,813
Revenues:		
Revenues collected to date	\$4,681,912,958	
Remaining forecasted revenues - Revised May 2021	<u>706,085,732</u>	
Total revenues		<u>5,387,998,690</u>
 Total available		 \$5,583,403,503
Expenditures:		
Legislative appropriations - One time	(\$48,639,068)	
Legislative appropriations - Ongoing	(4,794,924,098)	
2019-21 authority used in 2017-19	106,000	
Authorized carryover from previous biennium	(130,404,813)	
Supplemental appropriations	(21,540,000)	
Estimated turnback	244,667,574	
Transfer to Highway Fund (Section 13 of HB1015)	<u>(100,000,000)</u>	
Total authorized expenditures		<u>(4,850,734,405)</u>
 Estimated ending balance - June 30, 2021 - Before transfer to Budget Stabilization Fund		 \$732,669,098
 Transfer to Budget Stabilization Fund		 <u>(22,409,125)</u>
 Estimated ending balance - June 30, 2021		 <u>\$710,259,973</u> ¹¹

¹¹ NDCC Section 54-27.2-02 provides that any end of biennium balance in excess of \$65.0 million must be transferred to the budget stabilization fund, up to a cap of 15.0 percent of general fund appropriations.

**SELECTED SPECIAL FUNDS
MAY 31, 2021 FUND BALANCES**

Fund	Balance
Budget Stabilization Fund (Apr 30 RIO balance)	\$752,892,826
Legacy Fund (Apr 30 RIO balance plus May oil tax allocation)	\$8,747,713,021
Foundation Aid Stabilization Fund	\$367,134,027
Tax Relief Fund	\$200,199,146
2/28/21 Strategic Investment and Improvement Fund (\$267,017,511 committed)	\$629,893,486

**OIL UPDATE
MAY 31, 2021 (Based on March 31, 2021 Production)**

	Forecast	Actual to Date
Average Price:	\$48.27	\$40.11
Average Production:	1,418,182	1,273,409
Oil Revenue	\$4,442,210,060	\$3,273,876,349

STATEMENT OF GENERAL FUND REVENUES AND FORECASTS
Compared to the Legislative Forecast
2019-21 Biennium
May 2021

Revenues and Transfers	Fiscal Month				Biennium To Date			
	Original 2019 Leg. Forecast	Actual	Variance	Percent	Original 2019 Leg. Forecast	Actual	Variance	Percent
Sales Tax	78,478,472	55,283,822	(23,194,650)	-29.6%	1,798,736,591	1,733,206,591	(65,530,000)	-3.6%
Motor Vehicle Excise Tax	11,413,519	12,627,530	1,214,011	10.6%	238,797,577	246,209,009	7,411,432	3.1%
Individual Income Tax	14,174,631	39,968,961	25,794,330	182.0%	784,716,886	799,722,400	15,005,514	1.9%
Corporate Income Tax	2,148,669	4,652,159	2,503,490	116.5%	119,302,072	211,852,637	92,550,565	77.6%
Insurance Premium Tax	7,361,220	10,739,819	3,378,599	45.9%	71,148,743	97,188,101	26,039,358	36.6%
Oil & Gas Production Tax*	-	-	-	0.0%	238,369,403	238,369,403	-	0.0%
Oil Extraction Tax*	-	-	-	0.0%	161,630,597	161,630,597	-	0.0%
Gaming Tax	721,403	2,509,024	1,787,621	247.8%	7,417,821	30,826,126	23,408,305	315.6%
Lottery	-	-	-	-	6,122,500	4,100,000	(2,022,500)	-33.0%
Cigarette & Tobacco Tax	1,813,746	1,969,984	156,238	8.6%	45,750,601	47,901,685	2,151,084	4.7%
Wholesale Liquor Tax	782,552	803,583	21,031	2.7%	17,850,929	17,955,396	104,467	0.6%
Coal Conversion Tax	1,650,690	1,765,760	115,070	7.0%	37,833,334	38,577,787	744,453	2.0%
Mineral Leasing Fees	1,705,526	2,364,944	659,418	38.7%	35,795,204	38,771,542	2,976,338	8.3%
Departmental Collections	2,753,788	16,914,771	14,160,983	514.2%	65,344,109	76,800,054	11,455,945	17.5%
Interest Income	650,000	261,822	(388,178)	-59.7%	8,600,400	15,330,322	6,729,922	78.3%
State Mill & Elevator-Transfer	-	-	-	0.0%	6,700,000	5,423,841	(1,276,159)	-19.0%
Bank of North Dakota - Transfer	35,000,000	35,000,000	-	0.0%	140,000,000	140,000,000	-	0.0%
Legacy Fund - Transfer	-	-	-	0.0%	-	-	-	0.0%
Tax Relief Fund - Transfer	-	-	-	0.0%	8,600,000	8,600,000	-	0.0%
SIIF - Transfer	-	-	-	0.0%	764,400,000	764,400,000	-	0.0%
Gas Tax Administration	-	-	-	0.0%	1,991,024	1,991,418	394	0.0%
Miscellaneous	-	-	-	0.0%	-	3,056,050	3,056,050	100.0%
Total Revenues and Transfers	158,654,216	184,862,179	26,207,963	16.5%	4,559,107,791	4,681,912,959	122,805,168	2.7%

* The General Fund cap for oil and gas taxes contains two tiers. The first tier of \$200.0 million was reached in November 2019. Additional oil taxes were allocated to the Tax Relief Fund until that fund received \$200.0 million, and then to the Budget Stabilization Fund until that fund reached its max fund balance of \$726.5 million. In March, the General Fund began receiving allocations to meet its second tier of \$200.0 million. The second tier was reached in October, 2020.

NDCC 54-27-25
Tobacco Settlement Trust Fund - Fund 407

Date	Total Amount Received	Community Health Trust Fund - 100%	Commons School Trust Fund - 0%	Water Development Trust Fund - 0%	Attorney General Appropriation
12/14/99	\$ 9,036,985.38	\$ 903,698.54	\$ 4,066,643.42	\$ 4,066,643.42	
1/3/00	7,871,639.19	787,163.91	3,542,237.64	3,542,237.64	
4/18/00	12,875,523.14	1,287,552.32	5,793,985.41	5,793,985.41	
4/19/00	169,475.62	16,947.56	76,264.03	76,264.03	
5/4/00	984.72	98.48	443.12	443.12	
9/13/00	363.38	36.34	163.52	163.52	
1/2/01	8,011,307.29	801,130.73	3,605,088.28	3,605,088.28	
1/17/01	1,505.95	150.59	677.68	677.68	
4/17/01	14,690,317.34	1,469,031.74	6,610,642.80	6,610,642.80	
4/27/01	221,405.57	22,140.55	99,632.51	99,632.51	
6/15/01	21,277.38	2,127.74	9,574.82	9,574.82	
11/19/01	181,556.56	18,155.66	81,700.45	81,700.45	
1/2/02	7,115,019.43	711,501.95	3,201,758.74	3,201,758.74	
1/14/02	2,071.14	207.12	932.01	932.01	
4/16/02	18,872,853.92	1,887,285.40	8,492,784.26	8,492,784.26	
4/23/02	609,210.48	60,921.04	274,144.72	274,144.72	
1/2/03	5,869,683.32	586,968.34	2,641,357.49	2,641,357.49	
1/16/03	1,960,169.68	196,016.96	882,076.36	882,076.36	
4/16/03	18,051,398.80	1,805,139.88	8,123,129.46	8,123,129.46	
4/23/03	668,581.37	66,858.13	300,861.62	300,861.62	
7/1/03	305,817.91	30,581.79	137,618.06	137,618.06	
10/3/03	230,963.18	23,096.32	103,933.43	103,933.43	
4/15/04	21,899,894.49	2,189,989.45	9,854,952.52	9,854,952.52	
4/21/04	852,398.02	85,239.80	383,579.11	383,579.11	
8/30/04	255,371.41	25,537.15	114,917.13	114,917.13	
4/19/05	22,261,451.85	2,226,145.19	10,017,653.33	10,017,653.33	
4/20/05	809,930.77	80,993.07	364,468.85	364,468.85	
10/6/05	262,051.11	26,205.11	117,923.00	117,923.00	
4/17/06	19,898,716.49	1,989,871.65	8,954,422.42	8,954,422.42	
4/19/06	1,253,301.83	125,330.19	563,985.82	563,985.82	
12/22/06	196,418.35	19,641.83	88,388.26	88,388.26	
4/17/07	20,664,718.59	2,066,471.85	9,299,123.37	9,299,123.37	
4/19/07	1,379,744.44	137,974.44	620,885.00	620,885.00	
6/5/07	173,167.26	17,316.72	77,925.27	77,925.27	
4/16/08	34,965,293.50	3,496,529.34	15,734,382.08	15,734,382.08	
4/17/08	1,515,783.61	151,578.37	682,102.62	682,102.62	
7/7/08	91.50	9.14	41.18	41.18	
2/26/09	1,978,845.20	197,884.52	890,480.34	890,480.34	
4/20/09	23,035,384.29	2,303,538.43	10,365,922.93	10,365,922.93	
4/15/10	19,759,434.19	1,975,943.41	8,891,745.39	8,891,745.39	
4/19/10	1,057,430.92	105,743.10	475,843.90	475,843.90	
4/20/11	19,736,098.42	1,973,609.84	8,881,244.29	8,881,244.29	
4/20/12	20,127,216.58	2,012,721.66	9,057,247.46	9,057,247.46	
4/17/13	20,099,831.57	2,009,983.15	9,044,924.21	9,044,924.21	
4/24/13	13,067.04	1,306.70	5,880.17	5,880.17	
4/22/14	22,756,378.89	2,275,637.89	10,240,370.50	10,240,370.50	
4/15/15	19,220,022.57	1,922,002.25	8,649,010.16	8,649,010.16	
5/15/15	254,078.67	25,407.87	114,335.40	114,335.40	
5/18/16	20,466,444.08	2,026,644.40	9,119,899.84	9,119,899.84	\$ 200,000.00
4/20/17	20,196,746.52	2,019,674.66	9,088,535.93	9,088,535.93	
4/20/18	53,096,556.03	29,093,105.82	0.00	23,803,450.21	200,000.00
7/10/18	158,922.32	87,407.28	0.00	71,515.04	
4/22/19	20,977,434.08	11,537,588.74	0.00	9,439,845.34	
7/24/19	155,286.18	155,286.18	0.00	0.00	
4/16/20	16,470,621.00	16,470,621.00	0.00	0.00	
4/22/20	4,809,767.02	4,809,767.02	0.00	0.00	
4/16/21	20,130,759.00	20,130,759.00	0.00	0.00	
4/23/21	2,526,695.64	2,526,695.64	0.00	0.00	
Totals	\$ 540,183,464.18	\$ 126,976,972.95	\$ 189,745,840.31	\$ 223,060,650.91	\$ 400,000.00

Effective 2019- 2021 Biennium

Community Health Trust Fund	100%
Water Development Trust Fund	0%
Common Schools Trust Fund	0%
Total	100%

Report on Federal Grants Per NDCC 54-27-27

June 22, 2021

Grant Applications

Agency	Description	Time Period of Grant	Amount of Grant Requested
Dept of Health	The grant aids in developing multisector action plans that address the social determinants of health that have significant potential to impact health outcomes related to chronic disease. DoH will focus on food insecurity for populations/communities with limited access to affordable, healthy food.	9/3/2021 – 9/29/2022	\$125,000
DHS	The Disability Innovation Fund (DIF) Program supports innovative activities aimed at improving the outcomes of individuals with disabilities. The Career Advancement Initiative Model Demonstrations will identify and demonstrate practices, which are supported by evidence, in improving VR-eligible individuals with disabilities,	10/1/2021 – 9/30/2026	\$8,000,000
DHS	System of Care (SOC) eligibility grants will support the implementation, expansion, and integration of the SOC approach by creating sustainable infrastructure and services that are required as part of the Comprehensive Community Mental Health Services for Children and their Families Program. Years 1 - 3 require a state match of \$1 for every \$3 federal dollars. For year 4, the match is \$1 for every \$1 federal dollars. The match funding is available with current activities/funding the Department is doing/has.	8/31/2021 – 8/30/2025	\$12,000,000

DHS	Lifespan Respite Care Program grants enhance, expand, improve, and supplement respite services assisting family caregivers in ND.	10/1/2020 – 9/30/2021	\$659,157
Insurance Department	State Flexibility to Stabilize the Market grant program will provide funding to support the roles of state in implementing and planning for several federal market reforms and customer protections.	1/1/2021 – 1/1/2023	\$666,483
Housing Finance	Youth Homelessness Demonstration Program supports select communities in development and implementation of a coordinated community approach to preventing/ending youth homelessness.	9/1/21 – 7/1/24	\$1,000,000
Adjutant General/DES	The Targeted Violence and Terrorism Prevention grant program will work to establish a prevention framework to counter violent extremism in ND.	10/1/2021 – 9/30/2023	\$150,000
Dept of Agriculture	The Farm and Ranch Stress Assistance Networks connect farmers, ranchers, and other ag-related workers to stress assistance programs.	9/1/2021 – 8/1/2022	\$500,000
Game and Fish	Coordinate with local partners to complete the goals and objectives of the Red River Basic Wildlife and Water Quality Enhancement Program, which is designed to increase wildlife habitat and reduce pollution impacts to water quality in the project area.	1/1/2021 – 5/1/2025	\$165,000

Grants Awarded

Agency	Description	Time Period of Grant	Amount of Grant Awarded
Governor's Office	The Governor's Emergency Education Relief Fund (GEER II) was established under the Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act. The U.S. Department of Education awards grants to Governors for the purposes of providing local education agencies (LEAs) and other education related entities with emergency assistance as a result of the Coronavirus.	1/8/2021 – 9/30/2022	\$2,732,339

DHS	Emergency Rental Assistance to include eligible renters providing direct payment to providers for rent, fees, utilities.	Through 12/31/21	\$200,000,000
DHS	State Planning Grant assists agencies in planning for the implementation of new, national, 3-digit number for mental health and suicide response.	2/1/2021 – 9/30/2021	\$130,000
DHS	Funding to assist with state administration costs in administering the P-EBT program related to COVID-19.	10/1/2020 – 9/30/2021	\$649,157
DHS	CRSSA appropriated 100% federal funding for administrative expenses to offset costs associated with the implementation of the SNAP temporary benefit increase and other allowable SNAP administrative costs.	10/1/2020 - 9/30/2021	\$81,300
Game and Fish	Coordinate with local partners to complete the goals and objectives of the Red River Basic Wildlife and Water Quality Enhancement Program, which is designed to increase wildlife habitat and reduce pollution impacts to water quality in the project area.	1/1/2021 – 5/1/2025	\$165,000

N.D.C.C. 54-14-03.1
Fiscal Irregularities

December 2020 through May 2021

Retroactive Pay

December 2020

601 Commerce
Denise Faber \$ 4,500
Temporary workload increase.

601 Commerce
20 Team Members \$ 18,614
Between September and December 2020, 20 individual team members received workload increases for processing of ERG funding applications.

January 2021

325 DHS
Patti Sehn \$ 665
Temporary workload increase.

709 Arts Council
Robin Bosch \$ 2,750
Kim Konikow \$ 4,500
Temporary workload increases for administering CARES Act grants to non-profit arts' organizations.

February 2021

701 Historical Society
Grace Derby \$ 1,633
Temporary workload increase.

March 2021

192 NDPERS
Jeanna Reis \$ 1,500
Temporary workload increase.

April 2021

180 Judicial Branch
Jody Fixen \$ 16,302
Incorrect job classification July 2017 through February 2021.

325 DHS
Darla Mork \$ 614
Workload increase.

May 2021

325 DHS
Mindy Michelle Jorgensen \$ 864
Workload increase.